

# COMMUNITY CHEMICAL DEPENDENCE AND ABUSE FACILITIES

**Objective:** Provides funds to public and private chemical dependence and abuse agencies for capital projects including construction, renovation and rehabilitation of residential and other facilities used in chemical dependence and abuse treatment programs. Funding is also available for minor renovations and tuberculosis environmental control projects.

**Administering Agency:** NYS Office of Alcoholism and Substance Abuse Services (OASAS)

**Specific Program URL:** NA

**NYS Object Code:** 64814

**Year Established:** 1994

**Catalog of Federal Domestic Assistance No.:** NA

**Legal Authority:**

**Law:** NY Mental Hygiene Law, Articles 1, 19, 23, 25, 31, 32 and 41

**Regulation:** 14 NYCRR Parts 303 et seq., 810 et seq. and 1000 et seq.

**Program Contact:**

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**Eligibility:** Counties, Cities, Towns, Villages and Public and Private Non-Profit Groups. The recipient (or the entity operating the program at the aided facility) must hold or obtain an Operating Certificate (license) from OASAS.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State requirements. Tax-exempt bonding program provides low cost financing for mortgages and capital construction/renovations to qualified program operators. A limited number of "cash" grants are available for projects not eligible for financing under the tax-exempt bond program. Consult program or fiscal contact for details.

**Action Required to Receive Aid:** Application for aid is required and must be incorporated in the local governmental unit's annual local services plan for programs outside of the city of New York. By direct application for substance abuse programs within the City of New York.

**Description of Aid:**

**Flow of Funds:** State to Local. Up to 100 percent capital funding. Monies are provided partially as an advance and partially as a reimbursement for approved costs.

**Type of Aid:** Mortgage or cash grant of limited duration.

**Formula:** NA

**Matching Requirement:** NA

**Maintenance of Effort:** NA

**Amounts Appropriated:**

|           | <u>Federal Funds</u> | <u>State General Funds*</u> | <u>State Spec. Rev.</u> |
|-----------|----------------------|-----------------------------|-------------------------|
| SFY 00-01 | NA                   | 26,850,000                  | NA                      |
| SFY 01-02 | NA                   | 24,488,000                  | NA                      |
| SFY 02-03 | NA                   | 23,288,000                  | NA                      |

\*There exist significant appropriations from years prior to SFY 2000-01 that have been reappropriated; especially for tax-exempt bonds. Please consult fiscal contact for details.

**COMMUNITY CHEMICAL DEPENDENCE AND ABUSE FACILITIES (cont.)**

**Amounts Disbursed:**

|           | <u>Federal Funds</u> | <u>State General Funds*</u> | <u>State Spec. Rev.</u> |
|-----------|----------------------|-----------------------------|-------------------------|
| SFY 00-01 | NA                   | 23,454,752                  | NA                      |
| SFY 01-02 | NA                   | 16,004,280                  | NA                      |

\*Amounts disbursed, in large part, reflect funds appropriated prior to SFY 1999-00.

**Comments:** Program created by Chapter 53, Section 1 of the Laws of 1994.

## COMMUNITY TREATMENT SERVICES

**Objective:** Supports the establishment and maintenance of a network of community based chemical dependency treatment and rehabilitation programs, based on a demonstration of need and the availability of annual appropriations.

**Administering Agency:** NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT); and US Department of Housing and Urban Development (HUD).

**Specific Program URL:** NA

**NYS Object Code:** 62101, 62102, 64790, 64813  
64814, 64890 and 69977

**Year Established:** 1997

**Catalog of Federal Domestic Assistance No.:** 93.959, 93.131, 93.949, 93.230, 93.229, 84.235,  
93.102 and 14.238

### Legal Authority:

**Law:** NY Mental Hygiene Law, Articles 19, 23, 25, 26, 31, 32 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II and III and Sections 501, 509-512; Rehabilitation Act of 1973 (as amended); and Stewart B. McKinney Homeless Assistance Act, Title IV, Subtitle F, 42 USC 11301

**Regulation:** 14 NYCRR Parts 303 et seq., 800 et seq. and 1000 et seq.; 45 CFR 74, 92 and 96; 34 CFR 369 and 373; 58 CFR 13884; 24 CFR 582 (as amended); and 59 CFR 24252

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**Eligibility:** State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit an annual local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed or certified by the NYS OASAS and, where appropriate, has a license under Article 28 of the NYS Public Health Law; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations. **NOTE:** The City of New York LGU is not charged with responsibility for substance abuse services program funding. Those programs are eligible if they meet Items 2 and 3 above.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State and Federal requirements.

**Action Required to Receive Aid:** For new or expansion proposals, application for aid is required and, with the exception of substance abuse treatment and rehabilitation programs in the City of New York, must be incorporated by the LGU's annual services plan. For substance abuse programs in the City of New York, a direct application for aid is required. Provision of aid is dependent on the availability of funds. Continued aid for existing programs is subject to the availability of funds, an annual budget submission, demonstrating the need for continuing aid, and, with the exception of substance abuse programs in the City of New York, program inclusion in the LGU local services plan. Federal Shelter Plus Care grants (CFDA No. 14.238) are made available on the basis of competitive application process administered by the Federal Department of Housing and Urban Development (HUD).

### Description of Aid:

**Flow of Funds:** Federal to State to Local (Pass-through) and State to Local; then from the local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases, the flow of funds goes directly from the State to the provider. Monies are provided on an advance basis and then reconciled to actual reported expenditures.

**COMMUNITY TREATMENT SERVICES (cont.)**

**Type of Aid:** Mostly ongoing program funding that is subject to the continuing availability of funds and program performance. Federal grant awards, other than the Substance Abuse Prevention and Treatment Block Grant, are for competitive project grants or cooperative agreements, which are of limited duration and awarded through application processes administered by the Federal administering agency.

**Formula:** Varies. Formulae are found in the NYS Mental Hygiene Law, Articles 25, 26 and 41.

**Matching Requirement:** Varies, based on formulae found in NYS Mental Hygiene Law, Articles 25, 26 and 41.

**Maintenance of Effort:** As specified in NYS Mental Hygiene Law, Articles 25, 26 and 41.

**Amounts Appropriated:**

|           | <u>Federal Funds</u> | <u>State General Funds</u> | <u>State Spec. Rev.</u> |
|-----------|----------------------|----------------------------|-------------------------|
| SFY 00-01 | 90,463,000           | 205,476,000                | NA                      |
| SFY 01-02 | 88,993,000           | 202,860,000                | NA                      |
| SFY 02-03 | 91,552,000           | 203,489,000                | 5,700,000               |

**Amounts Disbursed:\***

|           | <u>Federal Funds</u> | <u>State General Funds</u> | <u>State Spec. Rev.</u> |
|-----------|----------------------|----------------------------|-------------------------|
| SFY 00-01 | 74,232,020           | 209,413,917                | NA                      |
| SFY 01-02 | 78,022,516           | 208,987,508                | NA                      |

\*Includes "carry-out" disbursements from prior year's appropriations.

**Comments:** Program created by Chapter 54, Section 1 of the Laws of 1997.

## PREVENTION AND PROGRAM SUPPORT

**Objective:** Supports the establishment and maintenance of a network of community based chemical dependence and abuse prevention, intervention and education programs, and related support services, dependent on a demonstration of need and the availability of annual appropriations.

**Administering Agency:** NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Prevention (CSAP); and US Department of Education.

**Specific Program URL:** NA

**NYS Object Code:** 64805, 64813, 64805, & 69977

**Year Established:** 1995

**Catalog of Federal Domestic Assistance No.:** 93.959, 84.186B and 93.230

### Legal Authority:

**Law:** NY Mental Hygiene Law, Articles 19, 23, 25, 26, 32 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II & III; and Elementary & Secondary Education Act of 1965 (as amended).

**Regulation:** 14 NYCRR Part 303 et seq., 800 et seq., and 1000 et seq.; 45 CFR 96; 34 CFR 74, 76, 77, 79-82, 85, 98, 99; and 34 CFR 75.561-75.568.

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**Eligibility:** State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit a local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed by the NYS OASAS; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations. **NOTE:** The City of New York LGU is not charged with responsibility for substance abuse services program funding. Those programs are eligible if they meet Items 2 and 3 above.

**Type of Program and Special Restrictions:** Optional, but once chosen subject to mandated State and Federal requirements.

**Action Required to Receive Aid:** For new or expansion proposals, application for aid is required and must be incorporated in the LGU's annual services plan, except for substance abuse prevention and education programs in the City of New York where direct application for aid is required. Provision of aid is dependent on the availability of funds. For existing programs, inclusion in the local services plan is required (except substance abuse providers in the City of New York), along with submission of a budget form (all programs), with continued provision of aid subject to the availability of funds and demonstration of need.

### Description of Aid:

**Flow of Funds:** Federal to State to Local (Pass-through) and State to Local; then from local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases the flow of funds goes directly from the State to the provider. Monies are provided partially as an advance and partially as a reimbursement for approved operating costs.

**Type of Aid:** Mostly ongoing program funding that is subject to the continuing availability of funds and program performance. A portion of Federal Department of Education Safe & Drug Free Schools and Communities State Grant Program funds (CFDA No. 84.186B) is for project grants of limited duration (one year) awarded on the basis of a competitive application process.

**Formula:** Varies. Formulae are found in the NY Mental Hygiene Law, Articles 25, 26 and 41.

**PREVENTION AND PROGRAM SUPPORT (cont.)**

**Matching Requirement:** Varies, based on formulae found in NY Mental Hygiene Law, Articles 25, 26 and 41 and annual appropriation language.

**Maintenance of Effort:** Varies, as specified in the NY Mental Hygiene Law, Articles 25, 26 and 41.

**Amounts Appropriated:**

|           | <u>Federal Funds</u> | <u>State General Funds</u> | <u>State Spec. Rev.</u> |
|-----------|----------------------|----------------------------|-------------------------|
| SFY 00-01 | 42,938,000           | 50,119,000                 | 8,000,000               |
| SFY 01-02 | 42,774,000           | 50,119,000                 | 8,000,000               |
| SFY 02-03 | 43,452,000           | 50,454,000                 | 8,000,000               |

**Amounts Disbursed:\***

|           | <u>Federal Funds</u> | <u>State General Funds</u> | <u>State Spec. Rev.</u> |
|-----------|----------------------|----------------------------|-------------------------|
| SFY 00-01 | 37,727,877           | 43,774,838                 | 4,920,371               |
| SFY 01-02 | 41,182,790           | 40,871,492                 | 3,889,839               |

\*Includes "carry-out" disbursements from prior year's appropriations.

**Comments:** Program created by Chapter 54, Section 1 of the Laws of 1995.