



Catalog of State and Federal Programs Aiding New York's Local Governments

**New York State
Legislative Commission on
State-Local Relations**

1999 Edition

CATALOG OF
STATE AND FEDERAL PROGRAMS
AIDING NEW YORK'S
LOCAL GOVERNMENTS

1999

State of New York

**LEGISLATIVE COMMISSION
ON STATE-LOCAL RELATIONS**

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CHAIRMAN'S STATEMENT

As Chair of the Legislative Commission on State-Local Relations, it is my pleasure to introduce you to our 1999 edition of the Catalog of State and Federal Programs Aiding New York's Local Governments. The Catalog would not have been possible without the cooperation and support of many program managers, budget analysts, bureau chiefs and commissioners of State and Federal agencies. Although it is not possible to list every individual's name, I am grateful to all for their assistance and patience.

In December 1986, the Commission issued its first catalog of State and federal programs aiding communities in New York. The information in the catalog was essential to the Commission's fulfillment of its interrelated goals of conducting an ongoing evaluation of: 1) New York State's system of aid to localities, 2) the division of responsibilities among the State and local governments, 3) State mandates on local governments, and 4) State imposed limits on local taxing and borrowing.

In the years since, subsequent editions of the Catalog have offered State and local officials and the public-at-large a valuable reference tool for understanding the complex flow of aid dollars and program responsibilities in New York. With each new edition, the Commission has worked to enhance and improve the content, organization and presentation of information in the Catalog. That work was formally recognized when the Fourth Edition of the Catalog was chosen by the Government Information Roundtable of the New York State Library Association to receive its Notable Documents Award. This award is presented to outstanding documents produced by New York State government agencies. The Commission has further improved the Catalog with the addition of more than 100 new programs including programs of technical assistance to local governments.

This volume of information was compiled by the staff of the Legislative Commission on State-Local Relations from a comprehensive survey of all New York State agencies and several United States agencies. Special note must be made of the agencies' commitment to producing the most complete printed guide to local aid in New York. I would like to extend special recognition to Deborah L. Paris and Janice Connally of my staff. Without their efforts, this catalog could not have been completed.

Thanks are also given to Linda Braun of the New York State Library for contributing the updated chapter on grant funding. Special thanks are also given to Assembly Graphics for the cover design as well as the Assembly Print Shop for their efforts in assembling this information.

Revision of this Catalog every two years is necessary to produce the most complete, up-to-date printed guide to local aid in New York. Let me say that the Catalog has been of tremendous use to me in my work as a State Legislator, and I hope this new edition helps all users gain a greater understanding of intergovernmental relations here in New York.

Samuel Colman
Chairman

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INTRODUCTION

The Commission on State-Local Relations was created to foster a strong relationship between the State and its counties, cities, towns, villages, school districts and other units of government. Because state-local relations involve continuous interaction during periods of economic and social change, policies and procedures must adjust to maintain their effectiveness. Federal and State programs to assist local governments are initiated, modified or ended in response to changes. To aid local governments in tracking and taking advantage of these programs, the Commission produces a Catalog of State and Federal Programs Aiding New York's Local Governments. The catalog profiles programs of intergovernmental aid generated from the federal government to the State government, from the federal government to local jurisdictions within the State (with or without State participation), and from the State to local jurisdictions. Compiled from information provided by both State and Federal agency sources, the catalog is the most comprehensive publication of local aid programs available for New York. The catalog also complements other publications of aid programs that provide more detailed information.

A publication that the Commission has found useful in preparing its catalog is the Catalog of Federal Domestic Assistance (CFDA). It is a compilation of Federal programs which provide assistance to the public. "The primary purpose of the catalog is to assist users in identifying programs which meet specific objectives of the potential applicant, and to obtain general information on Federal assistance programs. In addition, the intent of the catalog is to improve coordination and communication between the Federal government and its State and local governments."¹ The catalog contains programs available to, among others, State and local governments, profit and nonprofit organizations, specialized groups and individuals. The Commission's catalog used the CFDA as a model in compiling the information contained for each program.

The Commission's catalog is updated on a two-year cycle, and this revision reflects the status of programs as of July 1999. This edition of the catalog provides information on financial assistance as well as technical assistance. Several agencies do not provide financial assistance, but nonetheless provide a very useful service to local governments by providing much needed technical assistance.

It should be noted that there have been some significant changes in the Catalog. For instance, the Department of Social Services and the Division for Youth no longer exist. Most of the programs once administered by the Department of Social Services can be found within either the Office of Children and Family Services or the Office of Temporary and Disability Assistance. However, the Medical Assistance program can be found within the Department of Health. Those programs which still exist and were

¹ Catalog of Federal Domestic Assistance, US General Services Administration, Washington, DC, 1994, pg. 6-94.

administered by the Division for Youth can be found within the Office of Children and Family Services.

Although the Office of the State Comptroller is officially designated as the Department of Audit and Control in State statute, this edition of the Catalog refers to it by its more commonly known name. This is also true of Empire State Development which is designated as the Department of Economic Development in State statute.

As with the last edition of the catalog, this publication also provides the following indexing: (1) agency/program, (2) program, (3) object code, and (4) key word.

Catalog Terms

The individual program profiles, the main emphasis of this publication, consist of information about program administration, the award process and method of apportionment, and annual appropriations and disbursements. Within these program profiles, the following terms are used.

Objective - The main purpose or objective of the aid program.

Administering Agency - The New York State and/or the United States government agency which has primary responsibility for administering the program.

Object Code - All object codes used by reporting agencies to process payments of grants to local governments are listed for each program. These are the codes used by the State Comptroller's Office to process aid payment information in the State's central accounting system. Note that some programs use multiple object codes, and some object codes are used by more than one program.

Federal CFDA Number - The identification number assigned to Federal programs in the Catalog of Federal Domestic Assistance.

Year Established - The first calendar year in which the program was in operation.

Legal Authority - The State and Federal laws specific to the program, and any regulations governing program operation as reported by State agencies.

Program and/or Fiscal Contacts - Agency staff to be contacted for further information concerning program particulars, including application, rules and funding.

Eligibility - Lists governmental (State, counties, cities, towns, villages, school districts) or other recipients (non-profits, private contractors, individuals) which qualify for aid. Stipulations that pertain to applicants for the funding activity are also included.

Type of Program and Use Restrictions - Indicates whether the type of activity supported by the program, at its inception, is a mandated local government activity, or whether participation is optional, with or without subsequent mandatory requirements. Further stipulates any limitations which may be imposed on the recipient as to how program funds may be spent.

Action Required to Receive Aid - Describes the action(s) required by the local government or non-profit to receive aid.

Flow of Funds - Identifies money flow patterns used in the program: (1) Federal to State; (2) Federal to State to Local (Pass-through); (3) Federal to Local; (4) State to Local; or (5) Federal and State to Local.

Type of Aid - Indicates whether funding is for a project grant of limited duration or if it is for an ongoing program.

Aid Formula - If money is allocated among recipients based on arithmetic calculations, describes formula prescribed by law or administrative regulations and formula factors. Note that matching requirements are not treated as "formulas."

Matching Requirement - Where applicable, lists the percentage of program costs which must be provided by the recipient in order to receive aid and the percentage provided by the State and/or Federal governments. Any special requirements or qualifications to matching requirements are also given.

Maintenance of Effort - Indicates whether recipients must show evidence that program funds are being used to expand pre-existing local spending or to provide higher levels of service.

Amounts Appropriated and Disbursed - (1) Program appropriations are listed for State Fiscal Years 1996-97, 1997-98 and 1998-99. (2) Program disbursements are listed for State Fiscal Years 1996-97 and 1997-98 as reported by the administering agencies. (3) Figures should represent amounts paid to recipients, and should not include State costs for operation or administration. (4) Totals reflect "governmental" recipients and "all other" recipients. (5) Amounts appropriated and paid from the State's General Fund are shown separately from amounts appropriated and paid from Federal Funds and other State Special Revenue Funds.

Comments - Any significant additional information provided by the agency.

Limitations

Careful attention has been paid to ensure accuracy. However, inconsistent terminology, differences in agency accounting systems, the potential for miscoding of vouchers, restrictions of the current central accounting system code structure, and the Commission's focus on local government entities all combine to limit the catalog's applications. These limitations are most apparent in the number of programs included and the financial data presented.

For purposes of this catalog, activities are defined as "programs" by each separate administering agency. Since there are no universally accepted rules for determining when an activity constitutes a separate program, identifying the number of aid programs is necessarily somewhat arbitrary. Often, for instance, one statute may authorize a number of distinct but very closely related programs, while other statutes combine programs which differ significantly in funding, operation, or administration. To gain some degree of consistency, the catalog relies on the identification of aid programs generated from a comprehensive, and uniformly administered survey of New York State agency officials. One result of using this process to define "aid programs"

is identification of a larger set of programs, often with different titles than may be found in other published sources.

The catalog is primarily concerned with current, statutorily based programs of assistance that channel funds and technical assistance to recipients throughout New York State. As a consequence, programs which are the result of an annual appropriation constituting legislative grants-in-aid are not included.

All of the grant disbursements, whether to a governmental or non-governmental recipient, are made by the State Comptroller's Office, pursuant to a voucher, and charged to a specific "object" of expenditure in the central accounting system. Recognizing the importance of developing program-related payment data for effective legislative oversight and analysis, the catalog is keyed to this grant object coding system. Although every effort has been made to properly associate the flow of funds between the various levels of government to the appropriate program, the user must exercise extreme caution when trying to manipulate the disbursement figures or compare them with appropriation or expenditure data from other sources. While the catalog attempts to reference all "grants to local government" which include monies paid to both governmental and nongovernmental recipients, some local aid amounts are not classified as grants and are paid as part of a State agency's operations.

For these reasons, before any use -- and especially if comparative analysis among programs is contemplated -- the user is cautioned to contact the agency.

AGENCY WEB SITES

Adirondack Park Agency
<http://www.northnet.org>

Advocate for Persons with Disabilities, Office of
<http://www.state.ny.us/disabledadvocate/>

Aging, Office for the
<http://www.aging.state.ny.us/nysofa/>

Agriculture and Markets, Department of
<http://www.www.agmkt.state.ny.us/>

Alcoholism and Substance Abuse, Office of
<http://www.oasas.state.ny.us/>

Arts, Council on the
<http://www.nysca.org>

Division of the Budget
<http://www.state.ny.us/dob/>

Children and Family Services, Office of
<http://www.dfa.state.ny.us/>

Civil Service, Department of
<http://www.cs.state.ny.us/>

Correction, Commission of
<http://www.crisny.org/government/ny/nysscoc/index.html>

Correctional Services, Department of
<http://www.docs.state.ny.us/>

Court Administration, Office of
<http://www.courts.state.ny.us>

Crime Victims Board
<http://unix2.nysed.gov/ils/cvb1.html>

Criminal Justice Services, Division of
<http://www.criminaljustice.state.ny.us/>

Dormitory Authority
<http://www.dasny.org>

Education Department
<http://www.nysed.gov/>

Empire State Development
<http://www.empire.state.ny.us>

Energy Research and Development Authority
<http://www.nyserda.org>

Environmental Conservation, Department of
<http://www.dec.state.ny.us/>

Environmental Facilities Corporation, NYS

<http://www.nysefc.org/>

General Services, Office of

<http://www.ogs.state.ny.us/>

Health, Department of

<http://www.health.state.ny.us/>

Higher Education Services Corporation

<http://www.hesc.state.ny.us/>

Housing and Community Renewal, Division of

<http://www.dhcr.state.ny.us/>

Housing and Urban Development, US Department of

<http://www.hud.gov/>

Labor, Department of

<http://www.labor.state.ny.us/>

Mental Health, Office of

<http://www.omh.state.ny.us/>

Mental Retardation and Developmental Disabilities, Office of

<http://www.omr.state.ny.us>

Motor Vehicles, Department of

<http://www.nydmv.state.ny.us>

Parks, Recreation and Historic Preservation, Office of

<http://www.nysparks.state.ny.us/>

Probation and Correctional Alternatives, Division of

<http://www.dpca.state.ny.us>

Public Employment Relations Board

Under Construction

Racing and Wagering Board, New York State

<http://www.racing.state.ny.us/>

Real Property Services, Office of

<http://www.orps.state.ny.us/>

State, Department of

<http://www.dos.state.ny.us/>

State Comptroller, Office of the

<http://www.osc.state.ny.us/>

State Emergency Management Office

<http://www.nysemo.state.ny.us/>

State Police, Division of

<http://www.troopers.state.ny.us/>

State University of New York

<http://www.sysadm.suny.edu>

Taxation and Finance, Department of
<http://www.tax.state.ny.us/>

Temporary and Disability Assistance, Office of
<http://www.dfa.state.ny.us/>

Transportation, Department of
<http://www.dot.state.ny.us/>

Veterans' Affairs, Division of
<http://www.veterans.state.ny.us/>

Workers' Compensation Board
<http://www.wcb.state.ny.us>

Sources: NYS Office of General Services Telephone Directory:
http://www.ogs.state.ny.us/telecom/org/agy_98.asp
Legislative Commission on State-Local Relations

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LOCAL GOVERNMENT SERVICES
(Technical Assistance Program)

Objective: Supports development and implementation of local land use programs within the Adirondack Park.

Administering Agency: NYS Adirondack Park Agency (APA)

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Adirondack Park Agency Act, Article 27, Executive Law

Regulation: APA Rules and Regulations, Section 582

Program Contact:

Daniel T. Fitts
Executive Director
NYS Adirondack Park Agency
P.O. Box 99, Route 86
Ray Brook, NY 12977
(518) 891-4050

Fiscal Contact:

NA

Eligibility: All towns and villages located partially or entirely within the Adirondack Park.

Type of Program and Special Restrictions: Optional; general staff assistance in development and review of a land use program.

Action Required to Receive Aid: Assistance is provided on request dependent upon the availability of staff resources.

Description of Aid:

Staff provides help in setting up a planning program, including providing guidance or sources of information, drafting specifications for new programs, and assistance in consultant selection. Staff is available to review planning elements as they are developed by others, and to coordinate review of elements for Agency approval. Staff also designs and conducts community workshops to provide guidance in land use implementation.

TECHNOLOGY-RELATED ASSISTANCE FOR INDIVIDUALS WITH DISABILITIES (TRAID PROJECT)

Objective: Develop a comprehensive consumer-responsive program of assistive technology.

Administering Agency: NYS Office of Advocate for Persons with Disabilities

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 84.224

Legal Authority:

Law: US Technology-Related Assistance for Individuals with Disabilities Act of 1988, PL 100-407, PL 103-218, as amended

Regulation: NA

Program Contact:

Deborah Buck
Project Manager
TRAID Project
NYS Office of Advocate for Persons with Disabilities
One Empire State Plaza, Suite 1001
Albany, NY 12223-1150
(518) 474-2825 (Voice) (518) 473-4231 (TTY)
(800) 522-4369 (NY only) (Voice/TTY/Spanish)

Fiscal Contact:

Same

Eligibility: Counties, Cities, Towns, Villages, School Districts, Private Non-Profit Groups and Private-For-Profit Groups.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State and Federal requirements. Grant has funded the establishment of twelve Regional TRAIID Centers statewide. Activities are determined by RFP and contractual agreement.

Action Required to Receive Aid: Competitive application for aid required in response to RFP.

Description of Aid:

Flow of Funds: Federal to State. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant. Funding of limited duration per contract.

Formula: Contract award determined by grant. Based on population and percentage of population that are children eligible for early intervention services.

Matching Requirement: Federal 80%, Local 20%

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	879,406	NA	NA
SFY 97-98	917,406	NA	NA
SFY 98-99	688,055	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	345,900	NA	NA
SFY 97-98	345,900	NA	NA

CAREGIVER ASSISTANCE PROGRAM; CAREGIVER RESOURCE CENTERS

Objective: Establishes local resource centers designed to address the multitude of needs of family caregivers responsible for caring for older persons.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69490¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 205

Regulation: NA

Program Contact:

Richard Killian
Program Director
Program Implementation and
Evaluation Unit
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-8388

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties and Private Non-Profit Groups. Restricted to Area Agencies on Aging as defined in the US Older Americans Act and subsequent amendments.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Initially a competitive application for aid was required. Renewal funding is provided through a non-competitive application.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	360,000	NA
SFY 97-98	NA	360,000	NA
SFY 98-99	NA	360,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	282,170	NA
SFY 97-98	NA	388,431	NA

¹Refers to Office for the Aging unclassified grants.

COMMUNITY SERVICES FOR THE ELDERLY (CSE)

Objective: Facilitates coordination of the various programs and services provided to the elderly, and provides services which enable the elderly (especially the frail) to remain in their homes and to participate in family and community life.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69446

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-J, Section 541

Regulation: NA

Program Contact:

John J. Snyder
 Director
 Bureau of Field Operations
 Division of Local Program Operations
 NYS Office for the Aging
 2 Empire State Plaza
 Albany, NY 12223-1251
 (518) 473-2180

Fiscal Contact:

John J. Lynch
 Principal Accountant
 Division of Finance and Administration
 NYS Office for the Aging
 2 Empire State Plaza
 Albany, NY 12223-1251
 (518) 473-4808

Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for specific planning and implementation of community service projects.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on population over age 60.

Matching Requirement: Planning and implementation expenditures are 100 percent State aid reimbursable. For services expenditures the first \$4.27 per capita is 75 percent State aid and 25 percent Local and the last \$2.00 per capita is 50 percent State aid and 50 percent Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,743,000*	NA
SFY 97-98	NA	14,243,000**	NA
SFY 98-99	NA	14,243,000**	NA

*Includes \$180,000 for the Congregate Services Initiative Project.

**Includes \$680,000 for the Congregate Services Initiative Project.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,403,629	NA
SFY 97-98	NA	14,316,989	NA

CONGREGATE SERVICES INITIATIVE (CSI)

Objective: Provides congregate services for the well elderly to promote socialization and health promotion.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69490¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 649

Regulation: NA

Program Contact:

Edward J. Kramer
Assistant Director
Division of Local Program Operations
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-5476

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties, City of New York, Private Non-Profit Groups and Indian Tribal Organizations designated as an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: County Area Agency on Aging must file a non-competitive application for aid with the NYS Office for the Aging.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on persons over age 60 with \$500 minimum allocation.

Matching Requirement: State 75%, Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	180,000*	NA
SFY 97-98	NA	680,000**	NA
SFY 98-99	NA	680,000**	NA

*Included as part of the \$13,743,000 appropriated for the Community Services for the Elderly program.

**Included as part of the \$14,243,000 appropriated for the Community Services for the Elderly Program.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA*	NA
SFY 97-98	NA	NA*	NA

*Included in the Community Services for the Elderly program appropriations and disbursements.

¹Refers to Office for the Aging unclassified grants.

ELDER ABUSE EDUCATION AND OUTREACH

Objective: A statewide initiative to educate the public regarding elderly abuse and reach out to caregivers regarding abusive situations. Also funds ten model projects.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69490¹

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Nicholas Rogone
Program Director
Program Implementation and
Evaluation Unit
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-8388

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties and Not-For-Profit Groups. Must be a local area agency on aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds may not be used for administration at the local level.

Action Required to Receive Aid: Must have existing projects on elderly abuse.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Demonstration Projects

Formula: All grants of like amounts.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

¹Refers to Office for the Aging unclassified grants.

EXPANDED IN-HOME SERVICES FOR THE ELDERLY PROGRAM

Objective: Provides a uniform Statewide program of in-home, case management ancillary and non-institutional respite services for functionally impaired elderly in the community who need community based long-term care but who are not eligible for medical assistance.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69407

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-J, Section 541

Regulation: 9 NYCRR 6654.6 and 6654.15 et seq.

Program Contact:

Andrea Hoffman
EISEP Program Director
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-5444

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Recipients of services subject to sharing the cost of non-case management services if adjusted income exceeds 150 percent of poverty level.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing, but based on a yearly appropriation and distributed to Area Agencies on a formula basis.

Formula: Effective January 1, 1991, \$7.30 per capita for those over 60 years of age.

Matching Requirement: State 75% Local 25% for service expenditures. Planning/implementation expenditures are 100 percent State aid reimbursable.

Maintenance of Effort: Recipients are required to maintain total expenditures for the Community Services for the Elderly Program service projects at the SFY 1985-86 levels unless waived or reduced.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	24,172,000	NA
SFY 97-98	NA	24,172,000	NA
SFY 98-99	NA	24,172,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	23,850,248	NA
SFY 97-98	NA	23,827,460	NA

FEDERAL FOOD AND NUTRITION SERVICES

Objective: Provides commodity foods and/or cash reimbursement to Area Agency on Aging for serving meals to individuals 60 and over.

Administering Agency: NYS Office for the Aging; US Department of Agriculture

NYS Object Code: 69421¹

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 10.570

Legal Authority:

Law: US Older Americans Act of 1965, PL 89-73 and PL 100-175

Regulation: See CFDA Listing

Program Contact:

Fran Porter
Manager
Nutrition and Operational Systems Unit
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-3585

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Notifications of grant award are issued based on the number of eligible meals served.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Tentative reimbursement for FFY 93-94, as set by USDA, of 61.46 cents per meal served to eligible participant.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	17,500,000	NA	NA
SFY 97-98	17,500,000	NA	NA
SFY 98-99	17,500,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	14,959,636	NA	NA
SFY 97-98	14,840,412	NA	NA

¹Refers to Title VII elderly feeding programs.

FOSTER GRANDPARENT PROGRAM (FGP)

Objective: Provides low income persons 60 years and over with opportunities to render supportive services to children aged 17 and under who have special needs and are deprived of normal relationships with adults.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69448

Year Established: 1973

Catalog of Federal Domestic Assistance No.: 72.001

Legal Authority:

Law: NY Executive Law, Article 19-J, Section 536-A; 42 USC 5011, Title II-B, PL 93-113

Regulation: NA

Program Contact:

Leland Lakritz
Unit Director
Division of Local Services
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-1946

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties, Cities and Private Non-Profit Groups. Must be a corporation for National and Community Service certified agency receiving Federal funding.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Administrative costs may not exceed 15 percent of funds. Funds may not be used as a match for other State funds or as a substitute for Federal funds. Grants may be used to match Federal funds but must be used for expansion of existing Federal programs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Allocations are based on the number of Federally funded slots. See CFDA listing.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	300,000	NA
SFY 97-98	NA	300,000	NA
SFY 98-99	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	272,352	NA
SFY 97-98	NA	268,466	NA

IN-HOME SERVICES FOR FRAIL INDIVIDUALS

Objective: Provides grants for in-home services to frail older individuals, including victims of Alzheimer's disease and related disorders with neurological and brain dysfunction, and to aid families of such victims. Eligible services include: homemaker and home health aides, visiting and telephone reassurance, chore maintenance, in-home respite care for families, and minor modifications to homes.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

NYS Object Code: 69490¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 93.046

Legal Authority:

Law: NA

Regulation: US Older Americans Act of 1965, PL 89-73, PL 100-175

Program Contact:

John J. Snyder
 Director
 Bureau of Field Operations
 Division of Local Program Operations
 NYS Office for the Aging
 2 Empire State Plaza
 Albany, NY 12223-1251
 (518) 473-2180

Fiscal Contact:

John J. Lynch
 Principal Accountant
 Division of Finance and Administration
 NYS Office for the Aging
 2 Empire State Plaza
 Albany, NY 12223-1251
 (518) 473-4808

Eligibility: Counties, Private Non-Profit Groups and Indian Reservations. Local recipient must be a designated Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds may not be used for administration costs at the local level. A maximum of five percent of funds may be used for administration at the State level.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Allocation to States is based on population over age 60. Allocation within State is based on population over age 60, minority population, functionally impaired, number of all persons below poverty level, and number of minority persons below poverty level.

Matching Requirement: Federal 85%, State 5%, Local 10%. Matching funds may not be used as a match for any other State or Federal program.

Maintenance of Effort: Funds cannot supplant any funds that would otherwise be expended under any Federal, State or Local law or by a State or unit of general purpose local government.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,700,000*	NA	NA
SFY 97-98	4,700,000*	NA	NA
SFY 98-99	4,700,000*	NA	NA

*The appropriations cover other miscellaneous Federal programs in addition to Title III-D.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	478,339	NA	NA
SFY 97-98	478,817	NA	NA

¹Refers to Office for the Aging unclassified grants.

NUTRITION SERVICES FOR THE AGING, CONGREGATE MEALS (TITLE III-C-1)

Objective: Provides a hot, nutritious meal in a congregate setting five or more days a week for any person aged 60 or older (and spouse of any age), as well as allied services, such as nutrition, education, outreach, escort, transportation, information.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

NYS Object Code: 69422

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 93.045

Legal Authority:

Law: US Older Americans Act of 1965, PL 89-73, PL 100-175

Regulation: 9 NYCRR 6651-6655; 45 CFR 1321

Program Contact:

Fran Porter
Manager
Nutrition and Operational Systems Unit
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-3585

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. State must have an approved plan. Local recipient must be a designated Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No more than ten percent of funds may be used for area plan administration, and no more than five percent may be used for State administration. Funds may not be used for home delivered meals. Escort, transportation, information and referral and recreation services may only be funded from program income.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over age 60, below poverty level, minorities, minorities below poverty level, frail elderly. Complex formula. See CFDA listing.

Matching Requirement: Service expenditures: State 5%, Federal 85%, Local 10%. Administrative expenditures: Federal 75% State/Local 25%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	40,000,000*	NA	NA
SFY 97-98	40,000,000*	NA	NA
SFY 98-99	40,000,000*	NA	NA

*There is a single appropriation for Congregate Meals and Home Delivered Meals.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	31,850,277	NA	NA
SFY 97-98	30,241,777	NA	NA

NUTRITION SERVICES FOR THE AGING, HOME-DELIVERED MEALS (TITLE III-C-2)

Objective: Provide home delivered meal(s), five or more days per week, to homebound elderly.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

NYS Object Code: 69423

Year Established: 1979

Catalog of Federal Domestic Assistance No.: 93.045

Legal Authority:

Law: US Older Americans Act of 1965, Title III-C-2, PL 89-73 and PL 100-175

Regulation: 9 NYCRR 6651-6655; 45 CFR 1321

Program Contact:

Fran Porter
 Manager
 Nutrition and Operational Systems Unit
 NYS Office for the Aging
 2 Empire State Plaza
 Albany, NY 12223-1251
 (518) 474-3585

Fiscal Contact:

John J. Lynch
 Principal Accountant
 Division of Finance and Administration
 NYS Office for the Aging
 2 Empire State Plaza
 Albany, NY 12223-1251
 (518) 473-4808

Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. State must have an approved plan. Local recipient must be a designated Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Not more than ten percent of aid may be used for area plan administration and not more than five percent for State administration. Funds cannot be used for congregate meals. Escort, transportation, information, referral and recreation services may only be funded with program income.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over age 60, below poverty level, minorities, minorities below poverty level, frail elderly. Complex formula. See CFDA listing.

Matching Requirement: Service expenditures: Federal 85%, State 5%, Local 10%. Administrative expenditures: Federal 75%, State/Local 25%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	40,000,000*	NA	NA
SFY 97-98	40,000,000*	NA	NA
SFY 98-99	40,000,000*	NA	NA

*There is a single appropriation for Congregate Meals and Home Delivered Meals.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	31,850,277	NA	NA
SFY 97-98	30,241,777	NA	NA

OLDER AMERICANS ACT, TITLE III-B, (OAA) SUPPORTIVE SERVICES AND MULTI-PURPOSE SENIOR CENTERS

Objective: Supports comprehensive planning, coordination and the provision of supportive services for the elderly, i.e., transportation, information and referral, outreach, in-home services, legal services, and multi-purpose senior citizen centers.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

NYS Object Code: 69403, 69404

Year Established: 1979

Catalog of Federal Domestic Assistance No.: 93.044

Legal Authority:

Law: US Older Americans Act of 1965, PL 89-73 and PL 100-175

Regulation: 9 NYCRR 6651-6655; 45 CFR 1321

Program Contact:

John J. Snyder
Director
Bureau of Field Operations
Division of Local Program Operations
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-2180

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: State Governments, Counties, Private Non-Profit Groups and Indian Reservations. Must be an Area Agency on Aging. State must have an approved plan and have an Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No more than 10 percent of funds may be used for area plan administration. Specified minimum percentages must be used for priority services and at least one percent must be used for a long-term care ombudsman program. No more than five percent of aid to State may be used for administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors include: persons over age 60, below poverty level, minorities, minorities below poverty level, functionally impaired. Complex formula. Consult CFDA listing.

Matching Requirement: Federal 85%, State 5%, Local 10% for service expenditures. For administrative expenditures reimbursement is 75% Federal with 25% State/Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	26,000,000	NA	NA
SFY 97-98	26,000,000	NA	NA
SFY 98-99	26,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	21,199,307	NA	NA
SFY 97-98	21,418,494	NA	NA

OLDER AMERICANS ACT, TITLE III-F, PREVENTIVE HEALTH SERVICES

Objective: Promotes health and well being among elderly persons and establishes Disease Prevention programs for the most vulnerable and low-income elderly.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

NYS Object Code: 69434

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 93.043

Legal Authority:

Law: US Older Americans Act of 1965, as amended

Regulation: 45 CFR 1321

Program Contact:

Edward J. Kramer
Assistant Director
Division of Local Program Operations
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-5476

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties, City of New York, Private Non-Profit Groups and Indian Tribal Organizations designated as an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Federal funds may not be used for administrative costs at the local level. A maximum of five percent of funds may be used for administration at the State level.

Action Required to Receive Aid: County Area Agency on Aging must file a non-competitive application for aid with the NYS Office for the Aging.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on persons over age 60, low income, minority, low-income minority and functionally impaired. Priority is given to medically underserved areas.

Matching Requirement: Federal 85%, State 5%, Local 10%. Matching funds may not be used for any other Federal or State programs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,700,000*	NA	NA
SFY 97-98	4,700,000*	NA	NA
SFY 98-99	4,700,000*	NA	NA

*The appropriations cover other miscellaneous Federal programs in addition to Title III-F.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,181,489	NA	NA
SFY 97-98	988,370	NA	NA

SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM (TITLE V)

Objective: Provides low income older persons age 55 and older with training and part-time employment opportunities in public and private non-profit organizations.

Administering Agency: NYS Office for the Aging; US Department of Labor Employment and Training Administration.

NYS Object Code: 69409

Year Established: 1984

Catalog of Federal Domestic Assistance No.: 17.235

Legal Authority:

Law: US Older Americans Act of 1965, Title V; PL 89-73 and PL 100-175

Regulation: 20 CFR Part 674

Program Contact:

Leland Lakritz
Employment Coordinator
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-1946

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Local Area Agencies on Aging, Counties, Private Non-Profit Groups and Cities providing training and employment services to 55+ older workers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. At least 75 percent of Federal funds must be spent on enrollee wages and fringe benefits. Not more than 9.45 percent of Federal funds may be spent on area agency administration; not more than 13.5 percent on State and area administration.

Action Required to Receive Aid: SOFA approved subsponsor submits application for annual renewal of aid.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing, renewable annually.

Formula: Population over age 55 and number of positions funded with a provision for a minimum allocation. Complex formula. Consult CFDA listing.

Matching Requirement: Federal 90%, Local 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	6,500,000	NA	NA
SFY 97-98	6,500,000	NA	NA
SFY 98-99	6,500,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,702,133	NA	NA
SFY 97-98	5,287,130	NA	NA

SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM (SNAP) FRAIL ELDERLY COMPONENTS

Objective: Provides nutritional services to individuals 60 and over deemed to be at high nutritional risk.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69424

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Fran Porter
Manager
Nutrition and Operational Systems Unit
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-3585

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Counties and Private Non-Profit Groups. Must be an Area Agency on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.
Not more than five percent of the funds may be used for administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Population factors including: minorities, below 125 percent poverty persons over age 85, over age 60 living alone, low income minorities.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,809,000	NA
SFY 97-98	NA	15,809,000	NA
SFY 98-99	NA	15,809,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,707,730	NA
SFY 97-98	NA	15,545,208	NA

SUPPLEMENTAL SECURITY INCOME (SSI) OUTREACH PROGRAM

Objective: Funds programs to encourage and assist the aged, blind and disabled in enrolling in SSI.

Administering Agency: NYS Office for the Aging

NYS Object Code: 69490¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1989, Chapter 545

Regulation: NA

Program Contact:

William Spellecy
Program Director
Program Implementation and
Evaluation Unit
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-8388

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Private Non-Profit Groups and Area Agencies on Aging. Factors in selecting rural, suburban and urban counties most in need of SSI outreach activities include high percentages of individuals with incomes at or below the Federal poverty level, including the elderly, disabled and visually impaired, and high percentages of minority individuals.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	371,000	NA
SFY 97-98	NA	371,000	NA
SFY 98-99	NA	371,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	382,124	NA
SFY 97-98	NA	343,090	NA

¹Refers to Office for the Aging unclassified grants.

WEATHERIZATION REFERRAL AND PACKAGING PROGRAM (WRAP)

Objective: Limited energy case management services for low income, energy vulnerable elderly.

Administering Agency: NYS Office for the Aging; US Department of Health and Human Services

NYS Object Code: 69412

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.568

Legal Authority:

Law: US Low Income Home Energy Assistance Act of 1981

Regulation: NA

Program Contact:

Marshall F. Suess
Coordinator
Energy Programs
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 474-1946

Fiscal Contact:

John J. Lynch
Principal Accountant
Division of Finance and Administration
NYS Office for the Aging
2 Empire State Plaza
Albany, NY 12223-1251
(518) 473-4808

Eligibility: Area Agencies on Aging.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds are used for cost of programmatic services and assistance to beneficiaries.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: Based on population over age 60 and local performance.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA*	NA	NA
SFY 97-98	NA*	NA	NA
SFY 98-99	NA*	NA	NA

*Appropriations are included in the NYS Office of Temporary and Disability Assistance budget.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,145,308	NA	NA
SFY 97-98	2,077,686	NA	NA

AGRICULTURAL DISTRICTS PROGRAM

(Technical Assistance Program)

Objective: Agricultural districts are created by county legislative bodies pursuant to local landowner initiative. Participating landowners are eligible for a number of benefits intended to encourage the maintenance of land in active agricultural production including: agricultural assessment, limitations on improvement district assessments, right to farm support, protections against unwanted public acquisitions of farmland or advance of public funds for non-farm development within farm areas and limitation on local laws or regulations that would unreasonably restrict or regulate farm practices or structures.

Administering Agency: NYS Department of Agriculture and Markets

NYS Object Code: NA

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25-AA

Regulation: NA

Program Contact:

Lewis Benton
Districts Program Administrator
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2774

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: Counties.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Department staff assist counties in the formation of districts and the renewal of existing districts. They also assist all levels of local government in complying with those provisions of the Agricultural Districts Law (Article 25-AA) which may be applicable.

Comments:

Division staff provide technical assistance primarily to county planners and cooperative extension agents who have a significant role in the creation and review of agricultural districts. Technical assistance is primarily in the form of guidance on State statutory requirements. Assistance is provided by telephone or in person, as needed. Staff also are available to meet with county legislative bodies and county agricultural and farmland protection boards to discuss agricultural district issues.

AGRICULTURAL NONPOINT SOURCE ABATEMENT AND CONTROL GRANT PROGRAM

Objective: Supports projects on watershed planning activities that will reduce, identify, and/or prevent nonpoint source contributions from agricultural activities.

Administering Agency: NYS Department of Agriculture and Markets and NYS Soil and Water Conservation Committee*

NYS Object Code: 56020

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Soil & Water Conservation District Law, Section 11-b

Regulation: Guidelines have been written.

Program Contact:

John Wildeman
Director
Division of Soil and Water
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-3738

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: County Soil and Water Conservation Districts.

Type of Program and Special Restrictions: Funding may be used in watershed-based assessments of Agricultural Nonpoint Source Pollution problems and water quality management projects. Implementation projects must propose to use Best Management Practices as defined in Section 3 of the Soil & Water Conservation Districts Law.

Action Required to Receive Aid: Proposals for funding will be accepted from soil and water conservation districts, or a group of districts acting jointly.

Description of Aid:

Flow of Funds: State to Soil and Water Conservation Districts to agricultural landowners.

Type of Aid: Ongoing

Formula: No funding cap for projects.

Matching Requirement: State 75%, Soil and Water Conservation Districts 25%. State will go to a maximum of 87.5 percent providing landowner contributes an equal share over and above the 75 percent.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	1,900,000	NA
SFY 97-98	2,701,235	2,043,468
SFY 98-99	3,100,000	1,270,984

Amounts Disbursed:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	1,390,752	NA
SFY 97-98	1,431,598	696,513

*The NYS Soil and Water Conservation Committee is a State agency within the NYS Department of Agriculture and Markets.

**ANIMAL POPULATION CONTROL PROGRAM
(SPAY/NEUTER PROGRAM)
(Technical Assistance Program)**

Objective: Provides oversight of the Pet Population Control Fund, determines veterinarian and shelter eligibility for participation, and provides vouchers to participants.

Administering Agency: NYS Department of Agriculture and Markets

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 7

Regulation: NA

Program Contact:

JoAmy Guild
Program Manager
Animal Population Control Program
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-3502

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Subsidized spay and neuter surgeries for animals adopted from participating shelters.

Comments:

There are qualification criteria for shelters and veterinarians who participate in this program.

COUNTY AGRICULTURAL AND FARMLAND PROTECTION PLANNING GRANTS PROGRAM

Objective: Assist county governments in developing plans and recommends strategies which address their own specific agricultural and farmland protection needs.

Administering Agency: NYS Department of Agriculture and Markets through county agricultural and farmland protection boards.

NYS Object Code: 56020

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25-AAA

Regulation: 1 NYCRR Part 372

Program Contact:

Robert Somers
Principal Environmental Analyst
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-3880

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: Counties. Funds are provided to counties subject to the submission of an approvable application from the county Agricultural and Farmland Protection Board (AFPB).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Monies are subject to the availability of funds. Matching grants are provided to counties who choose to apply for them. Such grants shall not exceed \$50,000 to each county, or \$100,000 to two counties applying jointly, and shall not exceed 50 percent of the cost of preparation of the plan. County AFPB must be in place with elected chair prior to the submission of an application.

Action Required to Receive Aid: Non-competitive application for aid required. County AFPB must submit application for funding to Commissioner of Agriculture and Markets. Such application must meet requirements of program guidelines and/or regulations.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Local funds (cash and in-kind must equal or exceed funds provided).

Maintenance of Effort: Planning grants activity must be new.

Amounts Appropriated:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	300,000	NA
SFY 97-98	185,715	1,000,000
SFY 98-99	300,000	3,000,000

Amounts Disbursed:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	209,110	NA
SFY 97-98	62,103	NA

**DOG LICENSING (PROVISION OF MANDATED
FORMS AND DOG CONTROL SHELTER INSPECTIONS)
(Technical Assistance Program)**

Objective: Provides mandated dog licensing forms and conducts annual inspections of dog control facilities.

Administering Agency: NYS Department of Agriculture and Markets, Division of Animal Industry

NYS Object Code: NA

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 7

Regulation: NA

Program Contact:

Dr. Jeffrey Huse
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-3502

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: Counties, Towns and Villages. All dog licensing municipalities within NYS outside of New York City.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Provide dog licensing forms and conduct annual inspections for mandated dog control officers and shelters.

Comments:

Although dog control and identification is primarily a local function, Article 7 of the Agriculture and Markets Law empowers the Department of Agriculture and Markets to set standards for the humane care of seized dogs, inspect municipal shelters, and train and assist enforcement officers. Article 7 does not apply to New York City, which has a separate dog control law. Under Article 7, the Department of Agriculture and Markets provides the following technical assistance to the 1,043 municipalities which issue dog licenses in the State: (1) Inspection of municipal shelters and establishment of standards for the care of seized dogs; (2) Municipal compliance inspections for record-keeping, licensing enforcement, procedures for handling dangerous dogs, and procedures and methods of euthanasia; (3) Receipt and accounting for license fees and tag replacement fees, (4) Assisting and training municipal dog control officials; (5) Maintaining a central registry file of all identified dogs, and a computer listing of dog owners; (6) Mailing pre-printed dog license renewal application forms directly to all dog owners throughout the State (excluding New York City); and (7) Supplying town clerks and other local dog control officers with miscellaneous forms required for enforcement of Article 7.

INDEMNIFICATION FOR RABIES

Objective: Partially reimburses county for payments made for rabid domestic livestock.

Administering Agency: NYS Department of Agriculture and Markets

NYS Object Code: NA

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Section 105-i

Regulation: NA

Program Contact:

Pat Moore
Head Clerk
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-4187

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: Counties

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Fifty percent of expenditure by county.

Matching Requirement: State 50%; Local 50%

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	300,000	NA
SFY 97-98	NA	250,000	NA
SFY 98-99	NA	175,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	300,000	NA
SFY 97-98	NA	250,000	NA

LOCAL AGRICULTURAL PROTECTION IMPLEMENTATION GRANTS PROGRAM

Objective: Assists local governments in implementing plans to protect their agricultural resources and farm operations through the purchase of conservation easements or development rights.

Administering Agency: NYS Department of Agriculture and Markets

NYS Object Code: 56020

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 25-AAA

Regulation: NA

Program Contact:

Robert Somers
Principal Environmental Analyst
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2713

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: All county governments whose agricultural protection plans have been approved by the Commissioner of Agriculture and Markets and all towns and villages which have a local plan in place to protect agricultural resources.

Type of Program and Special Restrictions: Optional, but once chosen subject to State requirements.

Action Required to Receive Aid: Must submit application to Agriculture and Markets in response to annual Request for Proposals. Application must be approved for submission by respective county Agricultural and Farmland Protection Boards.

Description of Aid:

Flow of Funds: State to Local. Monies are provided after project is demonstrated to be financially and legally viable.

Type of Aid: Limited duration

Formula: Competitive Statewide.

Matching Requirement: State up to 75% and local the rest.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	3,400,000	NA
SFY 97-98	3,815,075	1,000,000
SFY 98-99	4,700,000	3,000,000

Amounts Disbursed:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	3,141,265	NA
SFY 97-98	NA	NA

LOCAL FAIRS REIMBURSEMENT

Objective: Reimburses those agricultural societies and Cooperative Extension associations recognized by the Department for premiums paid for the promotion of agriculture and the domestic arts at their annual fairs.

Administering Agency: NYS Department of Agriculture and Markets

NYS Object Code: 69971¹

Year Established: 1928

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Article 24, Section 286

Regulation: NA

Program Contact:

Gail Fuller
Associate Budget Analyst
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-8170

Fiscal Contact:

Same

Eligibility: Any agricultural or horticultural society which received monies from the State for this purpose from 1924-1926 has held an annual Fair, paid at least \$5,000 in premiums and files an annual report with this Department; any cooperative extension association in a county where no agricultural society has received such money, which has held an annual fair, paid at least \$500 in premiums, has the approval of the Commissioner, and files an annual report; those fairs added by statute; and any other agricultural or horticultural society in a county not already receiving money for this purpose, and files an application which is approved by the Department after having held a fair for three consecutive years and meeting the above requirements.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Must file an annual report by December 15 documenting proof of payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Reimbursed on dollar-for-dollar basis up to a maximum, depending on availability of funds.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	477,000	NA
SFY 97-98	NA	477,000	NA
SFY 98-99	NA	477,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	477,000	NA
SFY 97-98	NA	477,000	NA

¹Refers to miscellaneous non-contractual payments.

LOCAL SOIL AND WATER DISTRICT REIMBURSEMENT

Objective: Assists county soil and water conservation districts in the establishment and implementation of sound soil and water conservation practices.

Administering Agency: NYS Department of Agriculture and Markets and NYS Soil and Water Conservation Committee*

NYS Object Code: 69971¹

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Soil and Water Conservation Districts Law, Section 11-A

Regulation: Part 4400 of Title XXI, 21 NYCRR

Program Contact:

John Wildeman
Director
Division of Soil and Water
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-3738

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: County Soil and Water Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for employment of conservation field technicians or district managers, or for purchase of supplies and equipment related to these positions.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Not more than \$30,000 or more than 50 percent of documented expenses, whichever is less. Prorated if applications exceed appropriation.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	553,000	NA
SFY 97-98	653,000	NA
SFY 98-99	NA	NA

Amounts Disbursed:

	<u>Capital (EPF)</u>	<u>Bond Act</u>
SFY 96-97	553,000	NA
SFY 97-98	642,467	NA

*The NYS Soil and Water Conservation Committee is a State agency within the NYS Department of Agriculture and Markets.

¹Refers to miscellaneous non-contractual payments.

PETROLEUM QUALITY

Objective: Reimburses municipal weights and measures departments for activities performed under the program.

Administering Agency: NYS Department of Agriculture and Markets

NYS Object Code: 56020

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Agriculture and Markets Law, Sections 179 and 181

Regulation: NYCRR 224.16

Program Contact:

Ross J. Andersen
Assistant Director
Bureau of Weights and Measures
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-3146

Fiscal Contact:

Marjorie Brague
Director
Division of Fiscal Management
NYS Department of Agriculture
and Markets
1 Winners Circle
Albany, NY 12235-0001
(518) 457-2080

Eligibility: Counties and Cities with weights and measures programs.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. Must be used for reimbursement of program costs including salaries, fringe benefits, travel and other costs directly related to the program.

Action Required to Receive Aid: Must have a contract with the Department and submit quarterly reimbursement vouchers.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Actual expenses not to exceed maximum of contract.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	626,706
SFY 97-98	NA	NA	626,707
SFY 98-99	NA	NA	611,907

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	596,319
SFY 97-98	NA	NA	585,871

COMMUNITY ALCOHOLISM AND SUBSTANCE ABUSE FACILITIES

Objective: Provides funds to public and private chemical dependence and abuse agencies for capital projects including construction, renovation and rehabilitation of residential and other facilities used in chemical dependence and abuse treatment programs. Funding is also available for minor renovations and tuberculosis environmental control projects.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS)

NYS Object Code: 64814

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 1, 19, 23, 25, 31 and 41

Regulation: 14 NYCRR Parts 321, 1000-1060

Program Contact:

James P. O'Hanlon
Associate Commissioner
Division of Program Operations
NYS Office of Alcoholism and Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-6022

Fiscal Contact:

Michael G. Mecca
Administrative Officer
Division of Administration
NYS Office of Alcoholism and Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-2405

Eligibility: Counties, Cities, Towns, Villages and Public and Private Non-Profit Groups. The recipient (or the entity operating the program at the aided facility) must hold or obtain an Operating Certificate (license) from OASAS.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Tax-exempt bonding program provides low cost financing for mortgages and capital construction/renovations to qualified program operators. A limited number of "cash" grants are available for projects not eligible for financing under the tax-exempt bond program. Consult program or fiscal contact for details.

Action Required to Receive Aid: Application for aid is required and must be incorporated in the local governmental unit's annual local services plan for programs outside of the city of New York. By direct application for substance abuse programs within the City of New York.

Description of Aid:

Flow of Funds: State to Local. Up to 100 percent capital funding. Monies are provided partially as an advance and partially as a reimbursement for approved costs.

Type of Aid: Mortgage or cash grant of limited duration.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,900,000	NA
SFY 97-98	NA	15,700,000	NA
SFY 98-99	NA	4,422,000	NA

*There exist significant appropriations from years prior to SFY 1996-97 that have been reappropriated; especially for tax-exempt bonds. Please consult fiscal contact for details.

COMMUNITY ALCOHOLISM AND SUBSTANCE ABUSE FACILITIES (cont.)

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,975,600	NA
SFY 97-98	NA	10,252,400	NA

*Amounts disbursed, in large part, reflect funds appropriated prior to SFY 1994-95.

Comments: Program created by Chapter 53, Section 1 of the Laws of 1994.

COMMUNITY TREATMENT SERVICES

Objective: Supports the establishment and maintenance of a network of community based chemical dependency treatment and rehabilitation programs, based on a demonstration of need and the availability of annual appropriations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT); and US Department of Housing and Urban Development (HUD).

NYS Object Code: 61990, 62101, 64790, 64805, 64809

Year Established: 1997

64810, 64814, 64830, 64835, 64890 and 69977

Catalog of Federal Domestic Assistance No.: 93.959, 93.131, 93.949, 93.230, 93.229, 84.235, 93.102 and 14.238

Legal Authority:

Law: NY Mental Hygiene Law, Articles 19, 21, 23, 25 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II and III and Sections 501, 509-512; Rehabilitation Act of 1973 (as amended); and Stewart B. McKinney Homeless Assistance Act, Title IV, Subtitle F, 42 USC 11301

Regulation: 14 NYCRR Parts 1000-1060 and 1050.3B(5), Parts 100, 800 and 300; 45 CFR 74, 92 and 96; 34 CFR 369 and 373; 58 CFR 13884; 24 CFR 582 (as amended); and 59 CFR 24252

Program Contact:

James P. O'Hanlon
Associate Commissioner
Division of Program Operations
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-6022

Fiscal Contact:

Michael G. Mecca
Administrative Officer
Division of Administration
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-2405

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit an annual local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed or certified by the NYS OASAS and, where appropriate, has a license under Article 28 of the NYS Public Health Law; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations. **NOTE:** The City of New York LGU is not charged with responsibility for substance abuse services program funding. Those programs are eligible if they meet Items 2 and 3 above.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: For new or expansion proposals, application for aid is required and, with the exception of substance abuse treatment and rehabilitation programs in the City of New York, must be incorporated in the LGU's annual local services plan. For substance abuse programs in the City of New York, a direct application for aid is required. Provision of aid is dependent on the availability of funds. Continued aid for existing programs is subject to the availability of funds, an annual budget submission, demonstrating the need for continued aid, and, with the exception of substance abuse programs in the City of New York, program inclusion in the LGU local services plan. Federal Shelter Plus Care grants (CFDA No. 14.238) are made available on the basis of competitive application process administered by the Federal Department of Housing and Urban Development (HUD).

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through) and State to Local; then from the local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases, the flow of funds goes directly from the State to the provider. Monies are provided on an advance basis and then reconciled to actual reported expenditures.

COMMUNITY TREATMENT SERVICES (cont.)

Type of Aid: Mostly ongoing program funding that is subject to the continuing availability of funds and program performance. Federal grant awards, other than the Substance Abuse Prevention and Treatment Block Grant, are for competitive project grants or cooperative agreements, which are of limited duration and awarded through application processes administered by the Federal administering agency.

Formula: Varies. Formulae are found in the NYS Mental Hygiene Law, Article 41.

Matching Requirement: Varies, based on formulae found in NYS Mental Hygiene Law, Article 41.

Maintenance of Effort: As specified in NYS Mental Hygiene Law, Article 25.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	84,380,500	178,014,000	4,500,000
SFY 97-98	85,200,000	188,255,000	NOTE
SFY 98-99	82,491,000	195,555,000	NOTE

NOTE: Beginning with SFY 97-98, appropriation made to Prevention and Program Support program.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	63,675,944	179,091,891	NA
SFY 97-98	62,679,232	188,950,642	NA

*Includes "carry-out" disbursements from prior year's appropriations. Note: After SFY 95-96, there were no disbursements in this program from State Special Revenue funds. Disbursements occurred in Prevention and Program Support program, where appropriated, in SFY 96-97 and SFY 97-98.

Comments: Program created by Chapter 54, Section 1 of the Laws of 1997.

PREVENTION AND PROGRAM SUPPORT

Objective: Supports the establishment and maintenance of a network of community based chemical dependence and abuse prevention, intervention and education programs, and related support services, dependent on a demonstration of need and the availability of annual appropriations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Prevention (CSAP); and US Department of Education.

NYS Object Code: 61990, 64805, 64809, 64835, 64890, 64902 & 69977 **Year Established:** 1995
Catalog of Federal Domestic Assistance No.: 93.959, 84.186B and 93.230

Legal Authority:

Law: NY Mental Hygiene Law, Articles 19, 23, 25 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II & III; and Elementary & Secondary Education Act of 1965 (as amended).

Regulation: 14 NYCRR Part 800 and Parts 1000-1060; 45 CFR 96; 34 CFR 74, 76, 77, 79-82, 85, 98, 99; and 34 CFR 75.561-75.568.

Program Contact:

James P. O'Hanlon
Associate Commissioner
Division of Program Operations
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-6022

Fiscal Contact:

Michael G. Mecca
Administrative Officer
Division of Administration
NYS Office of Alcoholism and
Substance Abuse Services
1450 Western Avenue
Albany, NY 12203-3526
(518) 485-2405

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit a local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed by the NYS OASAS; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations. **NOTE:** The City of New York LGU is not charged with responsibility for substance abuse services program funding. Those programs are eligible if they meet Items 2 and 3 above.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: For new or expansion proposals, application for aid is required and must be incorporated in the LGU's annual local services plan, except for substance abuse prevention and education programs in the City of New York where direct application for aid is required. Provision of aid is dependent on the availability of funds. For existing programs, inclusion in the local services plan is required (except substance abuse providers in the City of New York), along with submission of a budget form (all programs), with continued provision of aid subject to the availability of funds and demonstration of need.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through) and State to Local; then from local governmental unit to provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of service. In those cases the flow of funds goes directly from the State to the provider. Monies are provided partially as an advance and partially as a reimbursement for approved operating costs.

Type of Aid: Mostly ongoing program funding that is subject to the continuing availability of funds and program performance. A portion of Federal Department of Education Safe & Drug Free Schools and Communities State Grant Program funds (CFDA No. 84.186B) is for project grants of limited duration (one year) awarded on the basis of a competitive application process.

Formula: Varies. Formulae are found in the NY Mental Hygiene Law, Articles 41 and 25.

PREVENTION AND PROGRAM SUPPORT (cont.)

Matching Requirement: Varies, based on formulae found in NY Mental Hygiene Law, Articles 41 and 25 and annual appropriation language.

Maintenance of Effort: Varies, as specified in the NY Mental Hygiene Law, Articles 41 and 25.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	23,407,100	44,167,000	NA
SFY 97-98	36,187,900	44,363,000	4,500,000
SFY 98-99	37,507,400	47,773,000	5,500,000

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	21,706,021	45,108,118	4,005,647
SFY 97-98	21,860,623	41,291,960	4,628,817

*Includes "carry-out" disbursements from prior year's appropriations.

Comments: Program created by Chapter 54, Section 1 of the Laws of 1995.

ARCHITECTURE, PLANNING AND DESIGN PROGRAM

(Technical Assistance Program)

Objective: Assists local municipalities and nonprofit organizations with building-related problems i.e., may provide funds for professional advisory services by architects, planners, historic preservationists and other design professionals when necessary.

Administering Agency: NYS Council on the Arts

NYS Object Code: 69301

Year Established: 1973

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Anne H. Van Ingen
Director
Architecture, Planning and Design
NYS Council on the Arts
915 Broadway
New York, NY 10010
(212) 387-7013

Fiscal Contact:

Same

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Small matching awards are made to hire architects, engineers, historic preservationists and other building-related specialists to provide design and engineering studies, condition reports and cost estimates.

GRANTS FOR THE ARTS

Objective: Supports cultural and arts related activities.

Administering Agency: NYS Council on the Arts; US National Endowment for the Arts

NYS Object Code: 69301

Year Established: 1960

Catalog of Federal Domestic Assistance No.: 45.007

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Nicolette B. Clarke
Executive Director
NYS Council on the Arts
915 Broadway
New York, NY 10010
(212) 387-7004

Fiscal Contact:

Calvin Walker
Director, Contracts Administration
NYS Council on the Arts
915 Broadway
New York, NY 10010
(212) 387-7156

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: (1) Support for presentations, exhibitions and preservation in performing, literary, visual, and media arts. (2) Supported activities subject to State requirements. (3) Supported activities must take place in New York State and be open to the general public.

Action Required to Receive Aid: Competitive application for aid required. Request Program Guidelines and application form, deadline is March 1.

Description of Aid:

Flow of Funds: Federal to State, State to Local. Monies are provided partially as an advance and partially as retroactive funding.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Grant requests may not exceed 50 percent of project or program.

Maintenance of Effort: Recipient must demonstrate fiscal stability and accountability.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	250,000	32,375,000	NA
SFY 97-98	98,500	36,900,000	NA
SFY 98-99	81,400	41,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	250,000	32,375,000	NA
SFY 97-98	98,500	36,900,000	NA

CITY UNIVERSITY CONSTRUCTION FUND (CUCF) ASSISTANCE

Objective: Authorizes capital projects and provides funding to the Dormitory Authority for debt service payments for the City University Senior and Community Colleges facilities.

Administering Agency: NYS Division of the Budget

NYS Object Code: 61303

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Article 125-B, Chapter 264, NY Laws of 1979

Regulation: NA

Program Contact:

Gayle Blakesley
Senior Budget Examiner
Education Unit
NYS Division of the Budget
State Capitol Building
Albany, NY 12224
(518) 473-8575

Fiscal Contact:

Same

Eligibility: City University. A certificate or report must be filed with the Office of the State Comptroller, State Director of the Budget, City Director of Management and Budget, Chairman of the Senate Finance Committee and the Chairman of the Assembly Ways and Means Committee.

Type of Program and Special Restrictions: Mandated, required by State law. Payment of debt issuance and service costs for City University facilities.

Action Required to Receive Aid: See eligibility above. Annual State Budget process.

Description of Aid:

Flow of Funds: State to CUCF. Monies are provided in conjunction with local share for community college costs.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50% only for community colleges and Medgar Evers which was given senior college status effective July 1, 1994.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	260,323,900	NA
SFY 97-98	NA	266,000,000	NA
SFY 98-99	NA	285,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	207,132,000	NA
SFY 97-98	NA	256,760,000	NA

CITY UNIVERSITY OF NEW YORK

Objective: Supports the City University of New York (CUNY) in providing postsecondary educational programs.

Administering Agency: NYS Division of the Budget

NYS Object Code: 61301, 61302

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 6221, 6279, 6304 and 6452

Regulation: NA

Program Contact:

Gayle Blakesley
Senior Budget Examiner
Education Unit
NYS Division of the Budget
State Capitol Building
Albany, NY 12224
(518) 473-8575

Fiscal Contact:

Same

Eligibility: New York City.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Budget submission, CUNY Board approval, community college rules and regulations approved by CUNY Board and the Director of the Budget, and submission of claims for State aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Funding for community colleges operating aid is based on a full-time equivalent student formula, community college categorical program aid and senior college funding are not.

Matching Requirement: NA

Maintenance of Effort: Under some circumstances for community colleges aid, NYC is required to maintain prior year contribution levels.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	620,286,600	NA
SFY 97-98	NA	629,297,000	NA
SFY 98-99	NA	646,368,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	620,285,800	NA
SFY 97-98	NA	629,217,000	NA

ADOPTION ASSISTANCE

Objective: Funds adoption subsidy costs for children with special needs.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

NYS Object Code: 62402, 62405

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.659

Legal Authority:

Law: NY Social Services Law, Article 6, Title 9; US Social Security Act of 1935, Title IV-E and PL 96-272

Regulation: 45 CFR 1355-1356

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Aid must be used for support of adopted children who meet eligibility criteria.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal 50%, State 37.5%, Local 12.5% for assistance to children eligible for Title IV-A as it was on June 1, 1995 or for children eligible for Supplemental Security Income or with special needs; State 75%, Local 25% for others.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	109,448,631	82,872,000	NA
SFY 97-98	101,559,671	98,015,000	NA
SFY 98-99	NA*	111,376,000	NA

*Final figures for adoption services unavailable.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	109,448,631	83,036,441	NA
SFY 97-98	101,559,671	95,977,976	NA

ADULT PROTECTIVE/DOMESTIC VIOLENCE SERVICES

Objective: Funds adult protective services, residential services to victims of domestic violence who are ineligible for public assistance during the time they reside in residential programs for victims of domestic violence and non-residential services to victims of domestic violence. Includes funding of \$150,000 per year for English and Spanish hotline services for individuals and families involved in violence.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 62305

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Articles 6-A and 9-B

Regulation: NYCRR Parts 408, 452-455, 457

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties and Private Non-Profit Groups

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	22,964,000	NA
SFY 97-98	NA	25,614,000	NA
SFY 98-99	NA	33,946,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	22,948,159	NA
SFY 97-98	NA	29,167,885	NA

ADVANTAGE SCHOOLS PROGRAM

Objective: Seeks to facilitate the intellectual and social development of youth by providing supplemental learning experiences after traditional school hours, delivered in the school building.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 55970

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Newell Eaton
Director of Planning
Strategic Planning and Policy
Development
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 473-1274

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Community Organizations

Type of Program and Special Restrictions: Optional. Must demonstrate capacity to provide services in schools after school hours in affiliation with schools or school districts.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Grantee. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

CHILD ABUSE AND NEGLECT

Objective: Assists State and local governments and voluntary agencies and organizations to strengthen their capacities to develop programs that will prevent, identify and treat child abuse and neglect.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services; National Center on Child Abuse and Neglect

NYS Object Code: 62309

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.669, 93.670

Legal Authority:

Law: US Child Abuse Prevention and Treatment Act, 5101 et seq.

Regulation: 45 CFR , Part 1340

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,258,583*	NA	NA
SFY 97-98	1,322,004**	NA	NA
SFY 98-99	514,400***	NA	NA

* FFY 95-96 grant award.

** FFY 96-97 grant award.

*** FFY 97-98 grant award.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,938,233	NA	NA
SFY 97-98	1,216,017	NA	NA

CHILD PROTECTIVE SERVICES

Objective: Funds local district investigation and determination of reports of abuse and maltreatment, development of safety plans for children and rehabilitation plans for families. Services are provided without regard to income.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 62302

Year Established: 1973

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Title 6, Article 6

Regulation: NYCRR Parts 432, 433, 434

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Recommended: State 65%, Local 35%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA*	NA
SFY 97-98	NA	NA*	NA
SFY 98-99	NA	50,000,000	NA

*Included in the Family and Children's Services Block Grant.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

CHILD WELFARE SERVICES

Objective: Supports child welfare services provided by State and local public welfare agencies to enable children to remain in their own homes, or where that is not possible, to provide alternate permanent homes for them. Another objective is to reduce the incidence of child abuse and neglect.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

NYS Object Code: 62403

Year Established: 1935

Catalog of Federal Domestic Assistance No.: 93.645

Legal Authority:

Law: US Social Security Act of 1935, Sections 420-425 and 427-428

Regulation: 45 CFR, Part 1357

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: State Governments and Counties. Must be a local social services district.

Type of Program and Special Restrictions: Required by State law or regulations. Funds for foster care maintenance, day care, adoption assistance and preventative services under this program are limited.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: Federal 75%, State and Local 25%. Match provided by child welfare services spending under the Family and Children's Services Block Grant and local expenditures for child welfare activities in excess of the Family and Children's Services Block Grant.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	14,147,662*	NA	NA
SFY 97-98	14,807,681**	NA	NA
SFY 98-99	14,817,225***	NA	NA

* FFY 95-96 Title IV-B Child Welfare allotment to NYS.

** FFY 96-97 Title IV-B Child Welfare allotment to NYS.

*** FFY 97-98 Title IV-B Child Welfare allotment to NYS.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	15,118,546	NA	NA
SFY 97-98	14,807,365	NA	NA

CHILDREN AND FAMILY TRUST FUND

Objective: To support prevention and treatment services to victims of family violence, including child abuse, domestic violence and elder abuse.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 62306

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 10-A (William B. Hoyt Memorial Children and Family Trust Fund Act of 1985)

Regulation: NA

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.
(State agencies are not eligible).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: First and second years: None; Third year: State 50%, Local or Private 50%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Fiduciary Funds</u>
SFY 96-97	NA	1,000,000	1,500,000
SFY 97-98	NA	1,000,000	1,500,000
SFY 98-99	NA	1,000,000	1,500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Fiduciary Funds</u>
SFY 96-97	NA	33,101*	253,820*
SFY 97-98	NA	999,998*	161,421*

*Expended as of March 31 of the SFY.

COMMUNITY-BASED FOSTER CARE AND PREVENTIVE SERVICES

Objective: Supports permanency planning for minority children in foster care and the development of community-based preventive services and adoption programs to help minority children remain with their families.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 62306

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Child Welfare Reform Act of 1979

Regulation: NA

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be community-based organization with experience in the delivery of child welfare services and with an innovative approach to the delivery of prevention and adoption services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	180,000	NA
SFY 97-98	NA	180,000	NA
SFY 98-99	NA	180,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	33,101*	NA
SFY 97-98	NA	NA*	NA

*Expended as of March 31 of the SFY.

DELINQUENCY PREVENTION PROGRAMS

Objective: Designed to divert offenders from residential placements through human development initiatives.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 55970

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Christine Heywood
Associate Commissioner
Field Operations
NYS Office of Children and Family Services
Riverview Center
150 Broadway
Menands, NY 12204
(518) 474-9465

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties, Private Non-Profit Groups and Public Agencies.

Type of Program and Special Restrictions: Optional. Must demonstrate capacity to provide services in accordance with criteria specified from "New York Youth: A Blueprint for State and Local Action."

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Grantee. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	2,500,000	NA
SFY 98-99	NA	2,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

FAMILY AND CHILDREN'S SERVICES BLOCK GRANT

Objective: Supports foster care services (including care, maintenance, supervision, tuition and independent living services; supervision of foster children placed in Federally funded Jobs Corps programs, care, maintenance, supervision and tuition for adjudicated juvenile delinquents and persons in need of supervision placed in out-of-State residential programs) and in-home services for families and children (including child protective services, child preventive services and adoption services other than adoption subsidies).

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

NYS Object Code: 62302, 62303, 62401, 62404, 62405

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 93.658, 93.659, 93.674

Legal Authority:

Law: NY Social Services Law, Article 5, Title 2, Section 153-i; US Social Security Act of 1935, Title IV-E and PL 96-272

Regulation: 45 CFR , Parts 1355-1356

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: Federal 50%, State and Local 50% for Title IV-E; Family and Children's Services Block Grant 100% up to capped local social services district allocation.

Maintenance of Effort: Yes, for Child Preventive Services

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	341,926,456*	507,335,000	NA
SFY 97-98	299,625,211*	507,335,000	NA
SFY 98-99	NA**	545,214,200	NA

* Title IV-E funds available for Family and Children's Services Block Grant expenditures.

** Final figures for Title IV-E unavailable.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	341,926,456*	506,396,064	NA
SFY 97-98	299,625,211*	433,070,191	NA

* Title IV-E, including Title IV-E Independent Living funds, disbursed for foster care maintenance and administration and adoption administration. Does not include Title IV-B, Title XX, or funds transferred from the Temporary Assistance to Needy Families Block Grant to Title XX. Expenditures of these Federal funds for Family and Children's Services Block Grant services are indistinguishable from expenditures for other child welfare services. See Child Welfare Services and Title XX Block Grant programs reported separately.

INTEGRATED COUNTY PLANNING FOR CHILDREN, YOUTH & FAMILIES

Objective: Seeks to establish a single, integrated county-level planning process for all publicly and privately supported children, youth and family services.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 55970

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Nancy Martinez
Assistant Director
Strategic Planning and Policy Development
NYS Office of Children and Family Services
Riverview Center
150 Broadway
Menands, NY 12204
(518) 474-9586

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	1,500,000	NA
SFY 98-99	NA	1,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

JUVENILE DETENTION, NON-SECURE

Objective: Provides temporary custody, care, maintenance and supervision to juveniles involved in the court process, in a locally-operated facility characterized by the lack of physically restricting hardware, construction and procedures.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 69107

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-G

Regulation: 9 NYCRR 180

Program Contact:

Carole J. Singer
Supervisor
Detention Services Unit
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 473-4630

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties. Must be designated pursuant to Section 510-A of the Executive Law and certified by the Office of Children and Family Services.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. Monies must be used for operation of non-secure detention facilities.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	16,404,700	NA
SFY 97-98	NA	16,404,700	NA
SFY 98-99	NA	16,404,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	12,692,300	NA
SFY 97-98	NA	11,385,500	NA

JUVENILE DETENTION, SECURE

Objective: Provides temporary custody, care, maintenance and supervision to juveniles involved in the court process, in a locally-operated facility characterized by physically restricting hardware, construction and procedures.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 69106

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-G

Regulation: 9 NYCRR 180

Program Contact:

Carole J. Singer
Supervisor
Detention Services Unit
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 473-4630

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties. Must be designated pursuant to Section 510-A of the Executive Law and certified by the Office of Children and Family Services.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. Monies must be used for operation of secure detention facilities.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	20,101,200	NA
SFY 97-98	NA	20,101,200	NA
SFY 98-99	NA	22,101,200	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	15,528,800	NA
SFY 97-98	NA	21,990,000	NA

MENTAL HEALTH/JUVENILE JUSTICE PRE-PLACEMENT PROGRAM

Objective: Aims to reduce institutional placements by diverting juveniles who have been brought to Family Court on a juvenile delinquency petition, and who are in need of mental health and/or alcohol and substance abuse services.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 55970

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Linda Dorangrichia
MH/JJ Pre-Placement Project Director
Children's Services Program Support
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 474-3427

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,500,000	NA
SFY 97-98	NA	1,500,000	NA
SFY 98-99	NA	1,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,091,400	NA
SFY 97-98	NA	1,470,800	NA

NEW YORK STATE CHILD CARE BLOCK GRANT

Objective: Provides child care assistance to special groups and for activities to increase the availability or quality of child care of child care programs and to provide child care assistance to (1) families in receipt of family assistance or safety net assistance with children under 13 years of age when the care is necessary for work or approved work activities, (2) families transitioning from family assistance, (3) low income working families, (4) teen parent completing high school and (5) other families as determined by local social services districts.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

NYS Object Code: 62702, 62901, 62902

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 93.575, 93.596

Legal Authority:

Law: NY Social Services Law, Article 6, Title 5-C; US Child Care and Development Block Grant Act of 1990 and Title IV-A, Section 418 as amended by Title VI of Personal Responsibility and Work Opportunity Act (PRWORA) of 1996 (PL 104-193)

Regulation: 45 CFR , Parts 98 and 99; NYCRR Article 12, Parts 414 -418

Program Contact:

Suzanne Sennett
Director
Bureau of Early Childhood Services
NYS Office of Children and Family Services
Riverview Center
150 Broadway
Menands, NY 12204
(518) 474-9454

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Subsidy: Counties; All other: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal and State requirements.

Action Required to Receive Aid: Subsidy: Non-competitive application for aid required. All other: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State; Federal to State to Local (Pass-through); State to Local. Monies are advanced and reimbursed.

Type of Aid: Subsidies: Ongoing. All other: Project Grant.

Formula: Subsidies: Yes - Consult program and/or fiscal contact. All other: NA

Matching Requirement: Family Assistance Child Care Subsidies only: Federal and State 75%; Local 25%.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	221,182,535*	92,766,000	NA
SFY 98-99	292,600,427**	96,066,000	NA

* FFY 96-97 Federal Child Care and Development Block Grant; includes \$66.6 million authorized for Child Care in SFY 97-98 from the Temporary Assistance to Needy Families Block Grant

** FFY 97-98 Federal Child Care and Development Block Grant; includes \$76.6 million authorized for Child Care in SFY 98-99 from the Temporary Assistance to Needy Families Block Grant.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	140,118,390*	91,406,410**	NA

* Federal CCDF.

** Expended as of March 31 of the SFY.

POST RESIDENTIAL SUPERVISION PROGRAM

Objective: Provides funding to localities to increase the supervision capacity of local probation departments to include conditionally released youth and to purchase necessary services to assist in the successful reintegration of youth to the community.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 55970

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Donna Natili
Post Residential Services Project Director
Program Support & Community Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-4235

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties.

Type of Program and Special Restrictions: Optional. Must be operated by a local probation department.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,000,000	NA
SFY 97-98	NA	1,000,000	NA
SFY 98-99	NA	1,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	48,300	NA
SFY 97-98	NA	476,300	NA

RESPONSIBLE PARENTING/ADOLESCENT PREGNANCY PREVENTION AND SERVICES (APPS)

Objective: Supports the establishment of comprehensive and coordinated strategies for prevention of adolescent pregnancy and development of more effective services for the parenting adolescent.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 62304

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 8-A, Adolescent Pregnancy Prevention Services Act of 1984

Regulation: NA

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be a qualifying organization capable of providing necessary coordination of services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 75%, Local and/or Private funding or services 25%. Match may be waived by Commissioner.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	7,670,000	NA
SFY 97-98	NA	9,670,000	NA
SFY 98-99	NA	9,670,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,045,764*	NA
SFY 97-98	NA	6,356,367*	NA

*Expended as of March 31 of the SFY.

RUNAWAY AND HOMELESS YOUTH

Objective: Encourages and supports the establishment and operation of local programs to serve runaway and homeless youth.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 69103, 69104

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-H

Regulation: 9 NYCRR 182

Program Contact:

Christine Heywood
Associate Commissioner
Field Operations
NYS Office of Children and Family Services
Riverview Center
150 Broadway
Menands, NY 12204
(518) 474-9465

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties. Requires a Runaway and Homeless Youth Plan approved by the Commissioner of the Office of Children and Family Services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for runaway/homeless and independent living program in accordance with county comprehensive plan.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 60%, Local 40%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,313,700	NA
SFY 97-98	NA	5,313,700	NA
SFY 98-99	NA	5,313,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,922,200	NA
SFY 97-98	NA	5,437,500	NA

SOCIAL SERVICES TRAINING

Objective: Provides local social services district personnel with training to effect proper and efficient administration of the programs of the Office of Children and Family Services.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

NYS Object Code: 62701, 62702, 62703, 62704, 62705

Year Established: Varied

Catalog of Federal Domestic Assistance No.: 10.561, 93.558, 93.560, 93.563, 93.658, 93.659, 93.778

Legal Authority:

Law: NY Social Services Law, Article 3, Title 7; US Social Security Act of 1935, Titles IV-A, IV-D, IV-E and XIX as amended by Title VI of Personal Responsibility and Work Opportunity Act (PRWORA) of 1996 (PL 104-193)

Regulation: NA

Program Contact:

Peter Miraglia
 Director
 Bureau of Training and Workforce
 Development
 NYS Office of Children and Family Services
 99 Washington Avenue
 Albany, NY 12243
 (518) 474-9645

Fiscal Contact:

Susan A. Costello
 Associate Commissioner
 for Financial Management
 NYS Office of Children and Family Services
 52 Washington Street
 Rensselaer, NY 12144
 (518) 486-7218

Eligibility: Counties. Must be a local social services district.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Varied.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	47,475,747	5,000,000	NA
SFY 97-98	72,579,855	5,000,000	NA
SFY 98-99	NA*	5,000,000	NA

*Final figures unavailable.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,477,658	5,000,000	NA
SFY 97-98	3,864,213	5,000,000	NA

SPECIAL DELINQUENCY PREVENTION

Objective: Designed to foster positive development and better quality of life for all youth under the age of 21.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 69102

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: 9 NYCRR 180

Program Contact:

Christine Heywood
Associate Commissioner
Field Operations
NYS Office of Children and Family Services
Riverview Center
150 Broadway
Menands, NY 12204
(518) 474-9465

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties, Cities, Towns, Villages, Private Non-Profit Groups and Public Agencies where Non-Profits are unavailable. Application requires approval of local youth bureau and/or NYS Office of Children and Family Services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Programs must be in accordance with county comprehensive plans and in compliance with regulations and guidelines.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Funding distribution is based on the combination of youth population and a DCJS formula derived from youth need indicators. Also, a portion of funding is distributed on a discretionary basis.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	10,420,500	NA
SFY 97-98	NA	11,420,500	NA
SFY 98-99	NA	11,420,500	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,600,600	NA
SFY 97-98	NA	10,895,800	NA

TITLE XX BLOCK GRANT

Objective: Enables states to provide social services to public assistance recipients and other low income persons directed toward one of five goals specified in the Federal law.

Administering Agency: NYS Office of Children and Family Services; US Department of Health and Human Services

NYS Object Code: 62301, 62302

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.667

Legal Authority:

Law: US Social Security Act of 1935, Title XX, PL 97-35; 42 USC 1397

Regulation: 45 CFR, Part 96 and 31; CFR, Part 205

Program Contact:

Donald K. Smith
Deputy Commissioner
Division of Development and
Preventive Services
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 402-3108

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: State Governments and Counties. Must be a local social services district.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used for Title XX services only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Yes. Consult program and/or fiscal contact.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	167,055,326*	NA	NA
SFY 97-98	341,897,976**	NA	NA
SFY 98-99	372,795,800***	NA	NA

* FFY 95-96 Title XX allotment to NYS.

** FFY 96-97 Title XX allotment to NYS and \$168.4 million authorized in SFY 97-98, for Title XX local district services, from the Temporary Assistance to Needy Families Block Grant.

*** FFY 97-98 Title XX allotment to NYS and \$215 million authorized in SFY 98-99, for Title XX local district services, from the Temporary Assistance to Needy Families block Grant.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	102,025,479	NA	NA
SFY 97-98	389,228,056	NA	NA

YOUTH DEVELOPMENT/DELINQUENCY PREVENTION

Objective: Provides aid to increase and improve developmental and recreational programs for youth.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 69102

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 19-A

Regulation: 9 NYCRR 165-1

Program Contact:

Christine Heywood
Associate Commissioner
Field Operations
NYS Office of Children and Family Services
Riverview Center
150 Broadway
Menands, NY 12204
(518) 474-9465

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: YDDP programs must be in accordance with county comprehensive plan.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Funding distribution is based on youth population.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	29,860,700	NA
SFY 97-98	NA	31,060,700	NA
SFY 98-99	NA	31,060,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	31,514,400	NA
SFY 97-98	NA	34,802,600	NA

YOUTH ENTERPRISE PROGRAM

Objective: This program is aimed at cultivating a new generation of young people with the requisite life skills, academic skills and entrepreneurial skills necessary for a productive and dynamic workforce prepared to compete in the 21st century global economy.

Administering Agency: NYS Office of Children and Family Services

NYS Object Code: 55970

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Ken Peterson
Assistant Commissioner
Office of Executive Support
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 474-9586

Fiscal Contact:

Susan A. Costello
Associate Commissioner
for Financial Management
NYS Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144
(518) 486-7218

Eligibility: Public Schools, Local Development Corporations, or Private Not-for-Profit Community Agencies.

Type of Program and Special Restrictions: Optional. Must demonstrate capacity to provide reintegration supervision services to youth transitioning from any Office of Children and Family facility to establish a youth enterprise program.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Grantee. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	1,000,000	NA
SFY 98-99	NA	1,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

Department of Civil Service

EXAMINATION PLANNING AND ADMINISTRATION

(Technical Assistance Program)

Objective: The State Department of Civil Service provides, upon request of municipal service agencies, examination services to be used for the selection of competitive class employees in accordance with merit and fitness.

Administering Agency: NYS Department of Civil Service

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.2

Regulation: Municipal Civil Service Rules, Regulations of the NYS Department of Civil Service (Present Regulations)

Program Contact:

Edward J. Doyle
Director
Municipal Service Division
NYS Department of Civil Service
State Office Building Campus, Bldg. 1
Albany, NY 12239
(518) 457-9553

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Regional Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Examination services are provided to municipal civil service agencies upon request.

Action Required to Receive Aid: Submit a request for examination assistance (MSD-300) with a copy of the position description.

Description of Aid:

The State Department of Civil Service prepares and rates examinations for municipal civil service agencies upon request. Other technical service related to examination administration is also provided, which can include advice on: examination security; list establishment; list certification; seniority credits; veterans credits; examination comparability and related issues.

POSITION CLASSIFICATION ASSISTANCE

(Technical Assistance Program)

Objective: Provides position classification assistance to municipal governments in New York State.

Administering Agency: NYS Department of Civil Service

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.1

Regulation: Municipal Civil Service Rules

Program Contact:

Edward J. Doyle
Director
Municipal Service Division
NYS Department of Civil Service
State Office Building Campus, Bldg. 1
Albany, NY 12239
(518) 457-9553

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Regional Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Requests for position classification assistance must be made through the municipal civil service agency that has jurisdiction.

Action Required to Receive Aid: Request from municipal civil service agency to the Municipal Service Division for assistance.

Description of Aid:

Technical assistance to review duties and responsibilities of positions in local government. Position job class specifications will be developed and status of current employees will be reviewed. Recommendations on appropriate titles and jurisdictional classification will be made to the municipal civil service agency.

REGIONAL AND SPECIALIZED TRAINING

(Technical Assistance Program)

Objective: Provides training programs on all aspects of merit system administration to improve municipal civil service administration.

Administering Agency: NYS Department of Civil Service

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

Edward J. Doyle
Director
Municipal Service Division
NYS Department of Civil Service
State Office Building Campus, Bldg. 1
Albany, NY 12239
(518) 457-9553

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
Training programs on selected topics of civil service/personnel administration.

Action Required to Receive Aid: Request via municipal civil service agency.

Description of Aid:

Training programs that can be of short (half-day) duration to week-long seminars depending on the topic. Typical topics cover areas such as:

- Civil Service Law and Rules
- Disciplinary Procedures
- Jurisdictional Classification
- Position Classification
- Examination Administration
- Personnel Transactions
- Layoff Procedures
- Veterans Rights Under Civil Service

TECHNICAL ADVICE AND ASSISTANCE

(Technical Assistance Program)

Objective: Provides municipal civil service agencies with a consultative service which provides technical advice and assistance relative to merit system administration.

Administering Agency: NYS Department of Civil Service

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

Edward J. Doyle
Director
Municipal Service Division
NYS Department of Civil Service
State Office Building Campus, Bldg. 1
Albany, NY 12239
(518) 457-9553

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Consultant service to municipal civil service agencies.

Action Required to Receive Aid: Request.

Description of Aid:

Consultants available to assist municipal civil service agencies in all aspects of merit system administration.

**TECHNICAL ADVICE AND ASSISTANCE IN
PREPARATION AND PROMULGATION OF
CIVIL SERVICE RULES**

(Technical Assistance Program)

Objective: Provides technical advice and assistance to municipal civil service agencies to help in the development, preparation and promulgation of Municipal Civil Service Rules to carry into effect State Civil Service Law.

Administering Agency: NYS Department of Civil Service

NYS Object Code: NA

Year Established: 1941

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law, Section 23.3

Regulation: NA

Program Contact:

Edward J. Doyle
Director
Municipal Service Division
NYS Department of Civil Service
State Office Building Campus, Bldg. 1
Albany, NY 12239
(518) 457-9553

Fiscal Contact:

NA

Eligibility: Municipal Civil Service Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Request to Municipal Service Division.

Description of Aid:

The Municipal Personnel Consultant will work with requesting civil service agency to develop rules necessary to effectively administer civil service in areas such as: jurisdiction; advice on proper procedures for adoption; and implementation of rule.

IMPROVEMENT OF CORRECTIONAL FACILITIES

(Technical Assistance Program)

Objective: Regulates and oversees management of local correctional facilities to improve all conditions therein relative to promoting a safe, viable and secure environment for staff and inmates. This purpose is achieved in part by the provision of technical assistance to sheriffs, jail administrators, county officials and correction officers.

Administering Agency: NYS State Commission of Correction

NYS Object Code: 01530

Year Established: 1895

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Correction Law, Article 3, Section 45

Regulation: Title 9, NYCRR, Subtitle AA

Program Contact:

James Lawrence
Director of Field Operations
Commission of Correction
4 Tower Place
Albany, NY 12203
(518) 485-2346

Fiscal Contact:

Paula Steigman
Administrative Officer
Commission of Correction
4 Tower Place
Albany, NY 12203
(518) 485-2346

Eligibility: Counties, and other governmental jurisdictions operating a lockup.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Must be opening or operating a county jail or lockup.

Description of Aid:

Under this technical assistance program the following are provided: provision of minimum standards by which the jail must be run; evaluation of compliance with standards; training; provision of information on staffing, construction, health services and other issues; and investigation of complaints, serious incidents and inmate deaths.

BOARD OF PRISONERS

Objective: Counties are reimbursed for the housing of Class D&E felons, corum nobis and State ready prisoners. Housing of parole violators is reimbursed by the Division of Parole effective October 1, 1990. Counties are reimbursed for the housing of Contract Inmates effective October 1997.

Administering Agency: NYS Department of Correctional Services

NYS Object Code: 66701

Year Established: 1909

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Correction Law, Sections 601-B, 601-C and Section 95, NY Executive Law, Section 259, NY Penal Law, Section 70.00 (4)

Regulation: NA

Program Contact:

Bruce A. Johnson
Director
Budget and Finance
NYS Department of Correctional Services
State Campus, Building 2
Albany, NY 12226
(518) 457-5574

Fiscal Contact:

NA

Eligibility: Counties and New York City. Provision of housing is outlined in above mentioned laws.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Amounts are based on allowable expenses per inmate.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	43,941,700	NA
SFY 97-98	NA	59,994,000	NA
SFY 98-99	NA	59,504,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	43,941,700	NA
SFY 97-98	NA	59,994,000	NA

TRANSPORTATION OF PRISONERS

Objective: Localities are reimbursed for expenses incurred in transporting convicted felons to State facilities.

Administering Agency: NYS Department of Correctional Services

NYS Object Code: 54300, 54800, 55950

Year Established: 1909

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Correction Law, Sections 601(a), 602, 604 and 605

Regulation: NA

Program Contact:

Bruce A. Johnson
Director
Budget and Finance
NYS Department of Correctional Services
State Campus, Building 2
Albany, NY 12226
(518) 457-5574

Fiscal Contact:

NA

Eligibility: Counties and New York City.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Amounts are based on allowable salary and travel expenses.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	721,000	NA
SFY 97-98	NA	959,083	NA
SFY 98-99	NA	960,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	721,000	NA
SFY 97-98	NA	959,083	NA

COURT FACILITIES INCENTIVE AID FUND

Objective: Provides aid to cities and counties for: (1) Maintenance and Operations (M&O) of court facilities, (2) cleaning and minor repairs of court facilities, (3) interest associated with financing new or improved court facilities, and (4) all facilities costs associated with appellate courts.

Administering Agency: NYS Office of Court Administration

NYS Object Code: 69950, 69951 and 69952

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1987, Chapter 825; L. 1995, Ch. 687; L. 1996, Ch. 686; L. 1998, Ch. 213

Regulation: Rules 34, 34.1 and 34.2 of the Chief Judge

Program Contact:

William T. Brina
 Coordinator, Capital Planning and Finance
 Office of Budget and Finance
 NYS Office of Court Administration
 Agency Building 4, 19th Floor
 Albany, NY 12223
 (518) 473-8253

Fiscal Contact:

Same

Eligibility: Counties and Cities.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: For Ch. 825, 687 and 213, non-competitive applications for aid are required. For Ch. 686 aid is by contract.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures for interest payments and appellate leases, as a reimbursement for maintenance and operations, and pursuant to contract for cleaning and minor repairs.

Type of Aid: Project Grant and Ongoing

Formulas: Percentage of expenditures by local governments for aided purposes. Ranges: 10-25 percent for M&O aid; 25-33 percent for interest. Aid percentage within range established by relative taxing capacity: factors are population and value of taxable property. One hundred percent of expenses associated with appellate courts are now aidable, as are 100% of expenses associated with supervision of workfare clients to clean courts. Beginning in 1998-99, there is a four year phase-in beginning at 25% and going to 100% in SFY 2001-02 for cleaning and minor repair costs.

Matching Requirement: See formulas.

Maintenance of Effort: See formulas. Also requires compliance with M&O standards and capital plan.

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	24,000,000
SFY 97-98	NA	NA	27,500,000
SFY 98-99	NA	NA	38,600,000

*Amounts appropriated are new appropriations by fiscal year.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	25,208,663
SFY 97-98	NA	NA	25,625,411

*Total annual disbursements include spending from new appropriations and appropriations of prior years' unexpended balances.

Comments: Program provides subsidies to counties and cities for what was heretofore entirely a local cost. Subsidy moneys come from a Special Revenue Fund into which certain legal fees are deposited. M&O program phased out after SFY 1999-2000 payments. Superseded by the court cleaning and minor repair program which commenced in SFY 1998-99.

Crime Victims Board

CRIME VICTIM ASSISTANCE

Objective: Expands local crime victim and witness service programs to make them more comprehensive, and encourages the initiation of crime victim and witness service delivery systems in new areas.

Administering Agency: NYS Crime Victims Board; US Department of Justice, Office for Victims of Crime

NYS Object Code: 66801

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 16.575

Legal Authority:

Law: NY Executive Law, Article 22; US Victim of Crime Act of 1984, Children's Justice and Assistance Act of 1986

Regulation: NA

Program Contact:

Anne Marie Strano
 Director of Grants
 Victim and Witness Assistance
 Crime Victims Board
 845 Central Avenue
 Albany, NY 12206
 (518) 457-8727

Fiscal Contact:

Patricia Pouloupoulos
 Administrative Officer
 Administrative Services
 845 Central Avenue
 Albany, NY 12206
 (518) 457-8727

Eligibility: State Governments, Counties, Cities, Towns, Villages and Private Non-Profit Groups. All eligible must already be in existence, non-profits must have a current charities registration with the NYS Department of State. All must have a Federal ID number.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be used specifically for services to victims of crime.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal varies, State varies, Local 20-35%

Maintenance of Effort: Based on quantified objectives.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,925,400	NA	1,735,400
SFY 97-98	8,183,000	NA	3,314,000
SFY 98-99	16,117,600	NA	4,449,300

*Amounts appropriated under federal funds have been reduced to actual federal award amounts less administrative costs taken.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	6,513,200	NA	1,910,273
SFY 97-98	8,195,827	NA	2,785,708

AID TO CRIME LABORATORIES

Objective: Funds are available to local crime labs to improve resources and management capabilities for targeting serious felony offenses.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66205

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: Counties and Cities. Must have population of at least 100,000, employ full-time crime laboratory staff, and have a significant crime problem which warrants special effort.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used to enhance efforts to focus on the analysis of evidence for serious felony and narcotics cases.

Action Required to Receive Aid: Non-competitive application for aid required to continue 1995-96 awards with the remainder potentially being distributed through an RFP process. The remainder would be used to assist laboratories in obtaining DNA certification.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.
Type of Aid: Ongoing
Formula: Population, crime statistics, caseload statistics.
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,259,400	NA
SFY 97-98	NA	5,259,400	NA
SFY 98-99	NA	5,259,400	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,075,620	NA
SFY 97-98	NA	5,244,400	NA

AID TO DEFENSE

Objective: Funds are available to local defense agencies to improve resources and management capabilities in defending serious felony offenders.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66202

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: Counties and Private Non-Profit Groups. Must have population of at least 100,000, employ full-time defense staff, and have significant crime problem which warrants special effort.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used to enhance efforts to target specific serious crimes or criminals.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.
Type of Aid: Ongoing
Formula: Population, crime statistics, caseload statistics.
Matching Requirement: Only for those NYC grantees who received funds under Emergency Felony or Special Narcotics Court Parts programs.
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,837,300	NA
SFY 97-98	NA	13,837,300	NA
SFY 98-99	NA	13,837,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,726,530	NA
SFY 97-98	NA	13,815,429	NA

AID TO LAW ENFORCEMENT

Objective: Funds investigations against repeat and serious felony offenders to combat narcotics and illegal handgun trafficking and to apprehend violent felony offenders.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66301

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: Counties, Cities, Towns and Villages. Must be local law enforcement agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Population, crime statistics, caseload statistics.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,669,800	NA
SFY 97-98	NA	8,669,800	NA
SFY 98-99	NA	8,669,800	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,470,996	NA
SFY 97-98	NA	7,949,204	NA

AID TO PROSECUTION

Objective: Funds are available to local District Attorneys to improve resources and management capabilities to target serious offenders.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66201

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: Counties. Must have population of at least 100,000, employ full-time prosecution staff, and have a significant crime problem which warrants special effort.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required. Must be used to enhance efforts to target specific serious crimes or criminals.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Population, crime statistics, caseload statistics.

Matching Requirement: Only for those NYC grantees who received funds under Emergency Felony or Special Narcotics Court Parts programs.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	21,013,000	NA
SFY 97-98	NA	21,013,000	NA
SFY 98-99	NA	21,013,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	20,996,274	NA
SFY 97-98	NA	20,940,520	NA

INDIGENT PAROLEE

Objective: Provides legal assistance and representation to indigent parolees and inmates in correctional facilities at parole revocation hearings and appeals therefrom.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66521

Year Established: 1979

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, 18-B of County Law and 259-I of the Executive Law

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: Counties, Legal Aid Societies and New York City.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Funds must be used solely for representing indigent inmates in parole revocation proceedings.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	823,506	NA
SFY 97-98	NA	1,275,000	NA
SFY 98-99	NA	1,575,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	823,506	NA
SFY 97-98	NA	1,255,862	NA

JUVENILE JUSTICE FORMULA GRANT PROGRAM

Objective: Supports programs to improve the organization and operation of the juvenile justice system, in order to control crime and assure the quality of justice for adolescents and juveniles.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Juvenile Justice and Delinquency Prevention

NYS Object Code: 66101

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 16.540

Legal Authority:

Law: US Juvenile Justice and Delinquency Prevention Act of 1974

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: State Governments, Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project grant of limited duration.

Formula: Aid is based on population, high school dropouts, school failure, youth unemployment, poverty, child abuse, juvenile arrests and PINS petitions.

Matching Requirement: After the second year, there is a match of 25% Local to 75% Federal funds.

Maintenance of Effort: Institutionalization is expected.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	4,050,000	NA	NA
FFY 97-98	4,243,000	NA	NA
FFY 98-99	5,290,700	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	2,148,209	NA	NA
FFY 97-98	516,182	NA	NA

NARCOTICS CONTROL/ANTI-DRUG ABUSE

Objective: Provides assistance to the State and units of local government to increase apprehension, prosecution, detention, treatment and rehabilitation of persons who violate State and Federal laws relating to controlled substances.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Justice Programs

NYS Object Code: 66121

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 16.579

Legal Authority:

Law: NY Laws of 1987, Chapter 551; US Anti-Drug Abuse Act of 1986

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: State Governments, Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Use of funds are limited by Federal guidelines. See CFDA listing or consult Program Contact.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Population, crime statistics.

Matching Requirement: Federal 75%, Local 25%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	15,676,809	NA	NA
FFY 97-98	16,630,800	NA	NA
FFY 98-99	16,842,800	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	10,556,869	NA	NA
FFY 97-98	5,750,510	NA	NA

OFFICE OF THE SPECIAL NARCOTICS PROSECUTOR

Objective: Provides funds to New York City to enhance investigation and prosecution of drug offenses citywide.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66222

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1971, Chapter 462

Regulation: NA

Program Contact:

Katherine N. Lapp
Commissioner
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260

Fiscal Contact:

Celeste Hill
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105

Eligibility: New York City Special Prosecutor

Type of Program and Special Restrictions: Aid for Office of Special Prosecutor only.

Action Required to Receive Aid: Submit appropriate application and execute grant award agreement.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Grant

Formula: NA

Matching Requirement: New York City minimum of 35 percent of program cost.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,425,000	NA
SFY 97-98	NA	1,425,000	NA
SFY 98-99	NA	1,425,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,425,000	NA
SFY 97-98	NA	1,425,000	NA

SALARIES OF DISTRICT ATTORNEYS

Objective: To share with counties the payment of District Attorney's salaries provided the counties establish full time district attorney positions and make salaries for those positions at least equal to the salaries paid to county court judges.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66502

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: County Law, Section 700, NY Laws of 1987, Chapter 263

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: Counties.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Local requirements.

Action Required to Receive Aid: Submission of appropriate legal documentation regarding full-time D.A.'s position and State aid voucher.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: See County Law, Section 700.

Matching Requirement: NA

Maintenance of Effort: Full-time District Attorney

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 95-96	NA	1,815,000	NA
SFY 96-97	NA	2,000,200	NA
SFY 97-98	NA	2,000,200	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,858,035	NA
SFY 97-98	NA	NA	NA

SOFT BODY ARMOR

Objective: Funds the purchase of soft body armor (ballistics) vests for police officers.

Administering Agency: NYS Division of Criminal Justice Services

NYS Object Code: 66401

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 837-d, NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: State Governments, Counties, Cities, Towns, Villages and Public Authorities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
 Funds can only be used for the purchase of soft body armor (ballistics vests).

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	886,700	NA
SFY 97-98	NA	886,700	NA
SFY 98-99	NA	886,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	801,952	NA
SFY 97-98	NA	800,444	NA

STATE CHALLENGE GRANT PROGRAM

Objective: Provides incentives for States participating in the Formula Grants program to develop, adopt and improve policies and programs in one or more of the ten specified challenge activities.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Juvenile Justice and Delinquency Prevention

NYS Object Code: 66101

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 16.549

Legal Authority:

Law: US Juvenile Justice and Delinquency Prevention Act of 1974, Title II, Part E.

Regulation: NA

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Funding for project is of limited duration.

Formula: Funded grants are not subject to formula allocation.

Matching Requirement: NA

Maintenance of Effort: Grantee is expected to institutionalize the program.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	605,000	NA	NA
FFY 97-98	700,000	NA	NA
FFY 98-99	700,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	605,000	NA	NA
FFY 97-98	388,500	NA	NA

S.T.O.P. VIOLENCE AGAINST WOMEN

Objective: Provides assistance to victim services providers, district attorneys' offices and law enforcement agencies to improve the criminal justice system response to violence against women.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Justice Programs

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 16.588

Legal Authority:

Law: US Violent Crime Control and Law Enforcement Act of 1994.

Regulation: 28 CFR Part 66

Program Contact:

Katherine N. Lapp
 Commissioner
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-1260

Fiscal Contact:

Celeste Hill
 Director of Financial Administration
 NYS Division of Criminal Justice Services
 4 Tower Place
 Albany, New York 12203
 (518) 457-6105

Eligibility: State Governments, Counties, Cities, Towns, Villages, Indian Tribal Organizations, and Non-Profit victim service providers.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State and Federal requirements. Limited to seven Federal purpose areas and approved State plan.

Action Required to Receive Aid: Competitive Request for Proposal required.

Description of Aid:

Flow of Funds: Federal to State to Local.
Type of Aid: Project Grant
Formula: NA
Matching Requirement: Federal 90%; Local 10%
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	6,832,000	NA	NA
FFY 97-98	7,639,000	NA	NA
FFY 98-99	9,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	5,585,835	NA	NA
FFY 97-98	4,463,423	NA	NA

TITLE V - LOCAL DELINQUENCY PREVENTION PROGRAMS

Objective: Reduces delinquency and youth violence by supporting communities in providing their children, families, neighborhoods and institutions with the knowledge, skills and opportunities necessary to foster a healthy and nurturing environment for their youth that promotes the development of productive and responsible citizens.

Administering Agency: NYS Division of Criminal Justice Services; US Department of Justice - Office of Juvenile Justice and Delinquency Prevention

NYS Object Code: 66101

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 16.548

Legal Authority:

Law: US Juvenile Justice and Delinquency Prevention Act of 1974, amended 1992.

Regulation: NA

Program Contact:

Katherine N. Lapp
Commissioner
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-1260

Fiscal Contact:

Celeste Hill
Director of Financial Administration
NYS Division of Criminal Justice Services
4 Tower Place
Albany, New York 12203
(518) 457-6105

Eligibility: Units of Local Government.

Type of Program and Special Restrictions: Limited options, but once chosen subject to mandated State and Federal requirements. Locality must form a Prevention Policy Board as the foundation of the collaborative effort.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Seed money of limited duration.

Formula: Aid is based on population, high school dropouts, school failure, youth unemployment, poverty, child abuse, juveniles arrested and PINS petitions.

Matching Requirement: Required local match of 50% of the Federal award.

Maintenance of Effort: Institutionalization is expected.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	1,160,000	NA	NA
FFY 97-98	1,215,000	NA	NA
FFY 98-99	1,200,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	745,332	NA	NA
FFY 97-98	NA	NA	NA

TECHNICAL ASSISTANCE

Objective: Provides a broad range of design and construction services.

Administering Agency: Dormitory Authority of the State of New York

NYS Object Code: NA

Year Established: 1944

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Authorities Law, Titles 4 and 4B, Article 8, as amended; NY Facilities Development Corporation Act, Chapter 359, Sections 4401-4417; Health Care Facilities Financing Act of 1995 (Section 177 of Chapter 83 of the Laws of 1995)

Regulation: NA

Program Contact:

Paul J. Burgdorf
Director
Communications and Marketing
Dormitory Authority of the State of New York
515 Broadway
Albany, NY 12207-2964
(518) 257-3382
Website: www.dasny.org

Fiscal Contact:

NA

Eligibility: State Agencies, Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, no mandated requirements. Fees are determined on a project basis.

Action Required to Receive Aid: A request for technical assistance in financing or construction project management services must be made to the Dormitory Authority.

Description of Aid:

The Dormitory Authority provides a broad range of design and construction services that include:

- Program and facility feasibility studies;
- Planning and program development;
- Land and property site searches, leases and acquisitions;
- Compliance with the State Environmental Quality Review Act, and all applicable building codes;
- Selection of architects;
- Supervision of architectural design;
- Project management services;
- Contract administration: advertising, bidding and awarding;
- Design services for renovations;
- Americans with Disabilities Act compliance review;
- Quality control inspections;
- Computer-aided facilities management;
- Auditing and cost control;
- Fulfilling minority business and affirmative action requirements;
- Interior design and equipment selection; and
- Procurement of furnishings and equipment.

ADULT EDUCATION

Objective: Supports the organization and operation of adult basic education classes.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61807

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 84.002A

Legal Authority:

Law: US Adult Education Act, PL 91-320, Section 230 as amended by Title I of PL 98-511, 100-436, 101-26, 102-73

Regulation: 34 CFR 425 and 34 CFR 75.730 - 75.734, 34 CFR 460-461

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, Private Non-Profit Groups, BOCES, Library Systems and Post Secondary Institutions.
Must demonstrate ability to provide literacy training to targeted populations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	15,148,373	NA	NA
SFY 97-98	21,119,718	NA	NA
SFY 98-99	21,460,231	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	13,214,852	NA	NA
SFY 97-98	17,045,607	NA	NA

ADULT LITERACY EDUCATION

Objective: To educate adults who are unemployed, who are eligible for public assistance, and who are only able to obtain employment that sustains them at a poverty level.

Administering Agency: NYS Education Department

NYS Object Code: 61126

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, p. 53

Regulation: 8 NYCRR 164.2

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Private Non-Profit Groups, Libraries, Colleges and School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Discretionary, most require submission of an RFP application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,324,700	NA
SFY 97-98	NA	3,324,700	NA
SFY 98-99	NA	3,324,700	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,241,304	NA
SFY 97-98	NA	2,501,584	NA

ADULT LITERACY SERVICES FOR PUBLIC LIBRARIES

Objective: Provides funds on a competitive basis to public libraries and library systems to establish or expand library services to adults in need of literacy services.

Administering Agency: NYS Education Department

NYS Object Code: 61201

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 273 (1)(h)(2)

Regulation: 8 NYCRR 90.3

Program Contact:

Maureen Read
Division of Library Development
NYS Education Department
Cultural Education Center, Room 10C50
Albany, NY 12230
(518) 486-4860

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Chartered and registered public libraries and public library systems.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required. Requires the submission of an RFP.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	185,000	NA
SFY 97-98	NA	188,000	NA
SFY 98-99	NA	200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	185,000	NA
SFY 97-98	NA	188,000	NA

ADVANCE TO SMALL CITY SCHOOL DISTRICTS

Objective: Provides interim assistance to certain small city school districts.

Administering Agency: NYS Education Department

NYS Object Code: 61155

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1978, Chapter 280

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. School districts must: (1) levy all taxes in the current year at at least the same tax rate as in the base year; (2) increase the overall rate at which taxes are raised by a percentage comparable to the percentage by which the budget is increased; and (3) use all years general fund balances from the base year to support current year appropriations.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	16,400,000	NA
SFY 97-98	NA	15,200,000	NA
SFY 98-99	NA	14,114,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	16,295,700	NA
SFY 97-98	NA	15,200,000	NA

AID FOR INSTRUCTIONAL COMPUTER TECHNOLOGY

Objective: Provides support for the use of instructional technology in the classroom.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (26-a)

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

Eligibility: School Districts

Type of Program and Special Restrictions: Optional. For support of instructional technology.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Eligible expenditures times State share ratio.

Matching Requirement: Aid is based on a State share ratio.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	8,999,729	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

AID TO SMALL CITY SCHOOL DISTRICTS

Objective: Provides financial relief to selected small city school districts.

Administering Agency: NYS Education Department

NYS Object Code: 61156

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 31-a

Regulation: 8 NYCRR 175.34

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. Must be a small city school district and have received such aid in immediately preceding school year.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Filing of basic data.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State, available from General Aids and Services, NYS Education Department.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	81,875,992	NA
SFY 97-98	NA	81,875,992	NA
SFY 98-99	NA	81,875,992	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	75,307,581	NA
SFY 97-98	NA	75,416,920	NA

APPRENTICESHIP TRAINING

Objective: To provide related and supplemental instruction to apprentices in trades or crafts who are unemployed.

Administering Agency: NYS Education Department

NYS Object Code: 61130

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53

Regulation: 8 NYCRR 160

Program Contact:

Howard Berkun
Workforce Preparation and Continuing
Education Team
NYS Education Department
Education Building, Room 315
Albany, NY 12234
(518) 474-5809

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES and Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to local by county. Monies are provided as supplemental funds as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,830,000	NA
SFY 97-98	NA	1,830,000	NA
SFY 98-99	NA	1,830,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,824,702	NA
SFY 97-98	NA	1,703,670	NA

ATTENDANCE IMPROVEMENT AND DROPOUT PREVENTION GRANT PROGRAM (RURAL DROPOUT PREVENTION)

Objective: Aids schools which assist students with a high degree of truancy and academic failure to remain in the school system. Limited to rural, small city districts, consortia of school districts and boards of cooperative educational services (BOCES).

Administering Agency: NYS Education Department

NYS Object Code: 61117

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 3602-a

Regulation: 8 NYCRR 144.4

Program Contact:

Carl Friedman
Associate, Planning and Evaluation
Comprehensive Health and Pupil Services
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts. Must be a rural, small-city school district, consortium of school districts or BOCES.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	949,900	NA
SFY 97-98	NA	949,900	NA
SFY 98-99	NA	949,900	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	774,459	NA
SFY 97-98	NA	888,756	NA

BASIC LITERACY

Objective: Supports literacy and basic education programs for out-of-school public assistance recipients 18 years and older.

Administering Agency: NYS Education Department

NYS Object Code: 61126

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 207

Regulation: 8 NYCRR 167

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must provide services to persons receiving public assistance.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,000,000	NA
SFY 97-98	NA	5,000,000	NA
SFY 98-99	NA	5,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,481,890	NA
SFY 97-98	NA	5,408,191	NA

BILINGUAL EDUCATION CATEGORICAL FUNDS

Objective: Supports a program of instruction designed for pupils with limited English proficiency, in which instruction is given in English and the pupil's native language.

Administering Agency: NYS Education Department

NYS Object Code: 61105

Year Established: 1973

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53; NY Education Law, Section 3602, Subdivision 2 and 2a

Regulation: 8 NYCRR 154

Program Contact:

Carmen A. Perez-Hogan
 Coordinator
 Office of Bilingual Education
 NYS Education Department
 Education Building Annex, Room 367
 Albany, NY 12234
 (518) 474-8775

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 501W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Program must operate as specified in the approved district plan.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Pupil counts in the 1981 base year; operating aid payable divided by pupil aidable units multiplied by 15 percent.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,200,000	NA
SFY 97-98	NA	11,200,000	NA
SFY 98-99	NA	11,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,433,670	NA
SFY 97-98	NA	8,384,255	NA

BILINGUAL EDUCATION PROGRAM

Objective: Funds development and implementation of bilingual education programs to meet the needs of children of limited English proficiency.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: NA

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.003

Legal Authority:

Law: US PL 100-297

Regulation: 34 CFR 500-574

Program Contact:

Gloria J. Casar
Supervisor
Office of Bilingual Education
NYS Education Department
NYC Regional Office
55 Hanson Place, Suite 566
Brooklyn, NY 11217-1580
(718) 722-2632

Fiscal Contact:

NA

Eligibility: State Governments, School Districts, Private Non-Profit Groups and Institutions of Higher Education.

Type of Program and Special Restrictions: This is a national, competitive program. Optional, but once chosen subject to mandated State and Federal requirements. Subject to Federal regulations - ESEA Title VII funds are used to supplement and not supplant State and local effort.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local for grants other than the SEA grant. Monies are provided in advance of expenditures.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Local effort for "capacity building" is required but no specific level is prescribed.

Amounts Appropriated and Disbursed:

This is a national, competitive program. The overall amount that would go to NYS from the Federal government depends on what grant applications are approved.

Education Department

BOCES AID

Objective: Supports Boards of Cooperative Educational Services (BOCES) in the provision of educational services that are not economically feasible for individual districts.

Administering Agency: NYS Education Department

NYS Object Code: 61102

Year Established: 1948

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 1950, Subdivision 5

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

Eligibility: BOCES. All services must have been approved by the NYS Education Department prior to being provided by BOCES.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is computed separately for each component school district and the sum of such components is paid to the BOCES. Aid is the greater of: (a) approved costs minus an amount computed as approved costs times the ratio of \$0.008 to the tax rate on the full value of taxable property in the school district; (b) approved costs times the aid ratio of the school district, provided that the aid ratio may not exceed 90 percent nor be below 36 percent; or (c) a save harmless amount based on the approved costs incurred in the 1967-68 school year, provided that aid will be proportionately reduced in any year that services fall below 1967-68 levels. Other special provisions apply - consult fiscal contact.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	324,849,422	NA
SFY 97-98	NA	360,361,893	NA
SFY 98-99	NA	394,010,862	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	282,744,619	NA
SFY 97-98	NA	330,557,125	NA

BUILDING AID

Objective: Supports the construction and/or reconstruction of safe, healthful and modern educational facilities.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1963

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 6

Regulation: NA

Program Contact:

Carl Thurnau
Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906

Fiscal Contact:

Office of Management Services
Local Assitance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. School district must employ eight or more teachers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.
Reimbursement made for approved expenditures for eligible capital construction.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Aid from State equals approved expenditures for capital construction or debt service multiplied by each individual district's aid ratio. Each local district makes up the balance.

Matching Requirement: Varies between State and Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	581,717,629	NA
SFY 97-98	NA	698,145,783	NA
SFY 98-99	NA	844,669,057	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	620,198,254	NA
SFY 97-98	NA	775,357,190	NA

¹Refers to NYSED State aid to public schools programs.

BUILDING BANS (NEW DEBT)

Objective: Supports the construction and/or reconstruction of safe, healthful and modern educational facilities with Bond Anticipation Notes (BANS).

Administering Agency: NYS Education Department

NYS Object Code: 61157

Year Established: 1962

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 6

Regulation: NA

Program Contact:

Carl Thurnau
Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906

Fiscal Contact:

Office of Management Services
Local Assitance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. School district must employ more than eight teachers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Reimbursement made for approved expenditures for eligible capital construction.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: See State Aids and Entitlements for Elementary and Secondary Education in New York State, available from General Aids and Services, NYS Education Department. Aid from State equals approved expenditures for capital construction or debt service multiplied by each individual district's aid ratio. Each district makes up the balance.

Matching Requirement: Varies between State and Local.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	47,000,000	NA
SFY 97-98	NA	67,911,675	NA
SFY 98-99	NA	70,368,749	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	33,460,873	NA
SFY 97-98	NA	40,923,138	NA

CASE SERVICES - SECTION 110

Objective: Provides funding to agencies that serve Vocational Rehabilitation consumers.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 84.126

Legal Authority:

Law: US Rehabilitation Act of 1973, as amended.

Regulation: NA

Program Contact:

Debora Brown-Grant
 Manager, District Office Administration
 NYS Education Department
 One Commerce Plaza, Room 1601
 Albany, NY 12234
 (518) 486-4031

Fiscal Contact:

Michael DiVirgilio
 Chief of Education Fiscal Management
 NYS Education Department
 Education Building, Room 410
 Albany, NY 12234
 (518) 474-7751

Eligibility: Approved providers of Vocational Rehabilitation Services.

Type of Program and Special Restrictions: Mandated, required by Federal and State law and regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: The level of State and local funds budgeted for the project year must, at least, equal the amount of such funds expended during the period two years earlier.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	38,000,000	NA	NA
SFY 97-98	39,000,000	NA	NA
SFY 98-99	35,000,000*	NA	NA

*Represents a partial award received of Operating Grant.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	38,000,000	NA	NA
SFY 97-98	39,000,000	NA	NA

CATEGORICAL READING

Objective: To improve reading instruction and student achievement.

Administering Agency: NYS Education Department

NYS Object Code: 61158

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Education Law, Section 3602-a

Regulation: NA

Program Contact:

Shelia Evans-Tranumn
 NYS Education Department
 55 Hanson Place
 New York, NY 11217-1580
 (718) 722-2796

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts. Limited to school districts of cities with more than 125,000.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for reading instruction, supplies, or equipment.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	63,950,000	NA
SFY 97-98	NA	63,950,000	NA
SFY 98-99	NA	63,950,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	60,380,747	NA
SFY 97-98	NA	67,404,685	NA

CHILD ABDUCTION PREVENTION EDUCATION

Objective: To provide programs of instruction related to the prevention of child abduction for all K-8 students in New York State public schools.

Administering Agency: NYS Education Department

NYS Object Code: 61190

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: Commissioner's Regulations, Part 125

Program Contact:

Doris Hill-Wyley
Curriculum and Instruction
NYS Education Department
Education Building Annex, Room 671
Albany, NY 12234
(518) 474-5922

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	900,000	NA
SFY 97-98	NA	900,000	NA
SFY 98-99	NA	900,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	566,535	NA
SFY 97-98	NA	524,326	NA

COMMUNITY SCHOOLS PROGRAM

Objective: Funds programs in 41 school districts to develop a comprehensive approach to organize and focus resources on the internal and external factors affecting academic performance.

Administering Agency: NYS Education Department

NYS Object Code: 61128

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Education Law, Section 3602-a(3)

Regulation: NA

Program Contact:

Cheryl Ohonesian
Child, Family and Community
Services Team
NYS Education Department
Education Building Annex, Room 381
Albany, NY 12234
(518) 474-5807

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,000,000	NA
SFY 97-98	NA	6,000,000	NA
SFY 98-99	NA	6,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,916,390	NA
SFY 97-98	NA	5,543,012	NA

COMPREHENSIVE INSTRUCTIONAL MANAGEMENT SYSTEMS

Objective: Legislation requires that half of this allocation be available to the city school districts of the cities of New York, Buffalo, Rochester, Syracuse and Yonkers. The remaining monies provide support, on a competitive basis, to all other districts in New York State to support activities necessary for higher student performance. This involves preparation of teachers through teacher staff development and teacher scoring training, use of technology and the media for teachers and parents, curriculum instructional materials, and assessment information researched by organizations at the national level.

Administering Agency: NYS Education Department

NYS Object Code: 61152

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Laws of 1982, Chapter 418, NY Education Law, Section 3602-a

Regulation: NA

Program Contact:

Roseanne DeFabio
Curriculum and Instruction
NYS Education Department
Education Building Annex, Room 675
Albany, NY 12234
(518) 473-8770

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,500,000	NA
SFY 97-98	NA	2,500,000	NA
SFY 98-99	NA	2,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,034,166	NA
SFY 97-98	NA	3,096,084	NA

COMPREHENSIVE SCHOOL HEALTH EDUCATION DEMONSTRATION PROGRAM

Objective: Develops and replicates comprehensive school health and wellness programs (especially at K-6 level) to promote positive life styles for students and staff through home-school community involvement.

Administering Agency: NYS Education Department

NYS Object Code: 61127

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 804-9

Regulation: 8 NYCRR 135.6

Program Contact:

Rebecca Gardner
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Approved project purposes.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	472,500	NA
SFY 97-98	NA	472,500	NA
SFY 98-99	NA	472,500	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	266,110	NA
SFY 97-98	NA	423,292	NA

COMPREHENSIVE SCHOOL REFORM DEMONSTRATION PROGRAM

Objective: Provides funding for low-performing schools to implement research based school reform models.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61803

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Zenobia O'Neal
Compensatory Education Unit
NYS Education Department
Education Building Annex, Room 461
Albany, NY 12234
(518) 474-5923

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Public Schools.

Type of Program and Special Restrictions: Optional, but once chosen subject to State and Federal restrictions.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant for three years.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Non-supplanting requirement.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	12,473,685	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

COMPREHENSIVE STATEWIDE HIV/AIDS TRAINING

Objective: Provides grants to selected BOCES and New York City Board of Education to help develop AIDS Education program for in-school and out-of-school children and youth.

Administering Agency: NYS Education Department; US Department of Health and Human Services

NYS Object Code: 61890, 61127

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 93.118

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 912; US Public Health Service Act, Sections 301(i), 307, 311, 317, 327, 352 and 1102, as amended, PL 95-626

Regulation: 8 NYCRR 135.3; 45 CFR Parts 74, 92

Program Contact:

Rebecca Gardner
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	923,058	NA	NA
SFY 97-98	768,941	1,190,000*	NA
SFY 98-99	380,533	1,190,000*	NA

*Includes Aids Institute

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	342,813	1,212,124*	NA
SFY 97-98	717,004	672,655*	NA

*Includes Aids Institute

COMPUTER HARDWARE

Objective: Provides reimbursement to public school districts for the lease or purchase of instructional computer hardware and peripherals.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: 8 NYCRR 175.25

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. Must be a public school district which spent money on instructional hardware in the previous year, and has a resident weighted average daily attendance aid ratio greater than zero.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is \$10.61 times total aidable pupil units times resident weighted average daily attendance aid ratio. Aid is the result of computation, or actual expenses, whichever is less.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	10,467,973	NA
SFY 97-98	NA	14,200,327	NA
SFY 98-99	NA	14,558,576	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	10,829,671	NA
SFY 97-98	NA	14,287,488	NA

¹Refers to NYSED State aid to public schools programs.

COMPUTER SOFTWARE

Objective: Provides aid for the purchase of computer software by public school districts and the loan of such computer software to both public and non-public school pupils. Such computer software is to be loaned for individual student use.

Administering Agency: NYS Education Department

NYS Object Code: 61108

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 751

Regulation: 8 NYCRR 21.3

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. Pupils must be enrolled in a public or non-public school which complies with the compulsory education law and which is located within the boundaries of the public school district.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for computer software only.

Action Required to Receive Aid: Report of expenditures.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is approved enrollment times approved expense per pupil (\$4.58).

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,942,185	NA
SFY 97-98	NA	10,978,168	NA
SFY 98-99	NA	11,756,897	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,907,718	NA
SFY 97-98	NA	11,112,264	NA

CONSERVATION/PRESERVATION OF LIBRARY RESEARCH MATERIALS

Objective: To preserve unique library research materials in the collections of libraries, archives, historical societies and similar agencies within New York State.

Administering Agency: NYS Education Department

NYS Object Code: 61201

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 273(7)

Regulation: 8 NYCRR 90.16

Program Contact:

Barbara Lilley
NYS Education Department
Cultural Education Center, Room 10B41
Albany, NY 12230
(518) 474-7890

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Libraries, Archives, Historical Societies and similar agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Discretionary, requires submission of RFP application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	500,000	NA
SFY 97-98	NA	500,000	NA
SFY 98-99	NA	500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	500,000	NA
SFY 97-98	NA	500,000	NA

DOCUMENTARY HERITAGE

Objective: Grants to archives, libraries, historical societies and museums for the purpose of collecting or administering historical records.

Administering Agency: NYS Education Department

NYS Object Code: 61190

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 140 and 207

Regulation: 8 NYCRR 189.1

Program Contact:

Kathleen Roe
Chief, Documentary Heritage Services
Archives and Records Administration
NYS Education Department
Cultural Education Center, Room 9C71
Albany, NY 12230
(518) 474-6926

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Chartered or incorporated non-profit archives, libraries, historical societies, museums and other non-profits which meet standards set by the commissioner.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Activity supported by the grant shall be from amongst those acceptable activities specified in the law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	500,000
SFY 97-98	NA	NA	500,000
SFY 98-99	NA	NA	500,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	497,475
SFY 97-98	NA	NA	396,454

DWIGHT D. EISENHOWER PROFESSIONAL DEVELOPMENT PROGRAM (TITLE II)

Objective: Funds local projects to improve the quality of mathematics and science teaching and to increase the access of all students to such institution. Program was formerly known as the Education for Economic Security Act (EESA Title II).

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61811

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 84.164A

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994. Title II - Dwight D. Eisenhower Professional Development Program, PL 103-382

Regulation: NA

Program Contact:

Laurie Rowe
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES, and Public and Private Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. In addition to training math or science teachers, projects may include pre-service training, recruitment or retraining of minority teachers in math or science, grants to individual teachers, and enhanced math or science curriculum. Funds must be used to supplement those available from non-Federal sources, not to supplement local funds with Federal dollars.

Action Required to Receive Aid: Non-competitive and competitive applications for aid required. Funds for elementary and secondary education are mainly flow-through, although some are demonstration funding. Ninety-five percent of funds available to higher education programs must be awarded on a competitive basis.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based on school district enrollment and poverty level.

Matching Requirement: School districts must contribute one-third of the total cost of their Eisenhower Professional Development program.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	19,368,445	NA	NA
SFY 97-98	21,816,735	NA	NA
SFY 98-99	23,980,578	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	16,350,089	NA	NA
SFY 97-98	19,994,311	NA	NA

EARLY CHILDHOOD DIRECTION CENTERS

Objective: Provides funding to the Statewide Network of Early Childhood Direction Centers.

Administering Agency: NYS Education Department

NYS Object Code: 61990

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Frederic DeMay
Manager, Program Development
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7462

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Early Childhood Direction Centers.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	656,000	NA
SFY 97-98	NA	656,000	NA
SFY 98-99	NA	656,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	656,000	NA
SFY 97-98	NA	656,000	NA

EARLY GRADE CLASS SIZE REDUCTION

Objective: Reduces class size in grades 1-3, thereby increasing student achievement.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: PL 105-277

Regulation: NA

Program Contact:

Gary Pollow
Child, Family and Community Services Team
NYS Education Department
Education Building, Room 318
Albany, NY 12234
(518) 474-5807

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: All School Districts according to a prescribed funding formula.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Formula driven aid.

Action Required to Receive Aid: Completion of a consolidated application is required for aid.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: Based on 80% poverty level and 20% enrollment.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: New Program

EDUCATION FOR GAINFUL EMPLOYMENT (EDGE)

Objective: Assists Temporary Assistance for Needy Families (TANF) recipients (formerly AFDC) to develop the academic and job skills needed for sustained employment and economic self-sufficiency.

Administering Agency: NYS Education Department, NYS Department of Labor; US Department of Health and Human Services

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Personal Responsibility and Work Opportunity Reconciliation Act of 1996.

Regulation: NA

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Private and public not-for-profit organizations (community-based organizations), local school districts, BOCES, post-secondary institutions, and employment and training agencies.

Type of Program and Special Restrictions: Funds are designated for TANF recipients to participate in allowable work-related activities such as: work-based education, job readiness training, work experience, vocational education, job placement, and post-employment services.

Action Required to Receive Aid: Funding is allocated to each county based on the number of employables within the county. The Local Department of Social Services, in cooperation with the State Departments of Labor and Education, then design the county program by selecting the local providers and the type of programs offered annually.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,500,000	8,620,436	NA
SFY 97-98	17,500,000	8,827,308	NA
SFY 98-99	18,500,000	8,827,308	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,500,000	8,620,436	NA
SFY 97-98	17,500,000	8,827,308	NA

EDUCATION OF NATIVE AMERICANS

Objective: Provides elementary, middle and secondary education and transportation for Native American children residing on reservations.

Administering Agency: NYS Education Department

NYS Object Code: 61904

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 111, 3602, 3635 and 4101-4119

Regulation: 8 NYCRR 174

Program Contact:

Adrian Cooke
 Acting Coordinator
 Native American Education Unit
 NYS Education Department
 Education Building Annex, Room 478
 Albany, NY 12234
 (518) 474-0537

Fiscal Contact:

Same

Eligibility: School Districts and Private Transportation Providers. Must be a school district located near an Indian reservation.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for services to eligible Native American Indian pupils attending public schools/reservation schools.

Action Required to Receive Aid: Submit reservation school budget, pupil lists and transportation proposals or bids.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Tuition reimbursement based on formula. Reservation school aid based on school budget. Transportation based on cost or competitive bid. Consult program contact for details.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	14,680,000	NA
SFY 97-98	NA	15,047,000	NA
SFY 98-99	NA	15,047,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	16,054,874	NA
SFY 97-98	NA	11,891,364	NA

EDUCATION OF CHILDREN WITH DISABILITIES COURT ORDER SECTION 4406

Objective: Supports special education costs incurred on behalf of preschool children with handicapping conditions, ages birth to two.

Administering Agency: NYS Education Department

NYS Object Code: 61402

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4406

Regulation: NYSED Commissioner's Regulations, Part 200

Program Contact:

Rita Levay
Manager, Special Education Policy &
Quality Assurance
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7584

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Counties. Counties must pay for court ordered services.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: For services on or after July 1, 1994 - State 69.5%; Local 30.5%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,000,000	NA
SFY 97-98	NA	4,000,000	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,268,847	NA
SFY 97-98	NA	1,831,026	NA

EDUCATION OF CHILDREN WITH DISABILITIES PRESCHOOL SECTION 4410

Objective: Supports special education costs incurred on behalf of preschool children with handicapping conditions, ages three and four.

Administering Agency: NYS Education Department

NYS Object Code: 61402

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4410

Regulation: NYSED Commissioner's Regulations, Part 200

Program Contact:

Rita Levay
Manager, Special Education Policy &
Quality Assurance
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7584

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Counties. Counties must pay for special education services in accordance with the recommendation of the Local Committee on Preschool Special Education and determination of the Board of Education.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: For services on or after July 1, 1994 - State 69.5%; Local 30.5%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	353,370,000	NA
SFY 97-98	NA	464,100,000	NA
SFY 98-99	NA	510,510,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	427,847,116	NA
SFY 97-98	NA	434,293,052	NA

EDUCATION OF HANDICAPPED ACT PL94-142

Objective: Supplements the special education programs of children with handicapping conditions ages 3-21.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61806

Year Established: 1968

Catalog of Federal Domestic Assistance No.: 84.027A

Legal Authority:

Law: NY Article 89; US PL 91-230, Title VI, PL 91-380, PL 94-142, PL 98-199 and PL 99-457, PL 101-476

Regulation: NYSED Commissioner's Regulations, Part 200; 34 CFR Part 300

Program Contact:

Rita Levay
 Manager, Special Education Policy &
 Quality Assurance
 NYS Education Department
 One Commerce Plaza, Room 1624
 Albany, NY 12234
 (518) 486-7584

Fiscal Contact:

Michael DiVirgilio
 Chief of Education Fiscal Management
 NYS Education Department
 Education Building, Room 410
 Albany, NY 12234
 (518) 474-7751

Eligibility: School Districts and State Agencies, BOCES, Private Non-Profit Groups. Recipients must be local educational agencies in which there are children with handicapping conditions, ages 3-21, who are receiving special education and related services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used for excess costs for children with handicapping conditions who have been classified by local educational agency's committee on special education. Must be used to supplement and not supplant local and State funds.

Action Required to Receive Aid: Application for aid required, count of eligible children must be submitted by local educational agencies as of December 1 of each year.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: December 1 count times 75 percent of a federally set per pupil amount.

Matching Requirement: NA

Maintenance of Effort: The total amount or average per capita amount of State and local school funds budgeted by the local educational agency for expenditures in the current fiscal year for the education of handicapped children must be at least equal to the total amount or average per capita amount of State and local school funds actually expended for the education of handicapped children in the most recent preceding fiscal year for which the information is available. Allowance may be made for: decreases in enrollment of handicapped children; and unusually large amounts of funds expended for such long term purposes as the acquisition of equipment and the construction of school facilities.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	119,512,000	NA	NA
SFY 97-98	162,605,684	NA	NA
SFY 98-99	198,100,802	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	162,605,684	NA	NA
SFY 97-98	198,100,802	NA	NA

EDUCATION OF HANDICAPPED ACT PRESCHOOL PROGRAM PL99-457

Objective: Supplements special educational related services provided by local educational agencies with local, State and other Federal funds to handicapped children ages 3-5 years (75% flow-through).

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61806

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 84.173A

Legal Authority:

Law: US Individuals with Disabilities Education Act, Part B, Section 1419, PL 99-457, PL 101-476

Regulation: 34 CFR Parts 300 and 301

Program Contact:

Rita Levay
Manager, Special Education Policy &
Quality Assurance
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7584

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: State Governments and School Districts. State and local educational agencies must serve handicapped pupils ages 3-5 in accordance with all rights and protection specified in the Education of the Handicapped Act.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Funds may only be used for costs in excess of funds expended in the education of all children and may not be used to supplant such costs.

Action Required to Receive Aid: Non-competitive application for aid required; LEAs must submit a count of eligible children served on December 1 of the preceding year.

Description of Aid:

Flow of Funds: Federal to State to local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: December 1 count submitted times at least 75 percent of the established Federal per pupil allowance.

Matching Requirement: NA

Maintenance of Effort: The level of State and local funds budgeted for the project year must, at least, equal the amount of such funds expended during the most recent fiscal year for which information is available.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	23,890,200	NA	NA
SFY 97-98	24,118,635	NA	NA
SFY 98-99	25,041,249	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	24,118,635	NA	NA
SFY 97-98	25,041,249	NA	NA

EDUCATIONALLY DISADVANTAGED CHILDREN TITLE I, PART A

Objective: Expands and improves educational programs to meet the needs of educationally disadvantaged children in low income areas.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61803

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.010

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994, PL 104-134

Regulation: 34 CFR 200, 201, 203, 205 and 212

Program Contact:

Zenobia O'Neal
Compensatory Education Unit
NYS Education Department
Education Building Annex, Room 461
Albany, NY 12234
(518) 474-5923

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, State Agencies. Must have educationally disadvantaged students in school buildings with high concentrations of poverty.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Funds must be used for supplementary services to meet needs of eligible students in targeted assistance schools or schoolwide program schools.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Complex formula based on number of children from low-income families. Consult program contact for details.

Matching Requirement: NA

Maintenance of Effort: Ninety percent of previous year's expenditures.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	479,211,827	NA	NA
SFY 97-98	535,574,861	NA	NA
SFY 98-99	668,057,162	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	491,936,090	NA	NA
SFY 97-98	549,768,882	NA	NA

EDUCATIONALLY RELATED SUPPORT SERVICES AID

Objective: Provides aid to defray the costs of support services to enable pupils to maintain their placement in a regular educational program. Services may include psychological or social work and non-career counseling and consultation.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 32

Regulation: 8 NYCRR 100.1, 100.2

Program Contact:

John Soja
Comprehensive Health and Pupil Services
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Office of Mangement Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. Must be providing services to eligible pupils ages five through 21.

Type of Program and Special Restrictions: Optional, without any State or Federal mandates. Funds must be used for program purposes.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is provided using a two-tier formula.

Matching Requirement: NA

Maintenance of Effort: Must maintain levels of pupil personnel service to non-ERSSA eligible students.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	40,085,140	NA
SFY 97-98	NA	48,333,097	NA
SFY 98-99	NA	61,422,132	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	39,218,942	NA
SFY 97-98	NA	44,779,406	NA

¹Refers to NYSED State aid to public schools programs.

EFFECTIVE SCHOOLS CONSORTIA

Objective: Provide assistance to low performing schools as a means to develop and implement a comprehensive school improvement plan.

Administering Agency: NYS Education Department

NYS Object Code: 61122

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 3602-a

Regulation: 8 NYCRR 100.2

Program Contact:

Shelia Evans-Tranumn
 NYC Citywide Services Team
 NYS Education Department
 55 Hanson Place
 Brooklyn, NY 11217
 (718) 722-2796

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,889,200	NA
SFY 97-98	NA	1,889,200	NA
SFY 98-99	NA	1,889,200	NA

*Excluding funding for sharing successful programs shown on separate page.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,181,670	NA
SFY 97-98	NA	1,503,742	NA

EFFICIENCY STUDY GRANTS

Objective: Funds local studies to determine the advisability of reorganizing with one or more school districts or potential benefits of sharing programs among districts.

Administering Agency: NYS Education Department

NYS Object Code: 61107

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 14(h), NY Laws of 1994, Chapter 53

Regulation: NYSED Commissioner's Regulations, Section 175.32

Program Contact:

Suzanne Spear
BOCES/School District Organization
NYS Education Department
Education Building Annex, Room 876
Albany, NY 12234
(518) 474-3936

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Limited to approved project purposes.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,250,000	NA
SFY 97-98	NA	1,275,000	NA
SFY 98-99	NA	1,275,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,132,559	NA
SFY 97-98	NA	1,176,285	NA

EMERGENCY IMMIGRANT EDUCATION PROGRAM (EIEP)

Objective: Local educational agencies receiving funds under this program will use the funds to: (1) provide high quality instruction to immigrant children and youth, (2) help those children and youth with their transition into American society, and (3) meet the same challenging State performance standards expected of all children and youth.

Administering Agency: New York State Education Department; US Department of Education

NYS Object Code: 61809

Year Established: 1984

Catalog of Federal Domestic Assistance No.: 84.162A

Legal Authority:

Law: Sections 7304 and 7305 of ESEA of 1965, as amended by IASA of 1994 (PL 103-382)

Regulation: 34 CFR Parts 76-82, 85; 34 CFR Part 299

Program Contact:

Carmen A. Perez-Hogan
Coordinator
Office of Bilingual Education
NYS Education Department
Education Building Annex, Room 367
Albany, NY 12234
(518) 474-8775

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Local educational agencies in which the sum of the number of immigrant students enrolled in the public and nonpublic elementary and secondary schools is equal to: (A) at least 500; or (B) at least three percent of the total enrollment of students in the public and nonpublic schools during such fiscal year, whichever number is less.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Funds must be used in accordance with Section 7307 of PL 103-382.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Based on annual census of eligible immigrant children in grades K-12 who have not been attending schools in the United States for more than three academic years.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	8,442,861	NA	NA
SFY 97-98	17,196,668	NA	NA
SFY 98-99	23,596,688	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,940,706	NA	NA
SFY 97-98	10,891,779	NA	NA

EMPLOYMENT PREPARATION EDUCATION

Objective: Employment preparation education for programs for adults without a high school diploma.

Administering Agency: NYS Education Department

NYS Object Code: 61110

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 207 and 3602

Regulation: 8 NYCRR 168

Program Contact:

Barbara Shay
 Team Leader
 Adult, Family & Alternative Education Team
 NYS Education Department
 Education Building, Room 307
 Albany, NY 12234
 (518) 474-5808

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building, Room 507W
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts and BOCES. Districts and BOCES must have an approved program.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
 Program revenues may not exceed expenses; local effort must be maintained.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is the number of instructional hours times the aid ratio times the current aid ceiling.

Matching Requirement: NA

Maintenance of Effort: Services over and above level maintained prior to enactment of the law.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	96,180,000	NA
SFY 97-98	NA	96,180,000	NA
SFY 98-99	NA	96,180,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	83,888,471	NA
SFY 97-98	NA	83,474,475	NA

ESEA TITLE VI: INNOVATIVE EDUCATION PROGRAM STRATEGIES

Objective: Provides aid to improve elementary and secondary education programs in public and non-public schools.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61804

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 84.298A

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994, PL 103-382

Regulation: NA

Program Contact:

Laurie Rowe
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES, and Non-Public Schools.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Congress specified six broad purposes for which funds may be used, including programs for at risk students, innovative schoolwide programs, training and professional development, programs to enhance personal excellence, and projects enhancing the overall climate of the school.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Number of students enrolled in public and interested non-profit schools; pupils incurring higher than average cost to educate.

Matching Requirement: NA

Maintenance of Effort: Ninety percent of the preceding year.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	14,426,871	NA	NA
SFY 97-98	16,227,738	NA	NA
SFY 98-99	18,316,877	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	14,690,374	NA	NA
SFY 97-98	15,580,168	NA	NA

EXPERIMENTAL PRE-KINDERGARTEN PROGRAM

Objective: Provides funding to public schools to provide a developmentally appropriate program for three and four year old children from economically disadvantaged families.

Administering Agency: NYS Education Department

NYS Object Code: 61104

Year Established: 1968

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53, NY Laws of 1968, Chapter 342

Regulation: 8 NYCRR 151-2

Program Contact:

Patricia Chmielewski
 Child, Family and Community
 Services
 NYS Education Department
 Education Building Annex, Room 381
 Albany, NY 12234
 (518) 474-5807

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 89%, Local 11%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	50,200,000	NA
SFY 97-98	NA	50,200,000	NA
SFY 98-99	NA	50,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	40,093,852	NA
SFY 97-98	NA	48,252,254	NA

EXTENDED SCHOOL DAY/VIOLENCE PREVENTION

Objective: Prevention and intervention programs developed to increase school safety and reduce school violence.

Administering Agency: NYS Education Department

NYS Object Code: 61190

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, 170

Regulation: Commissioner's Regulations, Section 144.7

Program Contact:

Rebecca Gardner
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,200,000	NA
SFY 97-98	NA	6,650,000	NA
SFY 98-99	NA	15,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,043,184	NA
SFY 97-98	NA	4,353,211	NA

EXTRAORDINARY NEEDS AID

Objective: Provides additional funding to school districts for programs to serve concentrations of pupils with extraordinary needs.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1993-94

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 12

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Formula aid subject to mandated State requirements. Targets additional funds to school districts to meet needs relative to educating concentrations of pupils with extraordinary needs as evidenced by number of limited English proficient students, students in poverty, and students in sparsely populated areas.

Action Required to Receive Aid: Non-competitive application for aid required. Submittal of a basic data collection document is also required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures.

Type of Aid: Ongoing

Formula: Limited English proficient pupils, participants in free and reduced priced lunch programs, sparsity, and income of districts residents.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	464,566,712	NA
SFY 97-98	NA	515,929,123	NA
SFY 98-99	NA	660,147,548	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	461,892,968	NA
SFY 97-98	NA	515,564,025	NA

¹Refers to NYSED State aid to public schools programs.

FAMILY LIFE EDUCATION PROGRAM

Objective: Provides technical assistance and training to local school districts and BOCES in family life education program planning and implementation. Ongoing support is provided through the curriculum development process and inservice education.

Administering Agency: NYS Education Department

NYS Object Code: 61127

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 804, NY Laws of 1995, Chapter 53

Regulation: NYSED Commissioner's Regulations, Sections 135.1 and 135.3

Program Contact:

Rebecca Gardner
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	283,500	NA
SFY 97-98	NA	283,500	NA
SFY 98-99	NA	283,500	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	135,493	NA
SFY 97-98	NA	297,710	NA

FORT DRUM AID

Objective: Provides additional financial support for school districts experiencing an increase in student enrollment as a result of the expansion of Fort Drum.

Administering Agency: NYS Education Department

NYS Object Code: 61111

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: 8 NYCRR 175.36

Program Contact:

NA

Fiscal Contact:

Office of Management Services

Local Assistance/State Aid

NYS Education Department

Education Building

West Hall, Room 507

Albany, NY 12234

(518) 474-2977

Eligibility: School Districts. Eligible school districts are listed in Section 175.36 of the Regulations of the Commissioner of Education.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: For 1997-98 aid equals amount paid during 1996-97 SFY.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 95-96	NA	2,625,000	NA
SFY 96-97	NA	2,625,000	NA
SFY 97-98	NA	2,625,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,625,000	NA
SFY 97-98	NA	2,625,000	

FULL DAY KINDERGARTEN PROGRAMS

Objective: Encourages districts to operate Full Day Kindergarten programs.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (12-a)

Regulation: NA

Program Contact:

Margretta Fairweather
Child, Family and Community Development
NYS Education Department
Education Building Annex, Room 381
Albany, NY 12234
(518) 474-5807

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, incentive aid.

Action Required to Receive Aid: Institution of a Full Day Kindergarten program.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Available in first year of Full Day Kindergarten program.

Formula: Increase in Full Day Kindergarten enrollment times Operating Aid per pupil.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	25,940,454	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

GIFTED AND TALENTED AID

Objective: Supports programs for gifted and talented pupils.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Section 3204 and Sections 4451-4453

Regulation: 8 NYCRR 142

Program Contact:

Mary Daley
Executive Director
NYS Summer Institutes
NYS Education Department
Education Building Annex
Albany, NY 12234
(518) 474-8773

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. District must submit an approved program plan.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: NA

Formula: Aid is \$196 times three percent of adjusted average daily attendance.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	14,586,320	NA
SFY 97-98	NA	14,807,800	NA
SFY 98-99	NA	15,105,328	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	13,593,183	NA
SFY 97-98	NA	13,871,091	NA

¹Refers to NYSED State aid to public schools programs.

Education Department

GOALS 2000

Objective: Provides funds to school districts and BOCES to support professional development, local improvement planning and implementation, and preservice education.

Administering Agency: NYS Education Department; US Education Department

NYS Object Code: 61890

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 84.276A

Legal Authority:

Law: Goals 2000 Educate America Act, PL 103-227 Title III

Regulation: NA

Program Contact:

Laurie Rowe
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	22,822,496	NA	NA
SFY 97-98	31,818,727	NA	NA
SFY 98-99	31,666,930	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	8,644,201	NA	NA
SFY 97-98	17,123,231	NA	NA

GREAT IRISH FAMINE CURRICULUM

Objective: Supports development of a 4-12 curriculum on the Great Irish Famine.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Mary Daley
Executive Director
NYS Summer Institutes
NYS Education Department
Education Building Annex
Albany, NY 12234
(518) 474-8773

Fiscal Contact:

Eligibility: School Districts, Higher Education Institutions, Private Non-Profit Groups.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Competitive application required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	100,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

HOMELESS AID

Objective: Helps school districts meet qualifying expenditures for homeless children.

Administering Agency: NYS Education Department

NYS Object Code: 61909

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NYS Education Law, Sections 1502, 3202

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,775,000	NA
SFY 97-98	NA	3,775,000	NA
SFY 98-99	NA	3,775,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,775,000	NA
SFY 97-98	NA	3,775,000	NA

INCARCERATED YOUTH

Objective: Supports educational services provided to school age youth incarcerated in county jails.

Administering Agency: NYS Education Department

NYS Object Code: 61113

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3202, Subdivision 7 and Section 3602, Subdivision 35

Regulation: 8 NYCRR 118

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building, Room 507W
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. Must be a school district in which a county correctional facility is located with incarcerated youth of school age seeking educational services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for approved programs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Aid is the lesser of (a) district approved operating expenses divided by district total aidable pupil units times full time equivalent students in incarcerated youth program, or (b) annual program cost plus five percent for administration; provided that no grant shall be less than \$15,000.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,500,000	NA
SFY 97-98	NA	10,500,000	NA
SFY 98-99	NA	10,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,554,397	NA
SFY 97-98	NA	10,290,094	NA

INCENTIVE GRANTS TO IMPROVE PUPIL PERFORMANCE

Objective: Strengthens educational programs in school districts identified as having the greatest need.

Administering Agency: NYS Education Department

NYS Object Code: 61159

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Sections 605, 3602-a

Regulation: 8 NYCRR 144.1

Program Contact:

Shelia Evans-Tranumn
NYS Education Department
55 Hanson Place
New York, NY 11217-1580
(718) 722-2796

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts. Largest five city school districts in New York State.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Annual appropriation is shared among the five largest school districts based on the number of pupils with special educational needs in each district as compared to the total number of such students in all five districts.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	66,350,000	NA
SFY 97-98	NA	66,350,000	NA
SFY 98-99	NA	66,350,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	61,407,703	NA
SFY 97-98	NA	73,325,315	NA

INDEPENDENT LIVING CENTERS

Objective: Provides funding for New York State Independent Living Centers.

Administering Agency: NYS Education Department

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Frederic DeMay
Manager, Program Development
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7462

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Approved Independent Living Centers, through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive RFP. Requires vouchers and expenditure reports.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,030,600	NA
SFY 97-98	NA	8,030,600	NA
SFY 98-99	NA	8,030,600	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,030,600	NA
SFY 97-98	NA	8,030,600	NA

INDEPENDENT LIVING CENTERS - PART B FUNDS

Objective: Provides funding for New York State Independent Living Centers.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 84.169

Legal Authority:

Law: Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B, 29 U.S.C. 796a-796e-3

Regulation: 34 CFR 365

Program Contact:

Frederic DeMay
Manager, Program Development
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7462

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Approved Independent Living Centers, through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by Federal and State law or regulations.

Action Required to Receive Aid: Competitive RFP. Requires vouchers and expenditure reports.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	831,343	NA	NA
SFY 97-98	687,939	NA	NA
SFY 98-99	754,227	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	831,343	NA	NA
SFY 97-98	687,939	NA	NA

INDEPENDENT LIVING CENTERS - PART C FUNDS

Objective: Provides funding for New York State Independent Living Centers.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: 84.132

Legal Authority:

Law: Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C, 29 U.S.C. 796f-796f-6.

Regulation: 34 CFR 364 and 366

Program Contact:

Frederic DeMay
Manager, Program Development
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7462

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Approved Independent Living Centers, through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by Federal and State law or regulations.

Action Required to Receive Aid: Competitive RFP. Requires vouchers and expenditure reports.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,833,104	NA	NA
SFY 97-98	1,917,469	NA	NA
SFY 98-99	2,103,958	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,833,104	NA	NA
SFY 97-98	1,917,469	NA	NA

JOB TRAINING & PARTNERSHIP ACT TITLE III (EDWAA)

Objective: Provides grants to upgrade skills of dislocated workers.

Administering Agency: NYS Education Department; US Department of Labor

NYS Object Code: 61805

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 17.246

Legal Authority:

Law: US PL 97-300 as amended by PL 100-418, 102-367, 102-484

Regulation: 20 CFR 626-631

Program Contact:

Victor Perun
Workforce Preparation and Continuing
Education Team I
NYS Education Department
Education Building, Room 315
Albany, NY 12234
(518) 474-4809

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: BOCES, Technical and Business Schools and Community Colleges. Agency must have proven ability to provide skills training for dislocated workers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Dollar-for-Dollar

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	974,098	NA	NA
SFY 97-98	974,098	NA	NA
SFY 98-99	974,098	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	175,306	NA	NA
SFY 97-98	875,148	NA	NA

LIBRARY MATERIALS AID

Objective: Provides aid for the purchase of school library materials by school districts and the loan of such materials to both public and non-public school pupils. Such library materials are to be loaned for individual student use only and are not for teacher use.

Administering Agency: NYS Education Department

NYS Object Code: 61151

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 711

Regulation: 8 NYCRR Part 21.4

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for approved materials which will be loaned for individual student use only.

Action Required to Receive Aid: Report of expenditures.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is approved enrollment times approved expense per pupil (\$4.00).

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	12,404,422	NA
SFY 97-98	NA	12,312,339	NA
SFY 98-99	NA	12,835,303	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,950,470	NA
SFY 97-98	NA	12,680,759	NA

LIBRARY SERVICES AND TECHNOLOGY ACT (LSTA)

Objective: Supports library system and central library-initiated projects to provide highest possible level of electronic doorway library services and special library services to improve access to information and library services for all people of the State.

Administering Agency: NYS Education Department; US Institute of Museum and Library Services, National Foundation on the Arts and the Humanities

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 45.310

Legal Authority:

Law: US P.L. 104-208, as amended.

Regulation: No program regulations exist for this program.

Program Contact:

Maureen Read
Division of Library Development
NYS Education Department
Cultural Education Center, Room 10C50
Albany, NY 12230
(518) 486-4860

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: State Governments, Library Systems and Public Library System Central Libraries. States may establish a State Advisory Council which will assist in the design of a Five-Year plan.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal and State requirement varies.

Maintenance of Effort: The amount payable to a State for a fiscal year shall be reduced if the level of State expenditures for the previous fiscal year is less than the average of the total of such expenditures for the three fiscal years preceding that previous fiscal year.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 97-98	3,500,000	NA	NA
SFY 98-99	4,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 97-98	2,780,725	NA	NA

LIBRARY SYSTEM AID

Objective: Aids the development of library systems and services within the State.

Administering Agency: NYS Education Department

NYS Object Code: 61201

Year Established: 1958

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 272, 273, 284 and 285

Regulation: 8 NYCRR 90

Program Contact:

Maureen Read
 Division of Library Development
 NYS Education Department
 Cultural Education Center, Room 10C50
 Albany, NY 12230
 (518) 486-4860

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: BOCES, School Districts, Non-Profit Public Library Systems, and Reference and Research Library Resources Systems.

Type of Program and Special Restrictions: Optional, but once chosen subject to State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Multi-part formula for various types of general library aid which may take into account geographic size, matching available, per capita rates, presence of a correctional facility, etc.

Matching Requirement: See NY Education Law, Section 273.

Maintenance of Effort: For public library systems, must be at least 95 percent of the average of the amounts raised by local taxation for the two preceding calendar years or aid will be reduced.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	73,718,806	NA
SFY 97-98	NA	75,289,452	NA
SFY 98-99	NA	75,637,009	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	73,718,586	NA
SFY 97-98	NA	75,284,416	NA

LIMITED ENGLISH PROFICIENCY AID

Objective: Supports programs for pupils with limited English proficiency.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 22

Regulation: 8 NYCRR 154

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. School Districts must provide bilingual and/or English as a second language service to students with limited English proficiency in grades K-12.

Type of Program and Special Restrictions: Claiming aid is optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid must be submitted to program office.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is quotient of selected operating aid divided by total aidable pupil units, times the number of pupils in the program, times 0.16.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	59,660,085	NA
SFY 97-98	NA	58,169,539	NA
SFY 98-99	NA	60,114,568	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	60,981,253	NA
SFY 97-98	NA	58,257,832	NA

¹Refers to NYSED State aid to public schools programs.

LOCAL GOVERNMENT RECORDS MANAGEMENT

Objective: Program to help local governments improve their record management techniques.

Administering Agency: NYS Education Department

NYS Object Code: 61190

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Education Law, Sections 57a, 57.35

Regulation: 8 NYCRR 185

Program Contact:

Robert W. Arnold, III
Chief, Government Records Services
Archives and Records Administration
NYS Education Department
Cultural Education Center, Room 9A47
Albany, NY 12230
(518) 474-6926

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Counties, Cities, Towns, Villages and Public Authorities. Program eligibility limited to local governments.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	10,150,000
SFY 97-98	NA	NA	10,500,000
SFY 98-99	NA	NA	11,050,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	5,734,916
SFY 97-98	NA	NA	6,098,543

LOTTERY AID

Objective: Supports the general programs of school districts, particularly the purchase of textbooks.

Administering Agency: NYS Education Department

NYS Object Code: 61188

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Finance Law, Section 92-C

Regulation: NA

Program Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Fiscal Contact:

NA

Eligibility: School Districts. School district must employ eight or more teachers to receive both the general and the textbook portions of the aid. Special act districts and those with fewer than eight teachers receive only the textbook portion of the aid.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Textbook portion must be used only for textbooks.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially in advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Textbook portion is \$15.00 per resident pupil.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	1,619,000,000
SFY 97-98	NA	NA	1,594,980,000
SFY 98-99	NA	NA	1,529,980,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	1,618,979,584
SFY 97-98	NA	NA	1,594,979,922

MAGNET SCHOOLS PROGRAM

Objective: Reduces or prevents minority group isolation in public elementary and secondary schools by operating programs that attract students of all backgrounds.

Administering Agency: NYS Education Department

NYS Object Code: 61182

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 3602-a

Regulation: 8 NYCRR 100.2

Program Contact:

Rebecca Gardner
 Comprehensive Health and Pupil
 Services Team
 NYS Education Department
 Education Building, Room 318M
 Albany, NY 12234
 (518) 486-6090

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts. Public school district must present a magnet school program which must be approved by the NYS Education Department.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	132,450,000	NA
SFY 97-98	NA	134,670,000	NA
SFY 98-99	NA	134,670,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	147,106,556	NA
SFY 97-98	NA	133,133,691	NA

MINOR MAINTENANCE AND REPAIR AID

Objective: Supports the adequate maintenance and repair of school facilities.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (6-d)

Regulation: NA

Program Contact:

Carl Thurnau
Facilities Planning
NYS Education Department
Education Building Annex, Room 1060
Albany, NY 12234
(518) 474-3906

Fiscal Contact:

Office of Management Services
Local Assitance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, for reimbursement of school building repairs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Age of facilities, long term enrollment growth, and expenditures for repairs.

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 98-99	NA	49,970,025	NA

Amounts Disbursed: New Program

NATIONAL SCHOOL LUNCH PROGRAM

Objective: Supports the provision of nutritious lunches to all children in public and non-public non-profit schools and residential child care institutions.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

NYS Object Code: 61501¹

Year Established: 1946

Catalog of Federal Domestic Assistance No.: 10.555

Legal Authority:

Law: NY Education Law, Section 207; 42 USC 1751

Regulation: 7 CFR 210

Program Contact:

Frances N. O'Donnell
Coordinator
Child Nutrition Program Administration
NYS Education Department
Education Building Annex, Room 362
Albany, NY 12234
(518) 473-8781

Fiscal Contact:

Richard Connell
Supervisor
Child Nutrition Reimbursement Unit
NYS Education Department
Education Building, Room 510
Albany, NY 12234
(518) 474-3926

Eligibility: School Districts, Non-public Schools and Residential Child Care Institutions. Must be a non-profit organization.

Type of Program and Special Restrictions: Optional, but once enrolled in program subject to mandated State and Federal requirements. Federal and State assistance must be used for expenses related to children's lunches.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is computed by multiplying the number of lunches served to students by the current Federal and State rates for each category of eligibility.

Matching Requirement: State 30%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	23,299,500 (est.)	NA
SFY 97-98	378,514,105	21,581,082	NA
SFY 98-99	396,682,782 (est.)	22,336,419 (est.)	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	361,085,705	20,835,750	NA
SFY 97-98	378,514,105	21,581,082	NA

Comments: Entitlement program: Final reimbursement for 1998-99 not yet available.

¹Refers to NYSED school lunch, milk and breakfast programs.

NEW YORK STATE LEARNING TECHNOLOGY GRANT PROGRAM

Objective: Provides funds for public schools and non-public partners to purchase technology, and for related instructional technology planning and professional development, in the context of NYS learning standards and assessment.

Administering Agency: NYS Education Department

NYS Object Code: 61101

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: CR 8 NYCRR 144.8

Program Contact:

Lynn Reuss
Associate
Office of Curriculum and Instruction
NYS Department of Education
Education Building Annex, Room 668
Albany, NY 12234
(518) 474-5922

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, Non-public Schools and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Limited, expires 6/30.

Formula: Population, Wealth, Rural - \$50,000 grants.

Matching Requirement: None

Maintenance of Effort: Must show coordination across Federal and State technology subsidies.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,285,000	NA
SFY 97-98	NA	3,285,000	NA
SFY 98-99	NA	3,285,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,004,145	NA
SFY 97-98	NA	3,226,101	NA

OMH/OMRDD PUPIL EDUCATION

Objective: Funds tuition maintenance and transportation for certain pupils who are, or who have been clients of the NYS Office of Mental Health or NYS Office of Mental Retardation and Developmental Disabilities.

Administering Agency: NYS Education Department

NYS Object Code: 61183

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3202

Regulation: 8 NYCRR 200, 175.6, 175.8 and 175.11

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. Must have students who: (1) currently reside in an OMRDD Developmental Center located in the district, or previously resided in an OMRDD Developmental Center, but who were transferred to either (2) an OMRDD family care or group home, or (3) an OMRDD operated intermediate care facility or individualized residential alternative site located in the district.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Funds must be used for specified pupils.

Action Required to Receive Aid: Non-competitive application for aid required. STAC Forms required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	22,000,000	NA
SFY 97-98	NA	22,000,000	NA
SFY 98-99	NA	22,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	17,814,408	NA
SFY 97-98	NA	18,222,971	NA

OPERATING AID

Objective: Helps school districts meet qualifying expenditures for and maintenance of the school district. Expenditures include salaries of administrators, teachers, and nonprofessionals, fringe benefits, utilities, and maintenance of school facilities.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1968

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivisions 12 and 18

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Claim form required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement. Additional growth aid may be paid currently.

Type of Aid: Ongoing

Formula: Based on the wealth of a district as measured by value of real estate and adjusted gross income and the number of aidable pupil units. For a complete description see the publication State Formula Aids and Entitlements for Elementary and Secondary Education in New York State, available from the NYS Education Department.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,453,504,400	NA
SFY 97-98	NA	5,507,682,782	NA
SFY 98-99	NA	5,778,676,056	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,458,333,083	NA
SFY 97-98	NA	5,513,160,106	NA

¹Refers to NYSED State aid to public schools programs.

OPERATING STANDARDS AID

Objective: Supports implementation of new higher standards for student success.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602 (38)

Regulation: NA

Program Contact:

Office of Elementary, Middle and Secondary
Education
NYS Education Department
Education Building Annex, Room 875
Albany, NY 12234
(518) 474-5915

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Claim form required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid ceiling times State share ratio times pupils.

Matching Requirement: None

Maintenance of Effort: None

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 98-99	NA	81,961,114	NA

Amounts Disbursed: New Program

PARENT AND CHILD LIBRARY SERVICES GRANTS

Objective: Develops or expands library services for preschool and school-age children and their parents or caregivers.

Administering Agency: NYS Education Department

NYS Object Code: 61201

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 273 (1) (h) (3)

Regulation: 8 NYCRR 90.3(k)

Program Contact:

Anne Simon
NYS Education Department
Cultural Education Center, Room 10B41
Albany, NY 12230
(518) 474-7890

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Chartered and registered public libraries are eligible to apply.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application. Discretionary, requires submission of RFP application for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	277,500	NA
SFY 97-98	NA	282,000	NA
SFY 98-99	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	277,500	NA
SFY 97-98	NA	282,000	NA

PARENTING EDUCATION GRANT PROGRAM

Objective: Funds five programs to provide parents with skills that will enable them to assist their children in reaching the higher standards.

Administering Agency: NYS Education Department

NYS Object Code: 61127

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: 8 NYCRR 144.3

Program Contact:

Cheryl Ohonesian
 Child, Family and Community
 Services Team
 NYS Education Department
 Education Building Annex, Room 381
 Albany, NY 12234
 (518) 474-5807

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts, Public Non-Profit Groups and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	506,400	NA
SFY 97-98	NA	506,400	NA
SFY 98-99	NA	506,400	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	466,274	NA
SFY 97-98	NA	482,521	NA

POSTSECONDARY EDUCATION AID FOR NATIVE AMERICANS

Objective: Provides student aid for Native Americans at Postsecondary Institutions in the State of New York.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4118

Regulation: NA

Program Contact:

Adrian Cooke
Acting Coordinator
Native American Education Unit
NYS Education Department
Education Building Annex, Room 478
Albany, NY 12234
(518) 474-0537

Fiscal Contact:

Same

Eligibility: Student must be a resident of NYS; be enrolled member or child of an enrolled member of NYS Tribe; have graduated from an accredited High School; and be accepted in an approved program offered by Postsecondary Institution in New York State.

Type of Program and Special Restrictions: Optional. Must be a member or child of enrolled member of the Iroquian and two Algonkian tribes on Long Island.

Action Required to Receive Aid: Must submit application by deadlines established.

Description of Aid:

Students are awarded funding as a full time student, and a prorated scale for part time student.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	635,000	NA
SFY 97-98	NA	635,000	NA
SFY 98-99	NA	635,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	635,426	NA
SFY 97-98	NA	584,305	NA

PRIMARY MENTAL HEALTH PROJECT

Objective: Provides support for statewide implementation and dissemination of the Primary Mental Health Project which fosters school adjustment by strengthening the social and emotional competence of children.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

John Soja
 Comprehensive Health and Pupil
 Services
 NYS Education Department
 Education Building, Room 318M
 Albany, NY 12234
 (518) 486-6090

Fiscal Contact:

NA

Eligibility: Contractors capable of implementing and disseminating the Primary Mental Health Project model.

Type of Program and Special Restrictions: Optional, without any State or Federal mandates. Funds are used to support implementation, training and dissemination efforts and are managed and distributed through the Primary Mental Health Project (PMHP) Inc.

Action Required to Receive Aid: Request for preparation of contract required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement. A schedule of payments is described in the contract.

Type of Aid: Project

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	570,000	NA
SFY 97-98	NA	570,000	NA
SFY 98-99	NA	570,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	570,000	NA
SFY 97-98	NA	570,000	NA

Comments: Contract year 9/1/98 to 8/31/99.

PRIVATE EXCESS COST AID

Objective: Provides aid for tuition paid for private school placements of pupils with handicapping conditions.

Administering Agency: NYS Education Department

NYS Object Code: 61119

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 4401 and 4405

Regulation: 8 NYCRR 200

Program Contact:

Thomas Hamel
Chief
Program Services Reimbursement Unit
NYS Education Department
Albany, NY 12234
(518) 474-3227

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. School district must employ eight or more teachers and be paying tuition to private schools for pupils with handicapping conditions.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required. Filing of appropriate forms.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is the excess cost of tuition times the district aid ratio. Consult program contact for additional conditions.

Matching Requirement: Local share equal to tax levy per pupil unit plus 15 percent of approved excess costs for district of average wealth.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	111,309,550	NA
SFY 97-98	NA	108,487,015	NA
SFY 98-99	NA	115,688,646	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	97,906,691	NA
SFY 97-98	NA	105,932,186	NA

PUBLIC EXCESS COST AND DECLASSIFICATION AID

Objective: Partially reimburses school districts for the cost of educating severely handicapped pupils. Declassification aid defrays a portion of the cost of providing support for teachers and pupils in the first year that a pupil moves from a special program to a regular, full-time program.

Administering Agency: NYS Education Department

NYS Object Code: 61119

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 19

Regulation: NA

Program Contact:

Rita Levay
 Manager, Special Education Policy &
 Quality Assurance
 NYS Education Department
 One Commerce Plaza, Room 1624
 Albany, NY 12234
 (518) 486-7584

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. Must have handicapped children (as certified by the Commissioner of Education) in an approved public school program.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required with filing of appropriate forms.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Public excess cost portion has complex aid formula using excess cost aid ratio, approved operating expenses, base year pupil counts, and weighted number of pupils with handicapping conditions. Declassification aid is 0.50 times the public excess cost aid per pupil unit times the number of pupils. Consult fiscal contact for actual operation of formula.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,378,516,928	NA
SFY 97-98	NA	1,512,820,573	NA
SFY 98-99	NA	1,548,269,507	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,381,462,650	NA
SFY 97-98	NA	1,498,712,078	NA

PUPILS WITH COMPENSATORY EDUCATIONAL NEEDS (PCEN)

Objective: Provides funds to the five largest city school districts, and about 165 other small city, rural and suburban districts, for each to develop a revised narrative to the Consolidated Application Update or Comprehensive District Education Plan (CDEP) for meeting the needs of pupils with compensatory educational needs.

Administering Agency: NYS Education Department

NYS Object Code: 61116, 61101¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53, Sections 16 and 29, NY Education Law, Section 3602, Paragraph G, Subdivision 12

Regulation: 8 NYCRR 149-3

Program Contact:

Zenobia O'Neal
Compensatory Education Unit
NYS Education Department
Education Building Annex, Room 461
Albany, NY 12234
(518) 474-5923

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. Limited to the five largest city school districts and about 165 small city, rural and suburban districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Funds must be used to benefit compensatory education students. Any school district with a percentage of pupils with compensatory educational needs that is in the top quartile of such percentages for all districts shall set aside a portion of any aid payable for the purposes of compensatory education programs in accordance with a plan for serving pupils with compensatory education needs (based on the Spring 1996 PEP percent). Only those school districts with setasides over \$250,000 must submit an FS-10 for approval.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: The 1998-99 set aside equals the product of the greater of the product of \$940 and the district's set aside ratio (1-c.64 x cwr) or \$90 and the district's pupils with compensatory educational needs. An additional set aside is required for the Big 5 school districts.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

¹Refers to NYSED State aid to public schools programs.

READERS AID

Objective: Provides funding to Institutions of Higher Education that serve VESID consumers.

Administering Agency: NYS Education Department

NYS Object Code: 61952

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 4210

Regulation: NA

Program Contact:

Frederic DeMay
Manager, Program Development
NYS Education Department
One Commerce Plaza, Room 1624
Albany, NY 12234
(518) 486-7462

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Early Childhood Direction Centers approved through a competitive Request for Proposal (RFP).

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive RFP. Requires expenditure reports and vouchers.

Description of Aid:

Flow of Funds: State to Local Colleges/Universities. Monies are provided as a reimbursement.

Type of Aid: Contract

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	300,000	NA
SFY 97-98	NA	300,000	NA
SFY 98-99	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	300,000	NA
SFY 97-98	NA	300,000	NA

REORGANIZATION INCENTIVE

Objective: Provides additional building and operating aid to districts which have reorganized under specified conditions. See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State, available from the Bureau of State Aided Programs of the NYS Education Department.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 14, Paragraphs c, d and e

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. Must have reorganized under specified conditions. See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is calculated as a varying percentage of Operating Aid and as a specified percentage of Building Aid paid on qualifying building projects. See State Formula Aids and Entitlements for Elementary and Secondary Education in New York State.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	26,845,484	NA
SFY 97-98	NA	25,873,175	NA
SFY 98-99	NA	25,333,782	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	26,852,714	NA
SFY 97-98	NA	25,873,702	NA

¹Refers to NYSED State aid to public schools programs.

SCHOOL BREAKFAST PROGRAM

Objective: Supports the provision of nutritious breakfasts to all children attending public and non-public schools and residential child care institutions. The State mandates the breakfast program in public schools, except under certain circumstances.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

NYS Object Code: 61501¹

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 10.553

Legal Authority:

Law: NY Education Law, Section 207; 42 USC 1773

Regulation: NYSED Commissioner's Regulations, Chapter II, Part 114.1, Part 114.2; 7 CFR Chapter II Part 220

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building Annex, Room 362
 Albany, NY 12234
 (518) 473-8781

Fiscal Contact:

Richard Connell
 Supervisor
 Child Nutrition Reimbursement Unit
 NYS Education Department
 Education Building, Room 510
 Albany, NY 12234
 (518) 474-3926

Eligibility: School Districts, Non-Public Schools and Residential Child Care Institutions (RCCIs). Must be a non-profit organization.

Type of Program and Special Restrictions: Optional for non-public schools and RCCIs, but once chosen subject to mandated State and Federal requirements. This program is mandated by State law or regulations for the Big 5 City School Districts, for elementary schools and for severe need secondary schools (as of 1995).

Action Required to Receive Aid: Optional for non-public schools and RCCIs, but once chosen subject to mandated State and Federal requirements. A non-competitive application for aid is required.

Description of Aid:

Flow of Funds: Federal and State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing reimbursement.

Formula: Aid is computed by multiplying the number of breakfasts served to students by the current Federal and State rates for each category of eligibility.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,400,500 (est.)	NA
SFY 97-98	87,858,812	8,155,812	NA
SFY 98-99	92,691,046 (est.)	8,302,616 (est.)	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	83,246,004	8,005,827	NA
SFY 97-98	87,858,812	8,155,812	NA

Comments: Entitlement program. Final reimbursement for 1998-99 not yet available.

¹Includes NYSED school lunch, milk and breakfast programs.

SCHOOL BUS DRIVER SAFETY TRAINING

Objective: Provides funds for the development of and/or continuation of various components of a comprehensive statewide program of safety training for school bus drivers.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3624

Regulation: 156.3

Program Contact:

Marion Edick
State Director
Educational Management Services
NYS Education Department
Education Building Annex, Room 876
Albany, NY 12234
(518) 474-6541

Fiscal Contact:

Same

Eligibility: Public School Districts and BOCES.

Type of Program and Special Restrictions: The program consists of a number of components or projects in school bus driver safety training.

Action Required to Receive Aid: Contracts are awarded based upon successful response to various RFPs issued.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	400,000	NA
SFY 98-99	NA	400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

SCHOOL HEALTH DEMONSTRATION PROGRAM

Objective: Encourages the development of school health education programs.

Administering Agency: NYS Education Department

NYS Object Code: 61127

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Section 804a

Regulation: 8 NYCRR 143

Program Contact:

Rebecca Gardner
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	150,000	NA
SFY 97-98	NA	150,000	NA
SFY 98-99	NA	150,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	90,336	NA
SFY 97-98	NA	80,840	NA

SCHOOL TO WORK OPPORTUNITIES PROGRAM

Objective: Establishes local education and training systems to prepare youths for jobs in high skill, high wage careers.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61890

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 84.278B

Legal Authority:

Law: US PL 101-392

Regulation: 34 CFR 426

Program Contact:

Jean Stevens
Assistant Commissioner
Office of Workforce Preparation and
Continuing Education
NYS Education Department
Education Building, Room 319
Albany, NY 12234
(518) 474-8892

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Local Governments, State Agencies, School Districts, BOCES, Library Systems, Post Secondary Institutions and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	14,659,531	NA	NA
SFY 97-98	9,713,796	NA	NA
SFY 98-99	4,808,091	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,349,703	NA	NA
SFY 97-98	17,198,601	NA	NA

SHARED SERVICES AID FOR NON-COMPONENTS OF BOCES

Objective: Supports staff development activities in districts who do not participate in BOCES.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 1950 (8-c), Section 3602 (20)

Regulation: NA

Program Contact:

Suzanne Spear
BOCES/School District Organization
NYS Education Department
Education Building Annex, Room 876
Albany, NY 12234
(518) 474-3936

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Eligible staff development expenses times a State share ratio.

Matching Requirement: Based on a State share ratio.

Maintenance of Effort: No

Amounts Appropriated: New Program

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 98-99	NA	3,499,994	NA

Amounts Disbursed: New Program

SPECIAL MILK PROGRAM

Objective: Encourages the consumption of milk by children in elementary and secondary schools where students do not have access to Federal lunch or breakfast programs.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

NYS Object Code: 61501¹

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 10.556

Legal Authority:

Law: US Child Nutrition Act, PL 89-642

Regulation: 7 CFR Chapter II Part 215; 42 USC 1772 and 1779

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building Annex, Room 362
 Albany, NY 12234
 (518) 473-8781

Fiscal Contact:

Richard Connell
 Supervisor
 Child Nutrition Reimbursement Unit
 NYS Education Department
 Education Building, Room 510
 Albany, NY 12234
 (518) 474-3926

Eligibility: School Districts, Private Non-Profit Schools and Residential Child Care Institutions. In school building there must not be a Federal lunch or breakfast program available to students. Children attending split session kindergarten programs in a school with a Federal lunch or breakfast program may participate.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Limited to Special Milk Program only.

Action Required to Receive Aid: Non-competitive application for aid required. School food authorities submit monthly claim.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Aid is computed by multiplying the number of half-pints of milk served by annually established reimbursement rates established by Federal government.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	981,388	NA	NA
SFY 98-99	1,020,643 (est.)	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	943,185	NA	NA
SFY 97-98	981,388	NA	NA

Comments: Entitlement program. Final reimbursement for 1998-99 not yet available.

¹Refers to NYSED school lunch, milk and breakfast programs.

SPECIAL SERVICES AID CAREER EDUCATION

Objective: Supports occupational education in cities with a population of more than 125,000.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 17

Regulation: NA

Program Contact:

Office of Workforce Preparation and
Continuing Education
NYS Education Department
Education Building, Room 319
Albany, NY 12234
(518) 474-8892

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts. Must be a city school district with a population in excess of 125,000.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used to support occupational education programs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is \$3,720 times occupational aid ratio times weighted occupational pupils for such programs.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	125,966,415	NA
SFY 97-98	NA	119,752,238	NA
SFY 98-99	NA	147,082,798	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	126,617,703	NA
SFY 97-98	NA	144,883,166	NA

¹Refers to NYSED State aid to public schools programs.

STATE CASE SERVICES

Objective: Provides funding to agencies that serve Vocational Rehabilitation consumers.

Administering Agency: NYS Education Department

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Debora Brown-Grant
Manager, District Office Administration
NYS Education Department
One Commerce Plaza, Room 1601
Albany, NY 12234
(518) 486-4031

Fiscal Contact:

Michael DiVirgilio
Chief of Education Fiscal Management
NYS Education Department
Education Building, Room 410
Albany, NY 12234
(518) 474-7751

Eligibility: Approved providers of Vocational Rehabilitation Services.

Type of Program and Special Restrictions: Mandated, required by State law and regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant (Contract)

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	44,300,000	NA
SFY 97-98	NA	45,300,000	NA
SFY 98-99	NA	45,300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	45,300,000	NA
SFY 97-98	NA	45,300,000	NA

STATE COORDINATION AND GRANTS JTPA 8 PERCENT PROGRAM

Objective: Education, training, and employment services to economically disadvantaged youths and adults.

Administering Agency: NYS Education Department, NYS Department of Labor; US Department of Labor

NYS Object Code: 61805

Year Established: 1983

Catalog of Federal Domestic Assistance No.: 17.250

Legal Authority:

Law: US Job Training Partnership Act of 1982, as amended, Titles I and II, Sections 101-123, 201-265, PL 97-300, 29 USC 1501 et. seq.

Regulation: JTPA Regulations 20 CFR 626-631

Program Contact:

Victor Perun
Associate
Workforce Development Team
NYS Education Department
Education Building, Room 315
Albany, NY 12234
(518) 474-4809

Fiscal Contact:

David Clapp
Assistant
Grants Finance Unit
NYS Education Department
Education Building, Room 510EB
Albany, NY 12234
(518) 474-4815

Eligibility: Counties, Cities, School Districts, BOCES, Private Non-Profit Groups and Public/Private Colleges. Economic disadvantage and barriers to employment.

Type of Program and Special Restrictions: Optional, but once chosen subject to Federal mandated requirements. Adult basic education, basic skills, school-to-work transition, dropout prevention.

Action Required to Receive Aid: Formula distribution to service delivery areas.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Economic and education disadvantage.

Matching Requirement: Funding based on a 50/50 matching requirement.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,653,079	NA	NA
SFY 97-98	4,892,000	NA	NA
SFY 98-99	5,635,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,653,079	NA	NA
SFY 97-98	4,892,000	NA	NA

Comments: Includes funds provided through the NYS Department of Labor and NYS Education Department.

STATEWIDE SUPPORTED EMPLOYMENT

Objective: Provides funding for Supported Employment providers.

Administering Agency: NYS Education Department

NYS Object Code: 61990

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 515

Regulation: NA

Program Contact:

Debora Brown-Grant
 Manager, District Office Administration
 NYS Education Department
 One Commerce Plaza, Room 1601
 Albany, NY 12234
 (518) 486-4031

Fiscal Contact:

Michael DiVirgilio
 Chief of Education Fiscal Management
 NYS Education Department
 Education Building, Room 410
 Albany, NY 12234
 (518) 474-7751

Eligibility: Approved providers of Supported Employment Services.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Competitive Request for Proposal (RFP). Quarterly vouchers and expenditure reports are required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant (Contract)

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,484,000	NA
SFY 97-98	NA	8,484,000	NA
SFY 98-99	NA	8,484,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,484,000	NA
SFY 97-98	NA	8,484,000	NA

STEWART B. MC KINNEY EDUCATION OF HOMELESS CHILDREN AND YOUTH GRANT PROGRAM

Objective: Provided to State Education agencies for administrative purposes to ensure that homeless children and youth receive equal access to educational services; and to fund services for this population at the local school district level.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61814

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 84.196A

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act, Title VII, Subtitle B, PL 100-71, PL 101-645

Regulation: 8 NYCRR 100.2, 174.5, 175.6

Program Contact:

Cheryl Ohonesian
Child, Family and Community
Services Team
NYS Education Department
Education Building Annex, Room 381
Albany, NY 12234
(518) 474-5807

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,814,057	NA	NA
SFY 97-98	1,927,712	NA	NA
SFY 98-99	2,313,637	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,851,528	NA	NA
SFY 97-98	1,418,882	NA	NA

SUMMER FOOD SERVICE PROGRAM

Objective: Supports the provision of nutritious meals to children in areas where poor economic conditions exist, when school is not in session.

Administering Agency: NYS Education Department; US Department of Agriculture, Food and Nutrition Service

NYS Object Code: 61501

Year Established: 1968

Catalog of Federal Domestic Assistance No.: 10.559

Legal Authority:

Law: National School Lunch Act (NSLA), 42 USC 1761

Regulation: 7 CFR Part 225

Program Contact:

Frances N. O'Donnell
 Coordinator
 Child Nutrition Program Administration
 NYS Education Department
 Education Building Annex, Room 362
 Albany, NY 12234
 (518) 473-8781

Fiscal Contact:

Richard Connell
 Supervisor
 Child Nutrition Reimbursement Unit
 NYS Education Department
 Education Building, Room 510
 Albany, NY 12234
 (518) 474-3926

Eligibility: School Districts, Non-Public Schools, Non-Profit Organizations and Government Agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Food service sites must meet program eligibility criteria to receive payment.

Action Required to Receive Aid: A non-competitive application for aid is required.

Description of Aid:

Flow of Funds: Federal and State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing reimbursement.

Formula: The lesser of: cost of program operations or multiplying the number of meals times reimbursement rates; or, for administrative portion, the administrative budget.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,100,000	NA
SFY 97-98	NA	3,100,000	NA
SFY 98-99	NA	3,100,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,100,000	NA
SFY 97-98	NA	3,100,000	NA

TARGETED INSTRUCTIONAL STAFF DEVELOPMENT GRANT

Objective: Funds to support the implementation of the higher standards and assessments as promulgated by the board of regents and the commissioner, and to provide to school districts, effective and grade-level appropriate staff development programs for one or more of the following instructional areas: (1) Effective use of technology in instruction, (2) Instruction of children who are participating in pre-kindergarten programs approved pursuant to Section 3602-e, (3) Instruction of children with disabilities within a regular education setting, (4) Instruction of non-disabled children who demonstrate the need for increased academic attention, and (5) Other areas of need demonstrated by the local district that relate directly to student instruction.

Administering Agency: NYS Education Department

NYS Object Code: 61190

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3641, Subdivision 9

Regulation: NA

Program Contact:

Kathy Ordway
Specialist
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant of limited duration.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: New Program

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 98-99	NA	1,500,000	NA

Amounts Disbursed: New Program

TAX ADJUSTMENT AIDS

Objective: Provides financial assistance to school districts with excessive property tax burdens.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 16

Regulation: NA

Program Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Fiscal Contact:

Same

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Property tax levy, income of district residents, expenditures per pupil, operating aid received per pupil.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	536,334,416	NA
SFY 97-98	NA	692,901,945	NA
SFY 98-99	NA	654,214,723	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	538,673,541	NA
SFY 97-98	NA	687,649,071	NA

¹Refers to NYSED State aid to public schools programs.

TEACHER RESOURCE AND COMPUTER TRAINING CENTERS

Objective: Supports establishment of centers for increasing professional competence.

Administering Agency: NYS Education Department

NYS Object Code: 61125

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 316, NY Laws of 1994, Chapter 53

Regulation: NYSED Commissioner's Regulations, Part 81

Program Contact:

Isabel Pickett
NYS Education Department
Education Building Annex, Room 467
Albany, NY 12234
(518) 473-1234

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Minimum \$20,000, Maximum \$2,000,000 (except NYC)

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	15,000,000	NA
SFY 97-98	NA	20,000,000	NA
SFY 98-99	NA	20,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	10,450,397	NA
SFY 97-98	NA	20,686,341	NA

TEXTBOOK AID

Objective: Reimburses public school districts for textbooks purchased to loan to children in grades K-12 residing in the district and attending any public or private school complying with the compulsory education law.

Administering Agency: NYS Education Department

NYS Object Code: 61103

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 701

Regulation: 8 NYCRR Part 21.1

Program Contact:

NA

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for purchase of textbooks.

Action Required to Receive Aid: A report of expenditures is required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Aid is \$40.90 times the number of resident pupils in grades K-12 attending public and non-public schools (\$15 of the \$40.90 is received through lottery aid).

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	65,688,636	49,009,995
SFY 97-98	NA	77,647,496	49,541,505
SFY 98-99	NA	82,065,801	49,631,295

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	65,103,818	49,009,995
SFY 97-98	NA	78,258,876	49,541,505

TITLE I CAPITAL EXPENSES

Objective: Assists school districts in paying for noninstructional costs associated with the Aguilar v. Felton decision.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61803

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 84.216A

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994, PL 104-134

Regulation: 34 CFR Parts 200, 201, 203, 205 and 212

Program Contact:

Zenobia O'Neal
Compensatory Education Unit
NYS Education Department
Education Building Annex, Room 461
Albany, NY 12234
(518) 474-5923

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts. School districts must document needs to be considered for Title I capital expenses. Payments are made to local school districts to cover capital expenses that the school district: has paid from funds provided under Title I since July 1, 1985; is currently paying from Title I funds; or would incur because of an expected increase in the number of percentage of non-public school children to be served.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Capital expenses do not include the purchase of instructional equipment such as computers.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Same as Title I.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	10,134,535	NA	NA
SFY 97-98	7,053,238	NA	NA
SFY 98-99	7,053,238	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	8,304,394	NA	NA
SFY 97-98	9,683,120	NA	NA

TITLE I EVEN START FAMILY LITERACY PROGRAM

Objective: Improves educational opportunities for children and adults by integrating early childhood education, adult education and parent education into a unified program through cooperative projects that build on existing community resources to create a new range of services.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61803

Year Established: 1989

Catalog of Federal Domestic Assistance No.: 84.213C

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994, Part B, PL 104-134

Regulation: NA

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Local educational agency or BOCES applying in collaboration with a community-based organization, public agency, institution of higher education or other non-profit organization; or a community-based organization or other non-profit organization of demonstrated quality applying in collaboration with a local educational agency.

Type of Program and Special Restrictions: Four year demonstration program. If chosen, subject to mandated Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: Discretionary, competitive grant.

Matching Requirement: Local match of ten percent in first year, increasing each year to 40 percent by fourth and last year. Federal funding 90 percent in first year, decreasing to 60 percent by fourth year.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	8,024,858	NA	NA
SFY 97-98	8,199,965	NA	NA
SFY 98-99	9,652,632	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	8,226,029	NA	NA
SFY 97-98	8,028,881	NA	NA

TITLE I MIGRANT EDUCATION PROGRAM (MEP)

Objective: Serves children of seasonally employed migrant parents.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61803

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.011A

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994, Title 1, Part C, PL 104-134

Regulation: NA

Program Contact:

Nancy Croce
Supervisor
Migrant Education Program
NYS Education Department
Education Building Annex, Room 461
Albany, NY 12234
(518) 473-0295

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: State Governments, School Districts, BOCES, and Post Secondary Institutions. Children who are currently migratory or formerly migratory, and have had the basis for their MEP eligibility properly recorded on a certificate of eligibility (COE), may receive MEP services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Title I MEP funds may be used only to pay for activities the SEA has authorized and consistent with approved State plan.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State.

Type of Aid: Ongoing

Formula: Arithmetic formula: (1) Estimated number of migratory children age 3 through 21 who reside in each state, full and part time during each calendar year; (2) the number of migratory children enrolled in state's summer program, and (3) state per pupil expenditure (PPE) for each state and nation as a whole.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	5,607,151	NA	NA
SFY 97-98	6,821,234	NA	NA
SFY 98-99	6,535,130	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	5,759,913	NA	NA
SFY 97-98	6,455,756	NA	NA

TITLE I, PART D - PREVENTION & INTERVENTION PROGRAMS FOR CHILDREN & YOUTH WHO ARE NEGLECTED, DELINQUENT, OR AT-RISK OF DROPPING OUT

Objective: Improves educational services to children in local and State institutions for neglected or delinquent children and youth at-risk, and adult correctional facilities.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: NA

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 84.013

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994, Title 1, Part D

Regulation: NA

Program Contact:

Patricia A. Sears
Education Aid Data Specialist 2
Compensatory Education Policy Office
NYS Education Department
Education Building Annex, Room 461
Albany, NY 12234
(518) 473-0295

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: State agencies responsible for providing free public education for children in institutions or community day care programs for neglected or delinquent youth, or adult correctional facilities, and local education agencies (LEAs).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Title I, Part D funds may be used to pay for only those activities the SEA has authorized.

Action Required to Receive Aid: State agencies and institutions must complete an annual survey of children in the neglected, delinquent, or adult correctional facility.

Description of Aid:

Flow of Funds: Federal to State, State to State Agencies/LEAs.

Type of Aid: Ongoing

Formula: Arithmetic formula determined by US Department of Education and based on actual nationwide counts of children in neglected, delinquent, and adult correctional facilities.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

		<u>Federal Funds</u>
SFY 96-97	5,666,994*	3,117,081**
SFY 97-98	5,677,193*	2,785,655**
SFY 98-99	5,807,557*	2,680,200**

Amounts Disbursed:

		<u>Federal Funds</u>
SFY 96-97	5,666,994*	3,117,081**
SFY 97-98	5,677,193*	2,785,655**

*Represents Federal funds made available to LEAs for delinquent facilities. Neglected funds included with LEAs Basic Title I grant allocation.

**Delinquent funds to State Agencies.

TITLE I PROGRAM IMPROVEMENT

Objective: To improve elementary and secondary education.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61803

Year Established: 1988

Catalog of Federal Domestic Assistance No.: 84.218A

Legal Authority:

Law: Improving America's Schools Act, September 28, 1994

Regulation: 34 CFR 200, 201, 203, 205 and 212

Program Contact:

Zenobia O'Neal
 Compensatory Education Unit
 NYS Education Department
 Education Building Annex, Room 461
 Albany, NY 12234
 (518) 474-5923

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: Grants ranging from \$3,000 to \$7,500 are awarded according to the number of Title I students in each building identified for Title I Program Improvement.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,060,796	NA	NA
SFY 97-98	3,328,897	NA	NA
SFY 98-99	3,391,236	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,304,024	NA	NA
SFY 97-98	4,315,057	NA	NA

TITLE IV DRUG-FREE SCHOOLS AND COMMUNITIES ACT

Objective: Grants for substance abuse programs and related activities.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61812

Year Established: 1986

Catalog of Federal Domestic Assistance No.: 84.146A

Legal Authority:

Law: Improving America's Schools Act of 1994 - Title IV Safe and Drug Free Schools Program, PL 103-382

Regulation: NA

Program Contact:

Rebecca Gardner
Comprehensive Health and Pupil
Services Team
NYS Education Department
Education Building, Room 318M
Albany, NY 12234
(518) 486-6090

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Formula allocation based on 1980 census data apportioned to individual school district areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	25,051,874	NA	NA
SFY 97-98	29,601,969	NA	NA
SFY 98-99	29,706,347	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	20,598,909	NA	NA
SFY 97-98	26,804,243	NA	NA

TRANSFERRING SUCCESS PROGRAM

Objective: Provides funds to schools and BOCES for replication of validated programs and for validation and demonstration.

Administering Agency: NYS Education Department

NYS Object Code: 61128

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53

Regulation: NA

Program Contact:

Laurie Rowe
Innovative Programs
NYS Education Department
Education Building Annex, Room 464
Albany, NY 12234
(518) 473-7155

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts and BOCES. Private non-profit agencies are eligible only if they have educational components.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive applications for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	629,800	NA
SFY 97-98	NA	629,800	NA
SFY 98-99	NA	629,800	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	535,253	NA
SFY 97-98	NA	651,890	NA

TRANSPORTATION AID

Objective: Supports the implementation of State mandates for the transportation of elementary and secondary students.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1963

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602, Subdivision 7

Regulation: NYCRR, Parts 156, 175 and 200

Program Contact:

Marion Edick
State Director
Educational Management Services
NYS Education Department
Education Building Annex, Room 876
Albany, NY 12234
(518) 474-6541

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Approved transportation expenses times selected sharing ratio.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	687,702,594	NA
SFY 97-98	NA	704,461,175	NA
SFY 98-99	NA	767,489,267	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	682,099,666	NA
SFY 97-98	NA	701,256,046	NA

¹Refers to NYSED State aid to public schools programs.

UNIVERSAL PREKINDERGARTEN GRANTS

Objective: Encourages districts to provide prekindergarten programs.

Administering Agency: NYS Education Department

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 3602-e

Regulation: NA

Program Contact:

Margretta Fairweather
Child, Family and Community
Development
NYS Education Department
Education Building Addition, Room 381
Albany, NY 12234
(518) 474-5807

Fiscal Contact:

Office of Management Services
Local Assistance/State Aid
NYS Education Department
Education Building
West Hall, Room 507
Albany, NY 12234
(518) 474-2977

Eligibility: School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Pre-planning process and private provider participation required.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: Pupils served times aid ceiling times State share ratio.

Matching Requirement: No

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	67,378,660	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

URBAN-SUBURBAN TRANSFER

Objective: Encourages cooperative interdistrict solutions to racial isolation in the schools.

Administering Agency: NYS Education Department

NYS Object Code: 61101¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: 8 NYCRR 175.24

Program Contact:

NA

Fiscal Contact:

Office of Management Services
 Local Assistance/State Aid
 NYS Education Department
 Education Building
 West Hall, Room 507
 Albany, NY 12234
 (518) 474-2977

Eligibility: School Districts. Districts which receive pupils from another district pursuant to approved program for reducing racial isolation.

Type of Program and Special Restrictions: Optional, local choice.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: See State Formula Aids and Entitlements for Schools in New York State, available from the State Aid Unit, NYS Education Department.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,130,000	NA
SFY 97-98	NA	1,130,000	NA
SFY 98-99	NA	1,130,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,018,616	NA
SFY 97-98	NA	996,069	NA

¹Refers to NYSED State aid to public schools programs.

VOCATIONAL EDUCATION (VTEA) BASIC

Objective: Provides funds to assure that individuals, including handicapped, disadvantaged, single parents and others, who are inadequately served in occupational education programs will be provided access; and provides funds to assist the State to expand, improve, modernize and develop quality occupational education programs.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61801

Year Established: 1963

Catalog of Federal Domestic Assistance No.: 84.047, 84.048A

Legal Authority:

Law: US Carl D. Perkins VTEA, PL 105.332

Regulation: 34 CFR 400-403

Program Contact:

Howard Berkun
Workforce Preparation and Continuing
Education Team
NYS Education Department
Education Building, Room 315
Albany, NY 12234
(518) 474-5809

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES, Private Non-Profit Groups, State Departments and Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: As per Federal requirements.

Matching Requirement: State 5%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	45,248,298	NA	NA
SFY 97-98	44,114,902	NA	NA
SFY 98-99	44,114,902	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	40,285,106	NA	NA
SFY 97-98	45,895,464	NA	NA

VOCATIONAL AND TECHNICAL EDUCATION ACT OF 1998 - TECH PREP

Objective: Provides Tech-Prep programs - a program of study that combines two years of secondary education and two years of post-secondary education in a sequential course of study in a technical field.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61801

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 84.047, 84.048A

Legal Authority:

Law: US Carl D. Perkins VTEA, PL 105.332

Regulation: 34 CFR 400-403

Program Contact:

Bernie McInerney
Workforce Development Team
NYS Education Department
Education Building, Room 315
Albany, NY 12234
(518) 474-4809

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES and Post-Secondary Institutions.

Type of Program and Special Restrictions: See above.

Action Required to Receive Aid: Competitive RFP.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA - Competitive

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,917,832	NA	NA
SFY 97-98	4,841,682	NA	NA
SFY 98-99	4,931,964	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,301,101	NA	NA
SFY 97-98	5,162,358	NA	NA

VOCATIONAL AND TECHNICAL EDUCATION ACT OF 1998 - CRIMINAL OFFENDER

Objective: Provides vocational training and related services to incarcerated students and adults.

Administering Agency: NYS Education Department; US Department of Education

NYS Object Code: 61801

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 84.047, 84.048A

Legal Authority:

Law: US Carl D. Perkins VTEA, PL 105.332

Regulation: 34 CFR 400-403

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: Office of Children and Family Services, Commission on Corrections, Department of Corrections and County Jails.

Type of Program and Special Restrictions: See above.

Action Required to Receive Aid: Request for Proposal required.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	526,000	NA	NA
SFY 97-98	526,000	NA	NA
SFY 98-99	526,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	526,000	NA	NA
SFY 97-98	526,000	NA	NA

WORKPLACE LITERACY

Objective: Provides grants for workplace literacy programs for occupationally-related literacy and basic skills instruction.

Administering Agency: NYS Education Department

NYS Object Code: 61130

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53, NY Education Law, Sections 5100-5102

Regulation: 8 NYCRR 166

Program Contact:

Barbara Shay
Team Leader
Adult, Family & Alternative Education Team
NYS Education Department
Education Building, Room 307
Albany, NY 12234
(518) 474-5808

Fiscal Contact:

Grants Finance Unit
NYS Education Department
Education Building, Room 510W
Albany, NY 12234
(518) 474-4815

Eligibility: School Districts, BOCES, Local Government Agencies, State Agencies, Private Non-Profit Groups, Libraries, and Post Secondary Institutions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: 20% local contribution.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,376,090	NA
SFY 97-98	NA	1,376,090	NA
SFY 98-99	NA	1,376,090	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,099,041	NA
SFY 97-98	NA	1,504,277	NA

YOUTH AT RISK AND COMMUNITY PARTNERSHIPS

Objective: Funds local programs which promote partnerships, among community resources (schools, businesses, churches, others) to serve at risk youth, improve school attendance, and retain students in school until graduation.

Administering Agency: NYS Education Department

NYS Object Code: 61129

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53, NY Education Law, Section 3602-a(3)

Regulation: 8 NYCRR 114.6

Program Contact:

John Soja
 Comprehensive Health and Pupil Services
 NYS Education Department
 Education Building, Room 318M
 Albany, NY 12230
 (518) 486-6090

Fiscal Contact:

Grants Finance Unit
 NYS Education Department
 Education Building, Room 510W
 Albany, NY 12234
 (518) 474-4815

Eligibility: School Districts and BOCES.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: First year: State 90%, Local 10%; Second year: State 60%, Local 40%; and Third year: State 50%, Local 50%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,000,000	NA
SFY 97-98	NA	5,000,000	NA
SFY 98-99	NA	5,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,930,691	NA
SFY 97-98	NA	5,089,036	NA

ECONOMIC DEVELOPMENT ZONES

Objective: Stimulates economic growth in the State's most distressed areas through a variety of financial incentives and economic development benefits intended to attract new businesses to these areas and to enable existing businesses to expand and create more jobs.

Administering Agency: NYS Department of Economic Development

NYS Object Code: 69502

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Article 18B

Regulation: NYCRR Section 10-14, Title 5

Program Contact:

Fred Di Maggio
 Director
 Economic Development Zones
 NYS Department of Economic
 Development
 One Commerce Plaza
 Albany, NY 12245
 (518) 473-6930

Fiscal Contact:

Howard Brown
 Director
 Office of Fiscal Management
 NYS Department of Economic
 Development
 One Commerce Plaza
 Albany, NY 12245
 (518) 474-5669

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups. Participants must be located in economically-distressed localities that are designated as an Economic Development Zone and/or Zone Equivalent Areas by the Commissioner of Economic Development.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. A designated Economic Development Zone can be no more than two square miles.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Grants are provided partially as an advance and partially as a reimbursement.

Type of Aid: On-going program.

Formula: NA

Matching Requirement: State 50%, Local 50%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,043,000	NA
SFY 97-98	NA	2,200,000	NA
SFY 98-99	NA	2,900,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,227,179	NA
SFY 97-98	NA	1,400,860	NA

*Officially designated in New York statute as the Department of Economic Development.

TOURISM MATCHING FUNDS PROGRAM

Objective: Supports planning and promotion of programs designated to stimulate and increase the volume of tourist travel, resort, vacation, culture, and convention activities within the State.

Administering Agency: NYS Department of Economic Development

NYS Object Code: 69501

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Economic Development Law, Article 5-A

Regulation: NA

Program Contact:

Donna NicholSEN
 Representative for Tourism
 Matching Funds Program
 NYS Department of Economic
 Development
 One Commerce Plaza
 Albany, NY 12245
 (518) 474-8688

Fiscal Contact:

Howard Brown
 Director
 Office of Fiscal Management
 NYS Department of Economic
 Development
 One Commerce Plaza
 Albany, NY 12245
 (518) 474-5669

Eligibility: Counties and Non-Profit Groups. Must be designated as an official Tourist Promotion Agency (TPA) by county legislature or Mayor of New York City.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Agency issued guidelines.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: Each TPA eligible to apply for five percent of total appropriation. Pro-rating is used when applications exceed appropriations.

Matching Requirement: State 50%, Local 50%, TPA to match amount granted by State.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,526,600	NA
SFY 97-98	NA	3,526,600	NA
SFY 98-99	NA	4,276,600	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,455,314	NA
SFY 97-98	NA	3,889,695	NA

*Officially designated in New York statute as the Department of Economic Development.

ALTERNATIVE FUELS/CLEAN CITIES SHARING NETWORK

(Technical Assistance Program)

Objective: Accelerates the introduction of alternative-fuel vehicles by supporting municipalities and State agencies across the State that are interested in acquiring and using them.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Department of Energy, Office of Energy Efficiency Conservation and Renewable Energy

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 81.087

Legal Authority:

Law: Public Authorities Law, Section 1854; PL 95-238, Section 207; PL 101-218

Regulation: 10 CFR Part 600

Program Contact:

Seth Leitman
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Public Authorities.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct request.

Description of Aid:

The following services are provided under the Clean Cities Sharing Network:

- **Sponsor Technology Displays:** Sponsor technology displays and demonstrations by alternative-fuel vehicle manufacturers and related equipment companies in various areas of the State.
- **Facilitate Information Sharing:** Organize and sponsor workshops where recognized experts from the alternative-fuel field provide up-to-date information on refueling equipment and new vehicle and conversion technologies.
- **Sponsor Peer Exchanges:** Facilitate exchanges between experienced staff from existing Clean Cities and their counterparts in other municipalities to provide hands-on experience, personal instruction on technical issues, and guidance in forming local partnerships and Clean City implementation programs.
- **Assist with Procurement Issues:** Investigate procurement strategies, including State contract group purchasing options, to strengthen the alternative-fuel vehicle market and allow members to purchase quality vehicles and equipment at lower costs.
- **Facilitate Technician Training:** Provide access to alternative-fuel training for municipal technicians.

ALTERNATIVE FUELS/CLEAN CITY CHALLENGE

Objective: Challenges municipal and private fleets located in areas of the State designated by the U.S. Department of Energy as Clean Cities to propose innovative projects which encourage and support the use of alternative fuels. Project selection criteria includes: providing maximum benefits in terms of emissions reduction and petroleum displacement; leveraging investment by others in vehicles and/or fueling facilities; and directly supporting installation of new fueling facilities or building critical load for existing facilities.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Department of Energy

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 81.089

Legal Authority:

Law: Public Authorities Law, Section 1854; US Energy Policy and Conservation Act, Title III, Part d 42 USC 6321

Regulation: 10 CFR Part 420

Program Contact:

Seth Leitman
 NYS Energy Research and
 Development Authority
 Corporate Plaza West
 286 Washington Avenue Extension
 Albany, NY 12203-6399
 (518) 862-1090

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, and Businesses.

Type of Program and Special Restrictions: Must be a stakeholder in one or more local Clean Cities initiatives.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	\$148,850	NA	NA

CLEAN-FUELED BUS PROGRAM

Objective: Under the program, funds will be awarded for up to 100 percent of the incremental cost (i.e., the additional cost of a clean-fueled bus compared to the cost of a comparably sized petroleum-powered vehicle) and for directly associated/infrastructure projects. A clean-fueled bus is any motor vehicle with a seating capacity of 15 or more passengers used for the transportation of persons on public highways that is powered by compressed natural gas, propane, methanol, hydrogen, or ethanol, or uses electricity including electricity generated from solar energy, either stored or generated on-board, as a primary motive force.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Laws of 1996, Chapter 413

Regulation: NA

Program Contact:

Ruth Horton
 Project Manager
 NYS Energy Research and
 Development Authority
 Corporate Plaza West
 286 Washington Avenue Extension
 Albany, NY 12203-6399
 (518) 862-1090; Fax (518) 862-1091

Fiscal Contact:

NA

Eligibility: Municipalities, School Districts, State Agencies, Departments and Public Authorities. Buses must be commercially available from one or more bus manufacturers and be assigned to regular or revenue service. Eligible infrastructure projects include construction and equipment installation for recharging and refueling facilities, and depot conversions necessary to introduce or expand a fleet of clean-fueled buses for which funding is awarded through this program.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required, RFP-492-99.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: In ranking projects, priority will be given to projects that: (a) result in greatest emissions reduction per program dollar invested; (b) are located in areas where air quality improvements are needed; (c) provide the greatest economic benefits to the State; (d) leverage co-funding by the applicant or others; (e) are from new applicants; (f) support emerging technologies; and (g) are consistent with other clean-fuel vehicle activities and priorities.

Matching Requirement: Bus authorities must be able to cover the cost of a conventional vehicle and NYSERDA will cover the incremental cost.

Maintenance of Effort: Must keep the buses consistently running in the bus fleet and maintain the recharging and refueling facilities.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,000,000	NA
SFY 97-98	NA	4,000,000	NA
SFY 98-99	NA	6,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

COMMISSIONING

(Technical Assistance Program)

Objective: Commissioning services are provided to help ensure that all building systems perform interactively according to design intent, and meet the operational needs of the owners and occupants. In today's technically more complex buildings, commissioning has been found to be a cost-effective means of dramatically improving building performance, increasing occupant comfort and reducing energy costs. Commissioning benefits owners, operators, design professionals, contractors and occupants.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Authorities Law, Section 1854

Regulation: NA

Program Contact:

Mark R. Watson
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3314

Fiscal Contact:

NA

Eligibility: Industrial and Commercial Facilities; State and Local Governments, Not-for-Profit and Private Institutions, Public and Private K-12 Schools, colleges and Universities, Multi-family and Health Care Facilities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct Request.

Description of Aid: Includes the following:

Retrocommissioning: On-site assistance with identifying cost-effective ways to improve the functionality of existing equipment and systems to improve energy efficiency, comfort and system control.

Design Assistance: Review of proposed building design, development of potential design improvements, analysis of the energy impacts of the recommendations through computer simulations, and generation of a final list of alternatives to be considered for the final design. Environmental, indoor air quality, and operations and management impact analyses may also be considered.

Training: Training is available for those interested in commissioning to achieve top performance in their buildings. A one-day seminar addresses the approach to solving problems such as high energy, operation and maintenance costs, poor indoor air quality, and difficulty in maintaining comfortable temperatures and humidity. The training is targeted to building owners, managers, architects, engineers and contractors. Topics include commissioning new and existing facilities and equipment, insuring long term building performance, and steps to starting a commissioning project.

ENERGY EFFICIENCY WORKSHOPS

(Technical Assistance Program)

Objective: Provides public, private and not-for-profit organizations with practical, up-to-the-minute information on how to reduce energy use, save on operating and maintenance costs, and improve performance.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Department of Energy

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 81.089

Legal Authority:

Law: Public Authorities Law, Section 1854

Regulation: NA

Program Contact:

Jessica Zweig
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Public Authorities.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct request.

Description of Aid:

NYSERDA offers 13 different Energy Efficiency Workshops aimed at providing facility staff and building managers with practical, up-to-the-minute information on how to reduce energy use, save on operating and maintenance costs, and improve performance. NYSERDA looks for interested organizations to help cosponsor these workshops.

FLEXIBLE TECHNICAL ASSISTANCE

(Technical Assistance Program)

Objective: One of the purposes of FlexTech Services is to help customers determine the capital costs, energy savings, and other costs/benefits of making electricity-related capital improvements. Types of assistance eligible for funding will generally be in the categories of equipment selection, system performance building commissioning, feasibility studies, guidance with process improvements, waste minimization, environmental performance, and renewable resources.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)); US Department of Energy

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Section 1854; US Energy Policy and Conservation Act, Title III, Part d 42 USC 6321

Regulation: 10 CFR Part 420

Program Contact:

Mark S. Mayhew
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3319

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct Request

Description of Aid:

This program allows customers to obtain cost-shared engineering services in a timely manner to help them make informed decisions about energy efficiency improvements. This is an ongoing service with retained engineering consultants. Customers have a pre-screened choice of competent technical consultants to choose from. These services are designed to help individual customers overcome barriers to energy efficiency by providing technical information and expertise, and to identify and promote the implementation of high efficiency measures. Technical services are necessary to encourage customers to obtain information necessary to implement cost-effective, energy efficiency projects.

INDOOR AIR QUALITY

(Technical Assistance Program)

Objective: Provides technical assistance to clients dealing with Indoor Air Quality issues. The primary purpose of the assistance is to help clients improve the indoor air quality of their buildings in an energy efficient manner.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA); US Environmental Protection Agency, Office of Air and Radiation

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 66.032

Legal Authority:

Law: Public Authorities Law, Section 1854; Indoor Radon Abatement Act of 1988 (15 U.S.C. Section 2661).

Regulation: NA

Program Contact:

Ellen Burkhard
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Public Authorities.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct request.

Description of Aid:

Available technical assistance includes diagnostic assistance, mitigation design consultation, computer modeled energy analysis of HVAC modifications and Energy Impact Analysis, also periodically offers workshops related to indoor air quality and HVAC diagnostics. Videotapes are available on radon diagnostics and mitigation in schools.

Comments: This service is open to all buildings other than single family residences.

NEW CONSTRUCTION PROGRAM

(Technical Assistance Program)

Objective: The purpose of this program is to design and build or to renovate more energy efficient commercial buildings in New York. This goal will be accomplished by providing technical assistance to Architectural and Engineering firms to evaluate energy efficiency options and capital cost incentives to building owners to overcome additional first cost for energy efficiency improvements.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Mark Eggers
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3308
Fax: (518) 862-1091; E-Mail: mse@nyserda.org

Fiscal Contact:

Karen Auricchio
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3235

Eligibility: All new commercial construction projects, substantial renovation projects and certain small replacements of lighting, motors and unitary heating and ventilation equipment.

Type of Program and Special Restrictions: Customers must be eligible for the Systems Benefit Charge benefits.

Action Required to Receive Aid: Competitive application for aid required. Complete program applications, receive approval to install measures, verify installation and present appropriate receipts and documentation.

Description of Aid:

Engineering assistance to evaluate energy efficiency technologies, computer energy modeling of building designs, and capital cost incentives to cover a portion of the incremental cost of purchasing and installing approved equipment.

NEW YORK ENERGY \$MARTsm ENERGY AUDIT PILOT PROGRAM

(Technical Assistance Program)

Objective: The audit program is designed to provide technical and engineering analysis for small facilities. This assistance will help them make informed electrical energy decisions and implement energy efficiency strategies. Through this effort, individual customer energy efficiency will be promoted and the customer acceptance of energy efficient products will be increased, thereby achieving a greater market share for these products.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Mark S. Mayhew
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3319

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Direct request.

Description of Aid:

This program allows customers to obtain cost-shared engineering services in a timely manner to help them make informed decisions about energy efficiency improvements. This is an ongoing service. These services are designed to help individual customers overcome barriers to energy efficiency by providing technical information and expertise, and to identify and promote the implementation of high efficiency measures. Technical services are necessary to encourage customers to obtain information necessary to implement cost-effective, energy efficiency projects.

NEW YORK ENERGY \$SMARTsm LOAN FUND

Objective: Low-interest loans are available through participating lenders for energy efficiency and renewable technology projects. Loan Fund will subsidize loans up to \$100,000. Eligible sectors include residential, multifamily commercial/industrial, not-for-profit, institutional, and government. Borrowers must be customers of Niagara Mohawk Power Corporation, Orange and Rockland, New York State Electric and Gas, Consolidated Edison, or Central Hudson and have electric bills less than \$100,000 a year. Thirty percent of project must be for electric measures. Eligible measures include lighting ENERGYSTAR® appliances, LED exit signs, efficient windows, heat pumps, and many others. Some projects require technical studies available through NYSERDA.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1999

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Rachel Winters
Project Manager
Energy Efficiency Services
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3315
E-Mail: rgw@nyserda.org

Fiscal Contact:

NA

Eligibility: All sectors are eligible. Loans will be available to customers of Consolidated Edison, Niagara Mohawk Power Corporation, Central Hudson, New York State Electric and Gas, and Orange & Rockland. Applicants must obtain loan commitments from participating lenders.

Type of Program and Special Restrictions: Participation in the Loan Fund is optional and entails no mandated requirements. No special restrictions apply.

Action Required to Receive Aid: Complete application package and obtain commitment. Some applicants must have technical analyses of their projects.

Description of Aid:

Flow of Funds: System benefits charge funds flow to NYSERDA and from NYSERDA to participating lenders.

Type of Aid: Benefits are available up to five years of eligible loan term.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: New Program

Comments: This program will be introduced in July, 1999. \$11 million is available for loans.

NEW YORK ENERGY \$MARTSM STANDARD PERFORMANCE CONTRACT PROGRAM

Objective: Encourage Energy Service Companies (ESCOs) to install cost-effective electrical energy efficiency measures for institutional, commercial, and industrial customers. Qualified customers of Central Hudson, Con Edison, NYSEG, Niagara Mohawk, and Orange and Rockland can share in incentives paid on a performance basis.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

John Ahearn
Project Manager
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3310

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups, Commercial and Industrial Electrical Customers contracting with an ESCO for energy efficiency services.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to ESCO selected by state/local government customer.

Type of Aid: Project Grant

Formula: Incentive is paid on a per kilowatt of savings basis.

Matching Requirement: Yes

Maintenance of Effort: Yes

Amounts Appropriated and Disbursed: New Program - \$46 million over three years through System Benefit Charge funds beginning January, 1999.

PON 475-99: ENERGY FEASIBILITY STUDIES

(Technical Assistance Program)

Objective: The purpose of Energy Feasibility Studies is to help customers determine the capital costs, energy savings, and other costs/benefits of making electricity related capital improvements. Types of assistance eligible for funding will generally be in the categories of equipment selection, system performance, building commissioning, feasibility studies and guidance with process improvements, waste minimization, environmental performance, and renewable resources.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Scott A. Smith
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3344

Fiscal Contact:

NA

Eligibility: Industrial and commercial facilities, State and local governments, not-for-profit and private institutions, public and private K-12 schools, colleges and universities, multifamily buildings, and health care facilities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Restrictions: must be an electric distribution system customer of Central Hudson Gas & Electric Corp., Consolidated Edison Co. of New York, Inc., New York State Electric and Gas Corp., Niagara Mohawk Power Corporation, or Orange and Rockland Utilities, Inc.

Action Required to Receive Aid: Application response to Program Opportunity Notice (PON) by due date.

Description of Aid:

This program allows customers to obtain cost-shared engineering services. This service is offered as a Program Opportunity Notice, where customers are expected to fill out an application form and submit it to NYSERDA by the due date. A service provider of the customers choice will be used to perform the work. These services are designed to help customers make informed decisions about energy-related investments.

PON 476-99: ENERGY OPERATIONS MANAGEMENT

(Technical Assistance Program)

Objective: The purpose of Energy Operations Management is to help customers optimize the performance of energy consuming systems and develop strategic energy plans. Types of assistance eligible for funding will generally be in the categories of developing detailed energy baselines, energy planning, facility staff outreach and training, and commissioning of existing systems.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Scott A. Smith
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3344

Fiscal Contact:

NA

Eligibility: Industrial and commercial facilities, State and local governments, not-for-profit and private institutions, public and private K-12 schools, colleges and universities, multifamily buildings, and health care facilities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Restrictions: must be an electric distribution system customer of Central Hudson Gas & Electric Corp., Consolidated Edison Co. of New York, Inc., New York State Electric and Gas Corp., Niagara Mohawk Power Corporation, or Orange and Rockland Utilities, Inc.

Action Required to Receive Aid: Application response to Program Opportunity Notice (PON) by due date.

Description of Aid:

This program allows customers to obtain cost-shared engineering services. This service is offered as a Program Opportunity Notice, where customers are expected to fill out an application form and submit it to NYSERDA by the due date. A service provider of the customers choice will be used to perform the work. These services are designed to help customers develop energy strategies and improve the operational efficiency of energy consuming equipment.

PREMIUM-EFFICIENCY MOTORS: DISTRIBUTOR-BASED PERFORMANCE INCENTIVES

Objective: Incentives provided to motor vendors and distributors to increase the availability, promotion, and sale of qualified premium-efficiency motors in New York State. Wholesale distributors, vendors, or dealers of electric motors, or original equipment manufacturers of equipment are eligible to apply.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Laurie Kokkinides
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3353
E-Mail: ljk@nyserda.org

Fiscal Contact:

NA

Eligibility: Electric motor distributors, vendors, dealers, and original equipment manufacturers of motor-using equipment with qualified sales to customers located in Central Hudson, Con Edison, NYSEG, Niagara Mohawk, and Orange and Rockland territories will be eligible for incentive payments.

Type of Program and Special Restrictions: Customers must be eligible for the Systems Benefit Charge benefits.

Action Required to Receive Aid: Request Program Opportunity Notice (PON) 451-98 from NYSERDA. All relevant requirements will be discussed in the PON.

Description of Aid: \$40 per motor incentives (max. \$90,000 per vendor); 10% bonus for Sept. 1999 goal completion.

Flow of Funds: System benefits charge funds flow to NYSERDA and from NYSERDA to participating customers.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: New Program

RATE ANALYSIS AND AGGREGATION

(Technical Assistance Program)

Objective: The objective of this program is to help customers prepare to negotiate energy prices and services with independent marketers and to become more energy-efficient and cost-effective by better understanding their electricity consumption, time of use, and purchase options. Types of assistance eligible for funding are analysis of electric rates, load shape, and aggregation opportunities, educating customers to negotiate with power marketers, advice on energy efficiency projects for potential energy service company projects, and aggregating customers to purchase electricity.

Administering Agency: NYS Energy Research and Development Authority (NYSERDA)

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Authorities Law, Article 8, Title 9.

Regulation: NA

Program Contact:

Jessica Zweig
NYS Energy Research and
Development Authority
Corporate Plaza West
286 Washington Avenue Extension
Albany, NY 12203-6399
(518) 862-1090, Ext. 3346

Fiscal Contact:

NA

Eligibility: Industrial and commercial facilities, State and local governments, not-for-profit and private institutions, public and private K-12 schools, colleges and universities, multifamily buildings, and health care facilities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Restrictions: must be an electric distribution system customer of Central Hudson Gas & Electric Corp., Consolidated Edison Co. of New York, Inc., New York State Electric and Gas Corp., Niagara Mohawk Power Corporation, or Orange and Rockland Utilities, Inc.

Action Required to Receive Aid: Application response to Program Opportunity Notice (PON) by due date.

Description of Aid:

This program allows customers to obtain cost-shared engineering services. This service is offered as a Program Opportunity Notice, where customers are expected to fill out an application form and submit it to NYSERDA by the due date. A service provider of the customers choice will be used to perform the work. These services are designed to help customers overcome barriers to electricity purchasing in the restructured market.

CONSTRUCTION OF RECYCLING FACILITIES

Objective: Supports acquisition of municipal recycling equipment and facilities.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: 63803¹

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law 51-0905

Regulation: NA

Program Contact:

Stephen Hammond
 Director
 Division of Solid & Hazardous Materials
 NYS Department of Environmental
 Conservation
 50 Wolf Road
 Albany, NY 12233-7250
 (518) 457-6934

Fiscal Contact:

Frank Pacowski
 Division of Management and Budget Services
 NYS Department of Environmental
 Conservation
 50 Wolf Road, Room 682
 Albany, NY 12233-5022
 (518) 457-5317

Eligibility: Counties, Cities, Towns, Villages and Public Authorities.

Type of Program and Special Restrictions: Optional, without any State mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: Municipality must continue to operate facility if constructed with State funds.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,606,280	NA
SFY 97-98	NA	1,642,479	NA

¹Includes DEC capital funds and local assistance funds, and acquisition of low technology equipment.

ENVIRONMENTAL PROTECTION FUND - NON-POINT SOURCE POLLUTION ABATEMENT AND CONTROL

Objective: Assists in implementing non-point source management programs.

Administering Agency: NYS Department of Environmental Conservation, NYS Department of Agriculture and Markets

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 17-14

Regulation: NA

Program Contact:

N.G. Kaul
Director
Division of Water
NYS Department of Environmental Conservation
50 Wolf Road, Room 310C
Albany, NY 12233-3500
(518) 457-7464

Fiscal Contact:

Frank Pacowski
Division of Management & Budget Services
NYS Department of Environmental Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: All municipal entities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Projects must comply with the intent of the Environmental Conservation Law, Article 17-14.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: NA

Matching Requirement: 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	4,000,000
SFY 97-98	NA	NA	5,400,000
SFY 98-99	NA	NA	6,300,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	4,000,000
SFY 97-98	NA	NA	5,400,000

ENVIRONMENTAL RESTORATION PROJECTS (BROWNFIELDS)

Objective: Provides State financial assistance to municipalities for the investigation and/or remediation of properties which are either contaminated or suspected of being contaminated.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: 71210

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 56

Regulation: 6 NYCRR 375; 6 NYCRR 597

Program Contact:

Christine Costopoulos
Remedial Program Development Coordinator
Bureau of Program Management
NYS Department of Environmental
Conservation
50 Wolf Road, Room 260A
Albany, NY 12233-7010
(518) 457-7894

Fiscal Contact:

Frank Pacowski
Division of Management & Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups, and Indian Nations or Tribes recognized by the State of the US with a reservation within New York State.

Requirements: (1) municipality must own the property that is the subject of the Brownfields application by the time the State Assistance Contract is executed; (2) the municipality is not responsible for the hazardous substances at the site; (3) the municipality has not, and will not undertake any indemnification obligation respecting a party responsible under the law for the remediation of the property; (4) the property is not a Class 1 or 2 site listed on the NYS Registry of Inactive Hazardous Waste Disposal Sites; and (5) the project must satisfy the criteria of ECL 56-0505.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required. Applications are considered on a first-come, first-served basis.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grant

Formula: NA

Matching Requirement: State 75%; Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	50,000,000
SFY 97-98	NA	NA	20,000,000
SFY 98-99	NA	NA	10,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	71,856

HAZARDOUS WASTE SITE REMEDATION PROJECTS

Objective: Provides aid for the development and implementation of hazardous waste site remediation projects.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: 63909

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 52, Sections 52-0105, 52-0107, 52-0301 and 52-0303, and Environmental Quality Bond Act

Regulation: 6 NYCRR Part 375

Program Contact:

Michael J. O'Toole, Jr.
Director
Division of Environmental Remediation
NYS Department of Environmental
Conservation
50 Wolf Road, Room 260B
Albany, NY 12233-7010
(518) 457-5861

Fiscal Contact:

Frank Pacowski
Division of Management and Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities and Indian Tribes within New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 75%, Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	32,800,000	NA
SFY 97-98	NA	30,000,000	NA
SFY 98-99	NA	30,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	55,528,155	NA
SFY 97-98	NA	34,246,326	NA

LANDFILL CLOSURE STATE ASSISTANCE TITLE 5 LANDFILL CLOSURE

Objective: Assists eligible municipalities in meeting the costs of properly closing municipal landfills.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: 63803

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 54, Title 5

Regulation: 6 NYCRR Subpart 360-9

Program Contact:

Stephen Hammond
Director
Division of Solid & Hazardous Materials
NYS Department of Environmental
Conservation
50 Wolf Road
Albany, NY 12233-7250
(518) 457-6934

Fiscal Contact:

Frank Pacowski
Division of Management and Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: State Governments, Counties, Cities, Towns, Villages, Public Authorities and Native American Tribes residing within New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Grants up to \$2 million and interest-free loans.

Formula: NA

Matching Requirement: Populations of 3,500 or more: State 50%, Local 50%; All others: State 90%, Local 10%, and may borrow unfunded portion interest-free.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	36,463,900
SFY 97-98	NA	NA	18,000,000
SFY 98-99	NA	NA	18,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	8,557,785
SFY 97-98	NA	NA	6,343,381

MUNICIPAL WASTE REDUCTION & RECYCLING PROGRAM/HOUSEHOLD HAZARDOUS WASTE STATE ASSISTANCE PROGRAM (EPF TITLE 7)

Objective: Assists eligible municipalities in meeting the costs of implementing recycling and household hazardous waste collection programs.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: 71210

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Article 54, Title 7

Regulation: 6 NYCRR Part 369 (MWRR Program); 6 NYCRR Subpart 373-4.6 (HHW SAP)

Program Contact:

Stephen Hammond
Director
Division of Solid & Hazardous Materials
NYS Department of Environmental
Conservation
50 Wolf Road
Albany, NY 12233-7250
(518) 457-6934

Fiscal Contact:

Frank Pacowski
Division of Management and Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: Counties, Cities, Towns, Villages, Public Authorities, Local Public Benefit Corporations, School Districts, Supervisory Districts, Improvement Districts and Native American Tribes residing within New York State.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grants up to \$2,000,000.

Formula: NA

Matching Requirement: Fifty percent matching grants up to \$2,000,000.

Maintenance of Effort: Municipality must continue to operate facility if constructed with State funds.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	850,811	5,900,000
SFY 97-98	NA	5,000,000	5,505,000
SFY 98-99	NA	5,000,000	6,000,000

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	195,164	2,428,390
SFY 97-98	NA	2,971,308	1,583,267

*Disbursements reflect total amount disbursed that SFY appropriation, to date.

NONPOINT SOURCE IMPLEMENTATION PROGRAM SUPPORT

Objective: Assists in implementing nonpoint source management programs.

Administering Agency: NYS Department of Environmental Conservation; US Environmental Protection Agency

NYS Object Code: NA

Year Established: 1988

Catalog of Federal Domestic Assistance No.: 66.460

Legal Authority:

Law: US Clean Water Act, Title III, Section 319(h)

Regulation: 40 CFR Parts 31 and 35 Subpart A

Program Contact:

N.G. Kaul
Director
Division of Water
NYS Department of Environmental
Conservation
50 Wolf Road, Room 310C
Albany, NY 12233-3500
(518) 457-7464

Fiscal Contact:

Frank Pacowski
Division of Management & Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: State as defined by Federal law.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). Funds must be used based upon the requirements cited above. Associated water management/administration costs are limited to ten percent of the grant. Tasks to carry out nonpoint projects and programs include support of state and local actions to abate nonpoint sources of pollution including non-regulatory or regulatory programs for enforcement, technical assistance, financial assistance, education, training, technology transfer and demonstration projects to abate or prevent nonpoint source pollution that produce early demonstrable water quality results.

Action Required to Receive Aid: Both non-competitive application for aid (State) and competitive application for aid required (local pass-through).

Description of Aid:

Flow of Funds: Federal to State and Federal to State to Local. Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

Type of Aid: Ongoing program grant (State) and competitive project of a limited duration (Locals).

Formula: NA

Matching Requirement: Nonfederal match of at least 40 percent of project or program costs is required.

Maintenance of Effort: At or above average of nonpoint source activities in FY 1985 and 1986; NYS is approximately \$400,000.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,400,000	NA	NA
SFY 97-98	3,400,000	NA	NA
SFY 98-99	3,400,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,400,000	NA	NA
SFY 97-98	3,400,000	NA	NA

SOLID WASTE MANAGEMENT ACT OF 1988

Objective: To assist municipalities in local solid waste management planning, resource recycling and acquiring of small-scale, low technology resource recovery equipment.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: 63803¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law 27-0107, 27-0109, 27-0403, 27-0405 and 51.0905

Regulation: NYCRR 636.9

Program Contact:

Stephen Hammond
 Director
 Division of Solid & Hazardous Materials
 NYS Department of Environmental
 Conservation
 50 Wolf Road
 Albany, NY 12233-7250
 (518) 457-6934

Fiscal Contact:

Frank Pacowski
 Division of Management and Budget Services
 NYS Department of Environmental
 Conservation
 50 Wolf Road, Room 682
 Albany, NY 12233-5022
 (518) 457-5317

Eligibility: Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50-90%, Local 10-50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 95-96	NA	NA	NA
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	241,070	NA
SFY 97-98	NA	89,490	NA

¹Includes DEC capital funds and local assistance funds.

WATER QUALITY MANAGEMENT PLANNING PROGRAM SUPPORT

Objective: Assists in carrying out water quality and watershed management planning.

Administering Agency: NYS Department of Environmental Conservation; US Environmental Protection Agency

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 66.454

Legal Authority:

Law: US Clean Water Act, Sections 205j and 604b, as amended, PL 97-117, Water Quality Act of 1987, PL 100-4

Regulation: 40 CFR Parts 31 and 35, Subpart A

Program Contact:

N.G. Kaul
Director
Division of Water
NYS Department of Environmental
Conservation
50 Wolf Road, Room 310C
Albany, NY 12233-3500
(518) 457-7464

Fiscal Contact:

Frank Pacowski
Division of Management & Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: State Water Quality Management Agencies.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations (state); optional, without any State or Federal mandated requirements (locals). States are required to allocate at least 40 percent of funds awarded to eligible Regional Public Comprehensive Planning Agencies and Interstate Organizations. Funds must be used based upon the requirements cited above. Initiatives include administering both the point source and nonpoint source elements of the State's water program; developing and administering the State's sewage sludge disposal and pretreatment programs and implementation of the State's nonpoint source program. Tasks include permit development and review, compliance review and inspection, enforcement, water quality planning and standards, monitoring, facility personnel training, and associated program regulation development.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State; Federal to State to Local (40% pass-through portion). Award is made in advance of expenditures but monies are drawn down on a reimbursement basis.

Type of Aid: Non-competitive ongoing program grant (State) and competitive pass-through to locals for projects of a limited duration.

Formula: Each fiscal year the Administrator shall reserve under Section 604g an amount not to exceed one percent of the amount allotted and available for obligation for construction grants or \$100,000, whichever is greater.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,400,000	NA	NA
SFY 97-98	278,000	NA	NA
SFY 98-99	600,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	909,000	NA	NA
SFY 97-98	277,000		

WATERSHED PROTECTION PROJECTS

Objective: Supports prevention of erosion, flood water and sediment damage in watersheds of the rivers and streams of the State and furthers conservation, development, utilization and disposal of water.

Administering Agency: NYS Department of Environmental Conservation

NYS Object Code: NA

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY County Law, Sections 223 and 299-x

Regulation: NA

Program Contact:

N.G. Kaul
Director
Division of Water
NYS Department of Environmental
Conservation
50 Wolf Road, Room 310C
Albany, NY 12233-3500
(518) 457-7464

Fiscal Contact:

Frank Pacowski
Division of Management & Budget Services
NYS Department of Environmental
Conservation
50 Wolf Road, Room 682
Albany, NY 12233-5022
(518) 457-5317

Eligibility: Counties. Watershed must equal 250,000 acres and be sponsored by county or counties. Project must be approved by Commissioner of Environmental Conservation.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated: There have not been any appropriations since 1987.

Amounts Disbursed: There have not been recent disbursements.

FINANCIAL ASSISTANCE TO BUSINESS PROGRAM (FAB PROGRAM)

Objective: Provides direct financial assistance to small businesses located outside of New York City. State assistance payments are made to identified industry segments that are facing new costs to comply with new environmental regulations.

Administering Agency: NYS Environmental Facilities Corporation

NYS Object Code: NA
Catalog of Federal Domestic Assistance No.: NA

Year Established: 1996

Legal Authority:

Law: ECL 56-0303 and 56-0611

Regulation: 21 NYCRR 2606

Program Contact:

Laurie A. Allen
FAB Program Manager
NYS Environmental Facilities Corporation
50 Wolf Road
Albany, NY 12205-2603

Fiscal Contact:

NA

Eligibility: Small businesses with 100 or fewer employees that are located in NYS but not in NYC and that are not dominant in their field.

Type of Program and Special Restrictions: Grants. Restrictions differ according to specific program.

Action Required to Receive Aid: EFC publishes program descriptions in the State Register and Environmental Notice Bulletin. Applicants must meet the published criteria to qualify for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are given to the small business through their municipality.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	32,000,000
SFY 98-99	NA	NA	2,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	6,000,000

NEW YORK STATE CLEAN WATER POLLUTION CONTROL REVOLVING FUND

Objective: Provides low interest rate loans to municipalities to finance the planning, design and construction of water pollution control facilities. These include treatment works projects such as: sanitary sewers; wastewater pumping facilities; wastewater treatment facilities; infiltration/inflow correction; sewer replacement; combined sewer overflow abatement; and storm sewer pollution control; and nonpoint source pollution control projects such as: landfill capping and closure; airport deicing pollution control; highway deicing material storage facilities; remediation of leaking storage tanks and municipal hazardous waste sites; streambank stabilization and erosion control; restoration of water bodies; and land purchase or conservation easements for water quality protection.

Administering Agency: NYS Environmental Facilities Corporation

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1989 - Chapter 565, NY Laws of 1992 - Chapter 55, NY Laws of 1992 - Chapter 645, NY Laws of 1995 - Chapters 230 and 231, and NY Laws of 1996 - Chapter 447

Regulation: 6 NYCRR Part 649; 21 NYCRR Part 2602

Program Contact:

Robert E. Davis, P.E.
Director
Engineering and Program Management
NYS Environmental Facilities Corporation
50 Wolf Road
Albany, NY 12205-2603
(518) 457-9148
Within NYS: 1-800-882-9721
www.nysefc.org

Fiscal Contact:

James Gebhardt
Chief Financial Officer
NYS Environmental Facilities Corporation
50 Wolf Road
Albany, NY 12205-2603
(518) 457-0886
Within NYS: 1-800-882-9721
www.nysefc.org

Eligibility: Counties, Cities, Towns, Villages, District Corporations, County and Town Improvement Districts, Indian Reservations wholly within New York State and eligible Public Benefit Corporations and Public Authorities, and any Agency of New York State which is empowered to construct and operate an eligible project. Proposed projects must be publicly owned to be eligible for State Revolving Fund (SRF) financing.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Limited to eligible activities associated with the construction of wastewater treatment facilities, non-point source projects and estuary plan development or implementation.

Action Required to Receive Aid: Submission of a complete loan application. Must be listed in the annual Intended Use Plan which contains a prioritized list of projects from communities expected to apply for an SRF loan.

Description of Aid:

Flow of Funds: State to Local. Loan monies are disbursed as costs are incurred.

Type of Aid: Project Loan. This is a program of low interest loans, rather than grants-in-aid. Funds are made available from Federal and State sources, loan repayments and interest earnings, thereby providing a perpetual source of funding for future projects. The interest earned in the capitalization funds is provided to communities as an interest rate subsidy.

Formula: Funds are distributed on a priority basis with consideration for community size and location.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Capital Projects Fund</u>	<u>State Capital Projects Fund</u>
SFY 96-97	137,259,000	27,452,000
SFY 97-98	134,799,000	26,960,000
SFY 98-99	101,203,000	20,241,000

**NEW YORK STATE CLEAN WATER POLLUTION CONTROL
REVOLVING FUND (cont.)**

Loans Made:

SFY 96-97 - \$229,400,000
SFY 97-98 - \$455,588,561

Comments: This is a program of low interest rate loans with a maximum repayment term of 20 years. For more information, call the program contact.

NEW YORK STATE DRINKING WATER STATE REVOLVING FUND (DWSRF)

Objective: Provides financial assistance to public and private community water systems, and non-profit non-community water systems for the capital costs of drinking water facilities and infrastructure improvements. These projects include investments to upgrade or replace infrastructure, projects to achieve or maintain compliance with federal or state health standards, and provide the public with safe affordable drinking water. Examples of such projects are rehabilitation or development of new drinking water sources to replace contaminated supplies; installation or upgrade of treatment facilities to ensure compliance with state and federal drinking water standards or treatment/performance criteria; installation or upgrade of storage facilities, including finished water reservoirs, to prevent microbiological contamination or to provide adequate delivery pressures; installation or replacement of transmission and distribution mains to prevent contamination caused by leaks or breaks; funding and/or construction to promote the consolidation of water supply services, particularly in circumstances where individual homes or water systems generally have an inadequate quantity of water, the water supply is contaminated, or the system is unable to maintain adequate compliance for financial or managerial reasons; and the purchase of a portion of another system if the purchase is part of a consolidation plan to bring the system(s) into compliance. Any of the above listed project types which are publicly owned and which were previously financed after July 1, 1993, may be eligible for refinancing.

Administering Agency: NYS Environmental Facilities Corporation, NYS Department of Health

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 413

Regulation: 21 NYCRR Part 2604, 10 NYCRR Part 53

Program Contact:

Michael E. Burke, P.E.
Director, Public Water Supply Program
NYS Department of Health
II University Place
Albany, NY 12203-3399
(518) 458-6731
www.health.state.ny.us

Fiscal Contact:

James Gebhardt
Chief Financial Officer
NYS Environmental Facilities Corporation
50 Wolf Road
Albany, NY 12205-2603
(518) 457-0886
Within NYS: 1-800-882-9721
www.nysefc.org

Eligibility: Drinking water systems that are eligible for project funding are community water systems, both public and privately owned, and non-profit, non-community water systems. Projects submitted by systems lacking technical, managerial or financial capacity or significant non-compliers are not eligible for funding unless the proposed project will improve capacity or compliance. Also, projects whose primary purpose is fire protection or growth/development, dams and reservoirs, or acquisition of land not integral to an eligible project are not eligible.

Type of Program and Special Restrictions: Projects working with the DWSRF must satisfy applicable federal and State requirements. Limited to eligible activities associated with the construction of drinking water facilities and infrastructure improvements.

Action Required to Receive Aid: Must be listed on the annual Intended Use Plan which contains a prioritized ranking of projects, scored on health-based criteria, expected to apply for a DWSRF loan. Projects eligible to receive financing during a funding cycle must have a priority ranking score above the funding line based on financial capacity of the program. Submission of complete loan application by those communities is required.

Description of Aid:

Flow of Funds: State to Local. Funds are disbursed as costs are incurred. For hardship projects receiving grant funds, loan proceeds are disbursed before grant.

Type of Aid: Interest-bearing loans, reduced-interest financial hardship loans, and financial hardship grants.

Formula: Funds are distributed on a priority basis using health-based criteria. Communities facing financial hardship are eligible for reduced-interest loans and grants, using health-based and financial criteria.

Matching Requirement: NA

Maintenance of Effort: NA

**NEW YORK STATE DRINKING WATER STATE
REVOLVING FUND (DWSRF) (cont.)**

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	50,000,000	NA
SFY 97-98	62,475,000	25,000,000	NA
SFY 98-99	101,156,000	50,000,000	NA

Assistance Given:

	<u>Loans</u>	<u>Grants</u>
FFY 98	343,816,979	12,059,583
FFY 99 (to date)	25,962,604	2,003,137

NEW YORK STATE SELF-HELP SUPPORT SYSTEM

(Technical Assistance Program)

Objective: Provides direct technical and administrative assistance to local governments to enable small communities with limited financial resources to implement less costly solutions to their wastewater collection and treatment problems. Project costs are reduced by assisting communities to complete tasks themselves which are traditionally contracted out.

Administering Agency: NYS Environmental Facilities Corporation

NYS Object Code: NA

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Diane Perley, P.E.
Self-Help Support System
NYS Environmental Facilities Corporation
50 Wolf Road
Albany, NY 12205-2603
(518) 457-3864
Within NYS: 1-800-882-9721
www.nysefc.org

Fiscal Contact:

NA

Eligibility: Towns and Villages. Assistance is for projects which are candidates for funding by the Clean Water State Revolving Fund (CWSRF).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: EFC provides self-help technical assistance as staff time is available to small communities which are willing to complete project tasks themselves.

Description of Aid:

Technical assistance only, but projects are often funded through EFC's Clean Water State Revolving Fund (CWSRF) low-interest loan program.

Comments: For more information about Self-Help and the types of services it could provide, please call the program contact.

Environmental Facilities Corporation

TECHNICAL ADVISORY SERVICES (TAS)

(Technical Assistance Program)

Objective: Provides assistance to both public and private sector clients to help them improve environmental practices and to support management of their environmental projects. Services are generally provided to clients on a fee basis.

Administering Agency: NYS Environmental Facilities Corporation

NYS Object Code: NA

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Generally: NY Public Authorities Law, Article 5, Title 12; NY Laws of 1978, Chapter 639; NY Laws of 1979, Chapter 282

Regulation: NA

Program Contact:

Erick McCandless
Director
Technical Advisory Services
NYS Environmental Facilities Corporation
50 Wolf Road
Albany, NY 12205-2603
(518) 457-4138
Within NYS: 1-800-882-9721
www.nysefc.org

Fiscal Contact:

NA

Eligibility: State Government Agencies and Authorities, Counties, Cities, Villages, School Districts, Public Authorities, Private Non-Profit Groups, NY Businesses.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: EFC provides technical advisory services on a fee basis.

Description of Aid:

TAS does not provide direct financial assistance ("aid"). See Objective for more information.

Comments: For more information about TAS and the types of services it could provide, please call the program contact.

CHILD AND ADULT CARE PROGRAM

Objective: Provides federally donated foods to eligible Child and Adult Care Centers.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: Various

Regulation: 7 CFR 250

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups and others approved by NYS Health Department.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Food is distributed from Federal to State to Local.

Type of Aid: Ongoing

Formula: Based on average number of eligible participants.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

FEDERAL SURPLUS PROPERTY PROGRAM

Objective: To market Federal surplus personal property, ranging from desks and typewriters, up to aircraft, boats, and construction equipment to towns, counties, villages, cities, state agencies, hospitals and schools.

Administering Agency: NYS Office of General Services; US General Services Administration

NYS Object Code: NA

Year Established: 1947

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US PL 94-519

Regulation: NA

Program Contact:

Ronald Ottman
Director
Properties and Fleet Administration
NYS Office of General Services
W.A. Harriman State Campus, Building 18
Albany, NY 12226
(518) 457-1744

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Schools and Hospitals. All public tax-supported agencies, as well as non-profit health and educational programs.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. The program markets property to eligible programs; however, property must be put into use within 12 months, and used for a period of 12-18 months.

Action Required to Receive Aid: Non-Competitive application for aid required. Fill out program application, receive approval and pay service charge for items received.

Description of Aid:

Flow of Funds: Property is distributed from Federal to State, and Federal and State to Local.

Type of Aid: Ongoing. Federal personal property is recycled to eligible programs.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

NATIONAL SCHOOL LUNCH PROGRAM

Objective: Provides federally donated foods to eligible school food authorities.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: US National School Lunch Act and others.

Regulation: 7 CFR 250

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: School Districts. Private non-profit schools and residential child care organizations approved by the State Education Department.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required. Prior approval by SED.

Description of Aid:

Flow of Funds: Food is Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: Based on average daily participation.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

NUTRITION PROGRAM FOR THE ELDERLY

Objective: Provides federally donated foods to Area Agencies on Aging.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: Various

Regulation: 7 CFR 250

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: Counties. Approval by State Office for the Aging required.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Food is distributed from Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: Based on average number of eligible participants.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

**OFFICE OF MINORITY AND WOMEN-OWNED
BUSINESS ENTERPRISES (OMWBE)**

(Technical Assistance Program)

Objective: Fosters and promotes the development of minority and women-owned business enterprises towards full participation in the State's contracting process.

Administering Agency: NYS Office of General Services

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law Amendment, Article 15-A, Sections 310-318.

Regulation: 9 NYCRR 540-545

Program Contact:

Michael Clay
Director
Minority/Women Owned
Business Enterprise
NYS Office of General Services
Empire State Plaza
Corning Tower, 35th Floor
Albany, NY 12242
(518) 473-7083

Fiscal Contact:

Same

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: No application process required. Letter in request for assistance on program development.

Description of Aid:

Flow of Funds: NA

Type of Aid: Technical Assistance

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

SALE OF SURPLUS PERSONAL PROPERTY

Objective: To market State surplus property ranging from office equipment to cars, trucks and municipal highway equipment.

Administering Agency: NYS Office of General Services

NYS Object Code: NA

Year Established: 1942

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 178

Regulation: NA

Program Contact:

Ronald Ottman
Director
Properties and Fleet Administration
NYS Office of General Services
W.A. Harriman State Campus, Building 18
Albany, NY 12226
(518) 457-1744

Fiscal Contact:

NA

Eligibility: All sales are open to the public. Bidders must be 18 years of age or older.

Type of Program and Special Restrictions: Participation in sale process is optional, via sealed bid and public auction.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: NA

Type of Aid: NA

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: All sales are advertised and competitive. Participation by Local government is optional and without advance knowledge, priority handling, or special arrangements. Sale is made to the highest bidder.

SOUP KITCHEN PROGRAM

Objective: Provides federally donated foods to soup kitchens and food banks.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: US PL 101-624, as amended

Regulation: 7 CFR 250 and 251

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Food is distributed from Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: 185 percent of Federal poverty level or below.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

SPECIAL MILK PROGRAM

Objective: Encourages consumption of milk by children.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: Various

Regulation: 7 CFR 215

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: 11 cents per 1/2 pint of milk served.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

STATE CONTRACT EXTENSION PROGRAM

(Technical Assistance Program)

Objective: Extends approximately 500 State commodity services and technology contracts to local governments and school districts. Benefits include cost savings from direct procurement as well as the administrative savings involved in otherwise having to formally bid these requirements.

Administering Agency: NYS Office of General Services; Procurement Services Group

NYS Object Code: NA

Year Established: 1953

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Finance Law, Section 163; NY General Municipal Law, Sections 100, 104 and 109a

Regulation: 9 NYCRR 250.5

Program Contact:

Mary Price
Purchase Program Specialist
Procurement Services Group
NYS Office of General Services
Corning Tower, Room 3811
Empire State Plaza
Albany, NY 12242
(518) 474-6934

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups and Political Subdivision Special Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must comply with both the program's requirements and the specific contract terms and conditions when placing orders.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: NA

Type of Aid: NA

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Comments: OGS Procurement Services Group regularly publishes information on this program in various newsletters which target local governments including: the Office of the State Comptroller's Governmental Affairs Review, NYCOM's New York State Municipal Bulletin, NYSAT's Talk of the Towns, the State Association of Municipal Purchasing Officials' Purchasing Hotline, NYASBO'S Reporter, Fire District Affairs, NYS Town Clerk Association's Town Recorder and County Legislators and Supervisors Association of NYS' Class Chronicle. For more information, call the program contact.

SUMMER FOOD SERVICE PROGRAM

Objective: Provides federally donated foods to sponsor lunches for children when school is not in season.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: Various

Regulation: 7 CFR 250

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups approved by USDA.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Food is distributed from Federal to State to Local (Pass-through).

Type of Aid: Project - Summer Season

Formula: Based on average number of eligible recipients.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

THE EMERGENCY FOOD ASSISTANCE PROGRAM (TEFAP) NEEDY FAMILY PROGRAM

Objective: Provides federally donated foods to needy individuals for household consumption.

Administering Agency: NYS Office of General Services; US Department of Agriculture

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 10.550

Legal Authority:

Law: US PL 98-8, as amended and PL 101-624, as amended

Regulation: 7 CFR 251

Program Contact:

Ernest Berger
Bureau Chief
Bureau of Government Donated Foods
NYS Office of General Services
Corning Tower, 40th Floor
Empire State Plaza
Albany, NY 12242
(518) 474-5122

Fiscal Contact:

NA

Eligibility: Needy individuals.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Food is distributed from Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: 185 percent of Federal poverty level or below.

Matching Requirement: NA

Maintenance of Effort: NA

Comments: Assistance is based on food value which is established by the USDA on an annual basis.

ABSTINENCE EDUCATION PROGRAM

Objective: To encourage adolescents to resist pressures to be sexually active in order to reduce the rates of adolescent pregnancy and sexually transmitted diseases among teens aged 15-17 in targeted communities through a statewide media campaign and a community-based initiative in 20-30 projects in targeted communities.

Administering Agency: NYS Department of Health

NYS Object Code: 63490 for contracts; 64320 for media campaign
Catalog of Federal Domestic Assistance No.: 93.235

Year Established: 1997

Legal Authority:

Law: NA
Regulation: NA

Program Contact:

Barbara McTague
 Director
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 1805
 Albany, NY 12237
 (518) 474-3368

Fiscal Contact:

Connie Buckley
 Supervising Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3162

Eligibility: Counties, Not-for-Profit Groups and School Districts.

Type of Program and Special Restrictions: Abstinence education only.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance, where eligible, and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 97-98	NA	NA	NA
SFY 97-98	3,377,584	2,600,000	NA
SFY 98-99	3,377,584	2,600,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

ACCESS TO CLINICAL HIV DRUG TRIALS

Objective: To increase enrollment of under-represented populations in clinical drug trials.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups. Organization must demonstrate its ability to outreach to minority communities to increase access to clinical drug trials.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	100,000	NA
SFY 97-98	NA	100,000	NA
SFY 98-99	NA	100,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	133,792	NA
SFY 97-98	NA	108,336	NA

¹Refers to all Department of Health AIDS contracts.

ADAP FUNDING

Objective: Provides free HIV/AIDS drugs to low-income individuals not covered by Medicaid or adequate third party insurance.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State or Federal requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	8,000,000
SFY 97-98	NA	NA	12,000,000
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

¹Refers to all Department of Health AIDS contracts.

ADOLESCENT PREGNANCY (MCHS BLOCK GRANT)

Objective: Supports the provision of all needed health services to pregnant adolescents. Encourages pregnant adolescents to remain in school and to complete vocational schooling after pregnancy. Also helps to prevent unplanned repeat pregnancies in target population.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63418

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Michelle Louy
 Program Coordinator
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 1805
 Albany, NY 12237-0621
 (518) 474-3368

Fiscal Contact:

Deborah Nance
 Director, Fiscal Unit
 Division of Family and Local Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237
 (518) 474-4569

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Entities must be engaged in outreach or educational programs related to adolescent pregnancy.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,887,215	NA	NA
SFY 97-98	1,892,589	NA	NA
SFY 98-99	1,703,327	NA	NA

*Amounts are program allocations for the grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,892,589	NA	NA
SFY 97-98	1,703,327	NA	NA

*Expenditures for grant period.

ADULT DAY TREATMENT FOR PERSONS WITH AIDS

Objective: To develop day treatment, home care and nutrition for persons with AIDS in order to maintain these persons in the community, and prevent extended hospitalization.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Organizations must be an adult day or home care program as defined by NYSDOH, NYSDSAS, NYSOMH, NYSTDS, or can be CHHAs, LTHHCPs, or AHCPs as authorized by Chapter 622 of the NY Laws of 1988.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Planning grants are one-time only grants. Grants for services are one-time in that Medicaid funding will replace grant funding; however, it is ongoing in that funds will be used for other providers.
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	500,000	NA
SFY 97-98	NA	500,000	NA
SFY 98-99	NA	500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	513,301	NA
SFY 97-98	NA	493,211	NA

¹Refers to all Department of Health AIDS contracts.

AIDS CBOS AND SUBSTANCE ABUSE PROGRAMS

Objective: Supports community based organizations and outreach efforts targeted to substance abusers.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Organizations. Must demonstrate ability to outreach to active drug using individuals in community based settings.

Type of Program and Special Restrictions: HIV prevention and outreach without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts.

Action Required to Receive Aid: Competitive application for aid required authorized by the Commissioner of Health under Section 80.135, Title 10.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	470,000	30,000
SFY 97-98	NA	470,000	30,000
SFY 98-99	NA	470,000	30,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	428,409	NA
SFY 97-98	NA	470,099	NA

¹Refers to all Department of Health AIDS contracts.

AIDS CHILDREN, ADOLESCENTS AND FAMILIES

Objective: To expand and enhance the delivery of health and social services for children with HIV infection and their families.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups and Pediatric Networks and Consortia. Organizations must be part of the US Health Resources and Services Administration, New York City Pediatric AIDS Demonstration Project.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,837,500	462,500
SFY 97-98	NA	1,837,500	462,500
SFY 98-99	NA	1,837,500	462,500

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,713,869	NA
SFY 97-98	NA	1,512,970	NA

¹Refers to all Department of Health AIDS contracts.

AIDS CONTINUUM OF CARE ACTIVITIES

Objective: To conduct AIDS training and education activities and to develop the continuum of care for persons with HIV infection.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups, Community Health Centers, Hospitals and Community Demonstration Projects. Organization must be proficient in development of HIV care criteria.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,331,000	324,000
SFY 97-98	NA	2,331,000	324,000
SFY 98-99	NA	2,331,000	324,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,377,683	NA
SFY 97-98	NA	2,337,546	NA

¹Refers to all Department of Health AIDS contracts.

AIDS COUNSELING AND TESTING IN PRISONS

Objective: To provide counseling and testing services by the New York State Department of Corrections for HIV.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: State Government and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	925,000	NA
SFY 97-98	NA	925,000	NA
SFY 98-99	NA	925,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	122,607	NA
SFY 97-98	NA	764,658	NA

¹Refers to all Department of Health AIDS contracts.

AIDS DRUG TREATMENT AND SUBSTANCE ABUSERS AT RISK

Objective: To support services in drug treatment programs and substance abusers at risk.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	800,000	NA
SFY 97-98	NA	800,000	NA
SFY 98-99	NA	NA*	NA

*See Primary Care in Drug Treatment Programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	967,008	NA
SFY 97-98	NA	779,649	NA

¹Refers to all Department of Health AIDS contracts.

AIDS EDUCATION, PREVENTION AND NUTRITION

Objective: An array of services are provided for the education and prevention of HIV, with programs and nutritious meals for homebound individuals.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,400,000	NA
SFY 97-98	NA	4,400,000	NA
SFY 98-99	NA	4,400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,719,058	NA
SFY 97-98	NA	5,816,109	NA

¹Refers to all Department of Health AIDS contracts.

AIDS GRANTS TO COUNTY HEALTH DEPARTMENTS

Objective: To ensure services are available to county health departments in rural areas or in areas experiencing rapid increases in HIV.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: County health departments or county public health nursing entities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be used in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	300,000	NA
SFY 97-98	NA	300,000	NA
SFY 98-99	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	262,246	NA
SFY 97-98	NA	693,089	NA

¹Refers to all Department of Health AIDS contracts.

AIDS/HIV GRANTS TO COMMUNITY-BASED ORGANIZATIONS AND DIAGNOSTIC AND TREATMENT CENTERS

Objective: Allows Community-Based Organizations and Article 28 Diagnostic and Treatment Centers serving high-need communities to expand their service capacity to provide multiple HIV-related services which are culturally sensitive to the special social and cultural needs of the at-risk populations.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Counties and Private Non-Profit Groups. Eligibility requirements are dependent upon the type of program being funded: i.e., an AIDS program targeted to adolescents would necessitate the organization to have experience in serving adolescents. Other programs may be required to have linkages/referrals with hospitals or other health care facilities, etc.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	12,300,000	NA
SFY 97-98	NA	13,150,000	NA
SFY 98-99	NA	13,150,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,219,173	NA
SFY 97-98	NA	12,370,862	NA

¹Refers to all Department of Health AIDS contracts.

AIDS/HIV GRANTS TO COMMUNITY SERVICE PROGRAMS & COMMUNITY BASED ORGANIZATIONS

Objective: Provide AIDS-related services targeted to minority and high-risk populations.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Counties and Private Non-Profit Groups. Eligibility requirements are dependent upon the type of program being funded: i.e., an AIDS program targeted to adolescents would necessitate the organization to have experience in serving adolescents. Other programs may be required to have linkages/referrals with hospitals or other health care facilities, etc.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Combination of competitive and sole-source funding.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Some projects are ongoing and some are one-time. Program as a whole is ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	25,403,200	575,000
SFY 97-98	NA	25,253,200	575,000
SFY 98-99	NA	25,253,200	575,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	20,951,019	NA
SFY 97-98	NA	24,184,082	NA

¹Refers to all Department of Health AIDS contracts.

AIDS HIV SERVICES FOR INFANTS AND PREGNANT WOMEN

Objective: To ensure that services are available for infants and pregnant women that are exposed to AIDS.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,500,000	5,000,000
SFY 97-98	NA	1,500,000	5,000,000
SFY 98-99	NA	1,500,000	5,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,279,273	NA
SFY 97-98	NA	1,653,536	NA

¹Refers to all Department of Health AIDS contracts.

AIDS HOUSING FOR HIV/TB

Objective: To ensure services are afforded for the early identification, housing, intake and provision of housing to HIV-infected patients.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,500,000	NA
SFY 97-98	NA	3,901,000	NA
SFY 98-99	NA	3,901,000*	NA

*\$1,401,000 is transferred to the Department of Temporary and Disability Assistance for disbursement.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,149,167	NA
SFY 97-98	NA	550,562**	NA

**Reflects disbursement against \$2,500,000 appropriation.

¹Refers to all Department of Health AIDS contracts.

AIDS INTERVENTION MANAGEMENT SYSTEM (AIMS)

Objective: The purposes of the AIMS program are three-fold: (1) to assure that the care provided to persons with HIV/AIDS is both necessary and appropriate; (2) to assure that care is delivered in accordance with established clinical standards and protocols; and (3) to develop and maintain data systems that support review activities and that permit programmatic evaluation and policy development.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,500,000	NA
SFY 97-98	NA	1,710,000	NA
SFY 98-99	NA	1,910,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,500,000	NA
SFY 97-98	NA	1,710,000	NA

¹Refers to all Department of Health AIDS contracts.

AIDS PERMANENCY PLANNING

Objective: To help families plan and support members that are affected by HIV.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,000,000	NA
SFY 97-98	NA	2,000,000	NA
SFY 98-99	NA	2,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	898,783	NA
SFY 97-98	NA	1,014,506	NA

¹Refers to all Department of Health AIDS contracts.

AIDS PRIMARY CARE IN COMMUNITY HEALTH CENTERS

Objective: To ensure that high risk populations in community health centers and substance abuse programs receive needed services.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	718,000	NA
SFY 97-98	NA	718,000	NA
SFY 98-99	NA	718,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	701,541	NA
SFY 97-98	NA	657,440	NA

¹Refers to all Department of Health AIDS contracts.

AIDS PROVISION OF HIV EDUCATION

Objective: To support grants to community based organizations and for services for education and prevention.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	750,000	NA
SFY 97-98	NA	750,000	NA
SFY 98-99	NA	750,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	729,185	NA
SFY 97-98	NA	917,745	NA

¹Refers to all Department of Health AIDS contracts.

AIDS WOMEN'S HIV HEALTH SERVICES

Objective: To provide comprehensive obstetrical/gynecological services.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Counties, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,294,000	806,000
SFY 97-98	NA	1,294,000	806,000
SFY 98-99	NA	1,294,000	806,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	964,749	NA
SFY 97-98	NA	1,380,265	NA

¹Refers to all Department of Health AIDS contracts.

ALZHEIMER'S DISEASE ASSISTANCE CENTERS

Objective: Provides diagnostic and assessment of the patient family unit, education/training services for health care professionals, care planning for patients and a clearinghouse of dementia information.

Administering Agency: NYS Department of Health

NYS Object Code: 63490

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

David Hoffman
Director
Alzheimer's Disease and Other
Dementias Services
Bureau of Chronic Disease Services
NYS Department of Health
Corning Tower, Room 678
Albany, NY 12237
(518) 474-1222

Fiscal Contact:

Mady Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	486,000	NA
SFY 97-98	NA	486,000	NA
SFY 98-99	NA	486,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	422,328	NA
SFY 97-98	NA	535,118	NA

ALZHEIMER'S DISEASE COMMUNITY SERVICE

Objective: Provides respite care support and training to families and other care patients with Alzheimer's Disease and other dementias.

Administering Agency: NYS Department of Health

NYS Object Code: 55950

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1986, Article 20

Regulation: NA

Program Contact:

David Hoffman
 Director
 Alzheimer's Disease and Other
 Dementias Services
 Bureau of Chronic Disease Services
 NYS Department of Health
 Corning Tower, Room 678
 Albany, NY 12237
 (518) 474-1222

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Private Non-Profit Groups and Universities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	275,300	NA
SFY 97-98	NA	275,300	NA
SFY 98-99	NA	275,000	NA

*Amount of contractual services funding.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 95-96	NA	275,300	NA
SFY 96-97	NA	275,300	NA
SFY 97-98			

ARTHROPOD-BORNE DISEASE

(Technical Assistance Program)

Objective: Provides the State of New York with a staff of scientists responsible for investigating the epidemiology of arthropod-related diseases, examining all aspects of the disease agent-vector-host relationships and reporting sound scientific recommendations to ensure the institution of proper disease control procedures.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1966

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Articles 1500 and 6.

Regulation: 10 NYCRR 44

Program Contact:

Dennis J. White, M.S., Ph.D.
Director
Arthropod-borne Disease Program
NYS Department of Health
Corning Tower, Room 1168
Empire State Plaza
Albany, NY 12237-0627
(518) 474-4568

Fiscal Contact:

Mady B. Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3206

Eligibility: Any individual/organization needing information.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Non-competitive application for aid is required.

Description of Aid:

Consultation on all aspects of arthropod-borne disease biology, prevention and control.

BREAST CANCER DETECTION

Objective: To reduce premature mortality and excessive morbidity rate due to breast cancer. Funded programs target medically unserved populations at high risk.

Administering Agency: NYS Department of Health

NYS Object Code: 63428

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Breast Cancer Detection and Education Act, Public Health Law, Chapter 340

Regulation: NA

Program Contact:

Eva Sciandra
 Director
 Cancer Services Program
 Bureau of Chronic Disease Services
 NYS Department of Health
 Corning Tower, Room 780
 Albany, NY 12237
 (518) 474-1222

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Counties and Cities. Hospitals licensed under Article 28 of the NY Public Health Law, HMOs (Article 44-Public Health Law), cancer organizations, diagnostic and treatment centers, local health departments, community health centers, community-based organizations, or coalitions of these.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated requirements.

Action Required to Receive Aid: Competitive application required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: In-kind contribution required - amount not specified.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,445,000	275,000
SFY 97-98	NA	2,445,000	275,000
SFY 98-99	NA	2,795,000	275,000

*Non-appropriated Federal funds, available through Health Research, Inc., are also provided to local contractors.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,856,364	192,668
SFY 97-98	NA	2,371,737	215,294

CHILD AND ADULT CARE FOOD

Objective: Provides technical assistance and funding to provide reimbursement to day care centers, head start programs, outside school hours centers and family day care homes for nutritious and safe meals and snacks served to infants and children through age 12.

Administering Agency: NYS Department of Health; US Department of Agriculture

NYS Object Code: 63405¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Title VI Public Health Law

Regulation: 7 CFR 226

Program Contact:

Patricia Hay
 Division of Nutrition
 Child and Adult Care Food Program
 NYS Department of Health
 1215 Western Avenue
 Albany, NY 12203-3399
 (518) 474-5050

Fiscal Contact:

Peter Phelps
 Health Program Administrator
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 473-7004

Eligibility: Child Care Institutions and Family Day Care Homes. Must serve nutritious food to preschool and school-age children and adults enrolled in regulated or approved child and adult day care centers.

Type of Program and Special Restrictions: Optional, but once chosen subject to State and Federal mandated requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Sponsoring Organizations providing day care services, some served and sponsored by Counties.

Type of Aid: Ongoing

Formula: Reimbursement based on rates set by USDA.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	94,366,900	NA	NA
FFY 97	104,868,800	NA	NA
FFY 98	115,824,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	104,169,147	NA	NA
FFY 97	114,974,233	NA	NA

¹Refers to Department of Health Food and Nutrition Services programs.

CHILDHOOD LEAD POISONING PREVENTION

Objective: Provides lead screening to children under six, and educational and case management activities to high risk children.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63419

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1982, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

James Raucci
 Director
 Lead Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237
 (518) 473-4602

Fiscal Contact:

Deborah Nance
 Director, Fiscal Unit
 Division of Family and Local Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237-0657
 (518) 474-4569

Eligibility: Counties, New York City, Teaching Hospitals.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must not be used for the actual abatement of lead hazards.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Population of children under six, living under poverty level, lead cases identified in geographic areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,184,162	NA	NA
SFY 97-98	2,865,745	NA	NA
SFY 98-99	2,800,000	NA	NA

*Amounts are program allocations for the grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,104,350	NA	NA
SFY 97-98	2,741,447	NA	NA

*Expenditures for grant period.

CHILDHOOD LEAD POISONING PREVENTION

Objective: Provides lead screening to children under six, and educational and case management activities to children with lead poisoning.

Administering Agency: NYS Department of Health

NYS Object Code: 63419

Year Established: 1971

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Sections 1370-1376, 206, 225 and 600-607

Regulation: 10 NYCRR 22, 10 NYCRR 67

Program Contact:

James Raucci
 Director
 Lead Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 227
 Albany, NY 12237
 (518) 473-4602

Fiscal Contact:

Mady Pinnisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Counties, New York City, Community-based Organizations and Teaching Hospitals.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds cannot be used for the actual abatement of identified environmental hazards.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Number of children under six in jurisdiction, percent living under poverty level, lead cases identified in geographic areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,720,000	400,000
SFY 97-98	NA	4,720,000	400,000
SFY 98-99	NA	4,720,000	400,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,394,556	197,563
SFY 97-98	NA	5,239,346	377,263

**CLINICAL LABORATORY EVALUATION
PROGRAM (CLEP)**
(Technical Assistance Program)

Objective: Assures the accuracy and timeliness of clinical laboratory testing of human specimens derived in New York State.

Administering Agency: NYS Department of Health

NYS Object Code: 12000

Year Established: 1965

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Health Law, Title V, Article 5

Regulation: NYCRR Part 58

Program Contact:

Ira Salkin, Ph.D.
Director
Clinical Laboratory Evaluation Program
NYS Department of Health
Wadsworth Laboratory
P.O. Box 509
Empire State Plaza
Albany, NY 12201-0509
(518) 485-5378

Fiscal Contact:

Jerold Strait
Associate Director
Clinical Laboratory Evaluation Program
NYS Department of Health
Wadsworth Laboratory
P.O. Box 509
Empire State Plaza
Albany, NY 12201-0509
(518) 485-5378

Eligibility: Clinical Laboratories associated with State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups and Others with private laboratories.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Requested technical assistance may be provided during laboratory survey.

Description of Aid/Comments:

The proper performance of diagnostic laboratory testing is a matter of vital concern, affecting the public health, safety and welfare of all New York State citizens. Clinical laboratories and blood banks provide essential public health services in aiding the medical practitioner by furnishing information invaluable in the diagnosis and treatment of disease. Substandard performance of laboratory tests may contribute to erroneous diagnoses and/or result in selection of inappropriate treatment protocols, causing prolonged or unnecessary hospitalization, injury or even death. The Clinical Laboratory Evaluation Program assures the quality of laboratory testing through a program of on-site inspections, proficiency testing, enforcement actions and by providing educational and remediation programs.

The New York State Clinical Laboratory Improvement Act was enacted in 1964, and on July 1, 1965, New York became the first State in the nation to initiate the certification and licensure of clinical laboratories and blood banks. To ensure the accuracy and reliability of results of laboratory tests on New York State residents, CLEP performs both on-site inspections and tests the proficiency of laboratories. These inspections ensure compliance with state rules and regulations relating to quality control, qualifications of laboratory staff, testing procedures, laboratory equipment and performance by requiring laboratories to analyze unknown samples and report their findings. In addition, CLEP/Wadsworth Center provides educational seminars and assists laboratories in remediating testing problems.

COMMUNITY-BASED ADOLESCENT PREGNANCY PROGRAM (MCHS BLOCK GRANT)

Objective: The Community-Based Adolescent Pregnancy Prevention Program's goal is to reduce teen pregnancies. CBAPP promotes abstinence and the delay of sexual activity among teens; encourages educational, recreational and vocational opportunities as alternatives to sexual activity; and promotes access to family planning and reproductive health services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63418

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Frank Zollo
Assistant Director
Bureau of Women's Health
NYS Department of Health
Corning Tower, Room 878
Albany, NY 12237-0621
(518) 474-3368

Fiscal Contact:

George Rowe
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 486-1614

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,892,586	NA	NA
SFY 97-98	1,892,586	NA	NA
SFY 98-99	3,230,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,892,586	NA	NA
SFY 97-98	1,892,586	NA	NA

CORNELL UNIVERSITY HIV/AIDS EDUCATION

Objective: Provides educational workshops for parents in Nassau County, and enhances the project in Suffolk County.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	75,000	NA
SFY 97-98	NA	75,000	NA
SFY 98-99	NA	75,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	47,516	NA

¹Refers to all Department of Health AIDS Contracts.

DES PROGRAM

Objective: Reduces the mortality and morbidity resulting from exposure to DES in those individuals who were exposed in utero or during pregnancy.

Administering Agency: NYS Department of Health

NYS Object Code: 63101

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Laws of 1978, Chapter 715

Regulation: NA

Program Contact:

Eva Sciandra
 Director
 Cancer Services Program
 Bureau of Chronic Disease Services
 NYS Department of Health
 Corning Tower, Room 780
 Albany, NY 12237
 (518) 474-1222

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Hospitals, Universities, Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	100,000	NA
SFY 97-98	NA	100,000	NA
SFY 98-99	NA	100,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	87,257	NA
SFY 97-98	NA	90,979	NA

DIABETES - COMMUNITY BASED SERVICES

Objective: Provides grants to local coalitions for programs to help individuals modify personal behavior patterns in order to decrease health risks of diabetes and to increase access to diabetes screening and health care services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63421

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

David Hoffman
 Director
 Diabetes Control Program
 Bureau of Chronic Disease Services
 NYS Department of Health
 Corning Tower, Room 678
 Albany, NY 12237
 (518) 474-1222

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Coalitions of Health Care Providers and Community Based Organizations, Counties, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	180,000	NA	NA
SFY 97-98	NA	450,000	NA
SFY 98-99	NA	450,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	104,548	NA

DIABETES MELLITUS BRIDGE GRANT PROGRAM

Objective: Temporarily bridges funding to NYS research projects concerned with understanding the cause(s) of diabetes mellitus, to researchers at NYS institutions, to ensure continuance of research into cure, treatment and prevention.

Administering Agency: NYS Department of Health, Wadsworth Center

NYS Object Code: 63408¹

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1985, Chapter 596

Regulation: NA

Program Contact:

Martin Soren
 Director of Program Evaluation
 Diabetes Bridge Grants Program
 NYS Health Research Council
 Wadsworth Center, Room C450
 Albany, NY 12201
 (518) 474-7760

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3058

Eligibility: Applicants holding the MD or PhD degree who are US citizens or hold a permanent visa; research projects must be ongoing at NYS institutions.

Type of Program and Special Restrictions: Mandated, required by State law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided on a reimbursement basis.

Type of Aid: Project Grant - six month period.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	140,000	NA
SFY 97-98	NA	140,000	NA
SFY 98-99	NA	140,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	122,072	NA
SFY 97-98	NA	172,784	NA

¹Refers to all Wadsworth Center Aid-to-Localities Contracts/Grants.

EARLY INTERVENTION PROGRAM

Objective: Provides services to infants and toddlers with disabilities or developmental delays and provides training to county officials and providers.

Administering Agency: NYS Department of Health

NYS Object Code: 63404

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1993, Chapters 428 and 231

Regulation: Notice of proposed rulemaking pending.

Program Contact:

Donna M. Noyes, Ph.D.
 Director
 Early Intervention Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237
 (518) 473-7016

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Counties and City of New York.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local based on expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	23,659,500	70,200,000	NA
SFY 97-98	NA	70,200,000	NA
SFY 98-99	NA	70,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	451,443,928	NA
SFY 97-98	NA	96,792,222	NA

EMERGENCY MEDICAL SERVICES (EMS) COURSE REIMBURSEMENT

Objective: Provides reimbursement for the training and certification of EMS Providers (EMTs, Paramedics, etc.)

Administering Agency: NYS Department of Health

NYS Object Code: 55950, 55951

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 30

Regulation: NYCRR Part 800

Program Contact:

Edward Wronski
Deputy Director
Bureau of Emergency Medical Services
NYS Department of Health
One Commerce Plaza, Room 1126
Albany, NY 12260
(518) 474-2219

Fiscal Contact:

John P. Mahar
Sr. Budget Analyst
NYS Department of Health
Bureau of Budget Management
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-8648

Eligibility: Counties, Cities, Towns, Villages, Health Care Providers, Educational Institutions and Private Non-Profit Groups. Must be approved as an EMS Course Sponsor by the NYS Department of Health prior to conduction of training program.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Reimbursement depends on EMS course provided.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	8,000,000
SFY 97-98	NA	NA	8,000,000
SFY 98-99	NA	NA	8,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	8,000,000
SFY 97-98	NA	NA	8,000,000

EMERGENCY MEDICAL SERVICES (EMS) REGIONAL COUNCILS

Objective: Supports activities of regional EMS Councils as defined in Article 30 of the NYS Public Health Law.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 30

Regulation: NA

Program Contact:

Edward Wronski
Deputy Director
Bureau of Emergency Medical Services
NYS Department of Health
One Commerce Plaza, Room 1126
Albany, NY 12260
(518) 474-2219

Fiscal Contact:

John P. Mahar
Sr. Budget Analyst
NYS Department of Health
Bureau of Budget Management
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-8648

Eligibility: Regional EMS Councils as defined in Article 30 of the NYS Public Health Law.

Type of Program and Special Restrictions: Mandated. Required by State law.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	425,000
SFY 97-98	NA	NA	425,000
SFY 98-99	NA	NA	425,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	425,000
SFY 97-98	NA	NA	425,000

EMERGENCY MEDICAL SERVICES (EMS) REGIONAL PROGRAMS

Objective: Maintains regional EMS system supports of EMS provider agencies.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 30

Regulation: NA

Program Contact:

Edward Wronski
Deputy Director
Bureau of Emergency Medical Services
NYS Department of Health
One Commerce Plaza, Room 1126
Albany, NY 12260
(518) 474-2219

Fiscal Contact:

John P. Mahar
Sr. Budget Analyst
NYS Department of Health
Bureau of Budget Management
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-8648

Eligibility: Counties, Cities, Private Non-Profit Groups and Health Care Organizations. Must be public or private agency involved in planning for or providing prehospital emergency medical services within a multi-county system.

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements. Funds may not be used for direct patient services, purchase of medical equipment, purchase or improvement of land or buildings, direct provision of EMS, or operating costs or purchase of equipment for EMS services.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	2,387,500
SFY 97-98	NA	NA	2,387,500
SFY 98-99	NA	NA	2,387,500

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	2,387,500
SFY 97-98	NA	NA	2,387,500

**ENVIRONMENTAL LABORATORY APPROVAL
PROGRAM (ELAP)**
(Technical Assistance Program)

Objective: Assures the accuracy and timeliness of laboratory analysis of environmental samples collected in New York State.

Administering Agency: NYS Department of Health

NYS Object Code: 12000

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Public Health Law, Title I, Article 5

Regulation: 10 NYCRR Part 55, Subparts 55.2 and 55.3

Program Contact:

Kenneth Jackson, Ph.D.
Director
Environmental Laboratory Approval
Program
NYS Department of Health
Wadsworth Center
P.O. Box 509
Empire State Plaza
Albany, NY 12201-0509
(518) 485-5570

Fiscal Contact:

Margaret Prevost
Administrator
Environmental Laboratory Approval
Program
NYS Department of Health
Wadsworth Center
P.O. Box 509
Empire State Plaza
Albany, NY 12201-0509
(518) 485-5570

Eligibility: Environmental Laboratories associated with State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities, Private Non-Profit Groups and Others.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State or Federal requirements.

Action Required to Receive Aid: Requested technical assistance may be made during a laboratory inspection.

Description of Aid/Comments:

The proper performance of laboratory analysis of environmental samples is necessary to insure the health and safety of the general public and to protect the environment. Improper or incompetent analysis may allow potentially hazardous situations to go unnoticed and unaddressed. Proper identification of toxics in drinking water, ground water, air and waste sites is necessary before adequate remediation and corrective action occurs. Failure to take such action can result in illness, disease and even death. The Environmental Laboratory Approval Program assures the quality of environmental testing provided in New York State through a coordinated certification program, including annual laboratory inspections and proficiency testing.

Chapter 614 of the Laws of 1983, as modified by Chapter 901 of the Laws of 1984, authorized the Commissioner of Health to issue certificates of approval for laboratory analysis in categories including, but not limited to: potable water, non-potable water, sediment, solid waste and air. ELAP currently certifies laboratories for environmental analysis in all these areas; sediment analyses are included in the solid and hazardous waste category. The State of New York or any of its political subdivisions must contract with a laboratory certified for environmental analyses. Testing required by the Sanitary Code, including testing of public drinking water, swimming pools and bathing beaches, as well as tests required by the Environmental Conservation Law on water, air, and solid and hazardous waste must be performed in ELAP certified laboratories. Effective April 1, 1993 the requirement for ELAP certification of laboratories has been further extended by an amendment to Section 502 of the Public Health Law, Chapter 699 of the Laws of 1992, which requires all laboratories performing environmental analysis of any sample of New York State origin to be ELAP certified if ELAP certification is offered for that analysis. In order to insure the accuracy and reliability of tests performed by these laboratories, annual on-site inspections and semi-annual proficiency tests are required.

EXPANDED COMPREHENSIVE SICKLE CELL SERVICES

Objective: Provides expanded and comprehensive medical and social services to individuals with or at risk for sickle cell anemia or other hemoglobinopathies on a regional or targeted-area basis.

Administering Agency: NYS Department of Health, Wadsworth Center, Division of Genetic Diseases, Laboratory of Newborn Screening and Genetic Services

NYS Object Code: 63490

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1987, Chapter 53

Regulation: NA

Program Contact:

Kenneth A. Pass, Ph.D.
Chief
Lab of Newborn Screening
Wadsworth Center
NYS Department of Health
Corning Tower -- Lab
Albany, NY 12201
(518) 473-1993

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	231,000	NA
SFY 97-98	NA	231,000	NA
SFY 98-99	NA	231,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	186,522	NA
SFY 97-98	NA	243,700	NA

FAMILY PLANNING

Objective: Insures the availability and accessibility of high quality, affordable family planning services for low income women throughout New York State.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63402

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.217

Legal Authority:

Law: US Public Health Service Act, PL 94-63, Title X, Section 100

Regulation: 10 NYCRR 754.1

Program Contact:

Barbara McTague
 Director
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 1805
 Albany, NY 12237
 (518) 474-3368

Fiscal Contact:

Connie Buckley
 Supervising Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3162

Eligibility: Counties and Private Non-Profit Groups. Must be a NY Public Health Law, Article 28 facility with approved family planning services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: Consult program contact.
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	17,017,500	2,497,100
SFY 97-98	NA	17,017,500	2,497,100
SFY 98-99	NA	15,817,500	2,497,100

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	15,594,622	2,665,342
SFY 97-98	NA	15,944,275	1,389,905

Comments: See Family Planning Services (Title X), and Family Planning Services (MCHS Block Grant).

FAMILY PLANNING SERVICES (MCHS BLOCK GRANT)

Objective: Supports the provision and expansion of family planning services, especially to needy individuals.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63402

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Barbara McTague
Director
Bureau of Women's Health
NYS Department of Health
Corning Tower, Room 878
Albany, NY 12237-0621
(518) 474-3368

Fiscal Contact:

George Rowe
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 486-1614

Eligibility: Private Non-Profits. Planned Parenthood and other non-profit groups involved in family planning counseling and medical activities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,061,542	NA	NA
SFY 97-98	1,061,542	NA	NA
SFY 98-99	1,061,542	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,061,542	NA	NA
SFY 97-98	1,061,542	NA	NA

FAMILY PLANNING SERVICES (TITLE X)

Objective: Assures that every pregnancy is a wanted pregnancy and that adequate prenatal and perinatal child health care is available to those in need. This program assesses the need for family planning services statewide, develops and implements community outreach and educational programs, and monitors activities statewide.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63402

Year Established: 1972

Catalog of Federal Domestic Assistance No.: 13.217

Legal Authority:

Law: US Public Health Service Act, PL 95-613, Title X, Section 1001

Regulation: 45 CFR Part 74

Program Contact:

Barbara McTague
 Director
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237-0621
 (518) 474-3368

Fiscal Contact:

Earl Seguire
 Sr. Accountant
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 486-1890

Eligibility: Private Non-Profits. Must be an organization providing family planning counseling and related medical care.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for family planning activities.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Varies with recipient.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,170,952*	NA	NA
SFY 97-98	7,695,345	NA	NA
SFY 98-99	7,903,511	NA	NA

*Amounts are for program allocations for the total grant award.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,003,583**	NA	NA
SFY 97-98	7,457,732	NA	NA

**Final

GENETICS SERVICES

Objective: Ensures that individuals affected with, at-risk for transmitting, or concerned about a genetic disorder are able to make informed health decisions, and that all individuals affected with, at-risk of transmitting, or concerned about a genetic disorder are provided access to comprehensive genetics services including diagnostic, counseling and preventive services.

Administering Agency: NYS Department of Health, Wadsworth Center, Division of Genetic Diseases, Laboratory of Newborn Screening and Genetic Services; US Department of Health and Human Services

NYS Object Code: 63408¹

Year Established: 1985

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: NA

Program Contact:

Kenneth A. Pass, Ph.D.
Chief
Lab of Newborn Screening
Wadsworth Center
NYS Department of Health
Corning Tower -- Lab
Albany, NY 12201
(518) 473-1993

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Aid is not available for laboratory supplies/personnel/equipment or Ph.D./M.D. salaries.

Action Required to Receive Aid: Competitive application for aid required. Three year program with annual non-competitive renewal.

Description of Aid:

Flow of Funds: Federal to State to Local and State to Local. Monies are provided partially as an advance and ultimately as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,872,508	700,000	NA
SFY 97-98	1,872,508	700,000	NA
SFY 98-99	1,778,900	700,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	670,107	NA
SFY 97-98	NA	701,155	NA

¹Refers to all Wadsworth Center Aid-to-Localities Contracts/Grants.

HEALTHY HEART

Objective: Reduces the morbidity and mortality associated with hypertension of high risk individuals with limited access to care by increasing the number of controlled hypertensives.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63423

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Sonja Hedlund
Director
NYS Healthy Heart Program
NYS Department of Health
Corning Tower, Room 515
Albany, NY 12237
(518) 474-6683

Fiscal Contact:

Mady Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be an agency serving high risk populations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services, cash payments to recipients of health services; purchase of major medical equipment, purchase or improvement of land or building, direct provision of home health services, operating costs, or purchase of equipment for EMS systems.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	960,000	1,020,200	726,800
SFY 97-98	NA	940,200	726,800
SFY 98-99	NA	940,200	726,800

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	975,606	493,605
SFY 97-98	NA	937,187	804,914

HEALTHY NEIGHBORHOODS (PHHS BLOCK GRANT)

Objective: Provides comprehensive, preventive health services to at-risk populations. Strengthens the community sanitation and safety aspects of the rodent control program and focuses activities on identifying people who need personal health services and linking them to those services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services
NYS Object Code: 63425 **Year Established:** 1985
Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:
Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35
Regulation: 45 CFR Parts 16, 74 and 96

<p>Program Contact: Richard Svenson Director Bureau of Community Sanitation and Food Protection NYS Department of Health Corning Tower, Room 557 Albany, NY 12237 (518) 474-0512</p>	<p>Fiscal Contact: George Rowe Sr. Budgeting Analyst Bureau of Budget Management NYS Department of Health Corning Tower, Room 1384 Albany, NY 12237 (518) 486-1614</p>
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Eligibility: Counties and Cities. Must be a county or city health department proposing to serve high risk areas identified by the NYS Department of Health.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be used to improve sanitary conditions in communities.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:
Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: 100%
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,193,397	NA	NA
SFY 97-98	1,249,368	NA	NA
SFY 98-99	1,249,368	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,100,431	NA	NA
SFY 97-98	1,248,011	NA	NA

HIV AND SUBSTANCE ABUSE FELLOWSHIP PROGRAM

Objective: To recruit health professionals to deliver HIV services, to ensure continuing expertise in HIV care, and to develop a cadre of leaders in the field.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Hospitals. The hospital must be an AIDS Designated Care Center.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	1,488,000
SFY 97-98	NA	NA	1,488,500
SFY 98-99	NA	NA	1,488,500

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

¹Refers to all Department of Health AIDS contracts.

HIV CLINICAL EDUCATION INITIATIVE

Objective: To increase clinical skills and the number of community-based health care providers offering care for people with HIV and AIDS.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1997, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Managed Care Plans, Community Health Centers, Substance Abuse Treatment Sites, Community Hospitals, Residential Health Care Facilities and other Diagnostic and Treatment Centers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 97-98	NA	200,000	NA
SFY 98-99	NA	200,000	NA

Amounts Disbursed: New Program

¹Refers to all Department of Health AIDS contracts.

HIV COUNSELING & TESTING IN FAMILY PLANNING CLINICS & PRENATAL CARE ASSISTANCE PROGRAMS

Objective: To provide HIV counseling and testing services to family planning and Prenatal Care Assistance Program clients at risk of HIV infection.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Barbara McTague
Director
Bureau of Women's Health
NYS Department of Health
Corning Tower, Room 1805
Albany, NY 12237
(518) 474-3368

Fiscal Contact:

Connie Buckley
Supervising Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3162

Eligibility: Counties and Private Non-Profit Groups. Must comply with NY Public Health Law, Article 28 facilities requirements and have approved family planning services.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Agencies receive a basic grant amount (counselor salary and fringe), and additional funds based on their percentage of total client base and percentage of Statewide tests performed.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,452,000	548,000
SFY 97-98	NA	3,452,000	548,000
SFY 98-99	NA	3,452,000	548,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,756,393	NA
SFY 97-98	NA	3,316,093	NA

¹Refers to all Department of Health AIDS contracts.

HIV OUTREACH AND PREVENTION TO WOMEN AND CHILDREN

Objective: To support innovative HIV outreach, prevention and risk reduction programs serving women and children with a particular focus on hard-to-reach adolescent populations.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Municipalities, Health Care Entities and Not-for-Profit Groups in underserved regions of the State or serving areas with a demonstrated need for a specific program model.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	1,000,000	NA

Amounts Disbursed: New Program

¹Refers to all Department of Health AIDS contracts.

HIV SERVICES FOR ADOLESCENTS

Objective: To reduce the transmission and incidence of HIV among adolescents at high risk for HIV infection and to improve the health status of medically indigent HIV+ adolescents.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups and Hospitals.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,321,000	850,000
SFY 97-98	NA	2,321,000	850,000
SFY 98-99	NA	2,321,000	850,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,778,896	NA
SFY 97-98	NA	2,012,539	NA

¹Refers to all Department of Health AIDS contracts.

HIV SERVICES FOR HIGH RISK WOMEN AND CHILDREN

Objective: To ensure that women at high risk of HIV receive the information and services they need for themselves and their children.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups and Local Health Units. Organizations must have existing programs providing services to women.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,375,000	NA
SFY 97-98	NA	1,375,000	NA
SFY 98-99	NA	1,375,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	712,595	NA
SFY 97-98	NA	1,362,659	NA

¹Refers to all Department of Health AIDS contracts.

HIV SERVICES FOR PAROLEES

Objective: To ensure that parolees at risk of HIV and their families receive needed information and services.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups. Organizations must be capable of providing the following services to parolees: HIV education, client services, including case management, information and referral.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.
Type of Aid: Ongoing
Formula: NA
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,130,000	NA
SFY 97-98	NA	1,130,000	NA
SFY 98-99	NA	1,130,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	578,684	NA
SFY 97-98	NA	1,276,094	NA

¹Refers to all Department of Health AIDS contracts.

HIV TREATMENT ADHERENCE TO COMBINATION ANTIRETROVIRAL THERAPY DEMONSTRATION PROJECTS

Objective: To increase treatment adherence among at-risk clients through technical assistance and education.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1997, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups. Applicants required to apply as a network of providers consisting of at least one Article 28 licensed medical care provider and at least one HIV/AIDS community service provider.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	1,000,000	NA
SFY 98-99	NA	1,000,000	NA

Amounts Disbursed: New Program

¹Refers to all Department of Health AIDS contracts.

IMMUNIZATION

Objective: Prevents the occurrence and transmission of vaccine preventable diseases: diphtheria, tetanus, pertussis, poliomyelitis, measles, rubella, mumps, haemophilus influenzae type B, hepatitis B and varicella.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63406

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 93.268

Legal Authority:

Law: US Public Health Service Act, Section 317

Regulation: 45 CFR Part 74

Program Contact:

Joseph M. Henderson
 Immunization Program Manager
 Immunization Program
 Bureau of Communicable Disease Control
 NYS Department of Health
 Corning Tower, Room 649
 Albany, NY 12237
 (518) 473-4437

Fiscal Contact:

George Rowe
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 486-1614

Eligibility: Counties and Various Immunization Clinics. Must be an organization providing immunization services to children.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Funding is provided for purchase of vaccine and for supporting a public health delivery system that ensures children, adolescents and adults are fully immunized.

Action Required to Receive Aid: An application for aid is required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	16,997,457	NA	NA
SFY 97-98	14,364,129	NA	NA
SFY 98-99	10,322,629	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,678,893	NA	NA
SFY 97-98	14,364,129	NA	NA

IMMUNIZATION

Objective: To prevent reoccurrence and transmission of the vaccine preventable diseases.

Administering Agency: NYS Department of Health

NYS Object Code: 63406

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Sections 2164, 2165, 613, 2895h, 4405a, 4710a, 2500e

Regulation: NYCRR Title 10 Subparts 66-1 and 66-2, 405.3, 414.17, 730.4, 470.4

Program Contact:

Joseph M. Henderson
 Immunization Program Manager
 Immunization Program
 Bureau of Communicable Disease Control
 NYS Department of Health
 Corning Tower, Room 649
 Albany, NY 12237
 (518) 473-4437

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1358
 Albany, NY 12237
 (518) 474-3206

Eligibility: Counties and Cities. Organizations must provide vaccine in accordance with State and Federal requirements.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Vaccine accounting, adverse event reporting, eligibility screening and informed consent procedures must be followed in order to receive vaccine.

Action Required to Receive Aid: Enrollment in the New York Vaccines for Children program is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided for vaccine only.

Type of Aid: Ongoing

Formula: Vaccine distribution to local health units is based on live births and population greater than or equal to 185 percent of the poverty level.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,377,200	1,500,000
SFY 97-98	NA	5,377,200	1,100,000
SFY 98-99	NA	5,377,200	1,100,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,132,246	1,500,000
SFY 97-98	NA	4,837,727	1,100,000

INDIAN HEALTH SERVICES

Objective: Administer to the medical and health needs of ambulant sick and needy Indians residing on reservations.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63413, 63427

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35; PHL Section 201(1)(s)

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Diane Dwire
 Manager
 Indian Health Program
 NYS Department of Health
 Syracuse Regional Office
 217 So. Salina Street
 Syracuse, NY 13202
 (315) 426-7640

Fiscal Contact:

Karen Osterhout
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-6141

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be needy Native Americans living on reservation.

Type of Program and Special Restrictions: Mandated, required by State law and/or regulation.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	406,165	4,865,226	NA
SFY 97-98	406,165	4,854,627	NA
SFY 98-99	NA	4,768,100	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	521,496	4,625,411	NA
SFY 97-98	406,165	4,764,060	NA

LONG ISLAND ASSOCIATION FOR AIDS CARE (HIV SERVICES)

Objective: To (1) identify the medical and social services gaps for children orphaned by AIDS on Long Island, as well as strategies to link families with AIDS with community services in order to plan for future care needs of their children, and (2) develop innovative comprehensive model service programs for such children.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
Director, A&CM
AIDS Institute
NYS Department of Health
Corning Tower
Empire State Plaza
Albany, NY 12237
(518) 473-7238

Fiscal Contact:

Stephanie Anderson
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3058

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	50,000	NA
SFY 97-98	NA	50,000	NA
SFY 98-99	NA	50,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	22,227	NA
SFY 97-98	NA	NA	NA

¹Refers to all Department of Health AIDS contracts.

MANAGED CARE GRANT FUND

Objective: Provides funding to local districts to support expenses related to the development and implementation of the local district's managed care program.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Section 364-j

Regulation: NA

Program Contact:

Maureen Connors
 Medical Care Administrator
 Office of Managed Care
 NYS Department of Health
 Corning Tower, Room 2074
 Albany, NY 12237
 (518) 486-9015

Fiscal Contact:

Richard Radzyminski
 Director
 Bureau of Local Financial Operations
 NYS Office of Temporary and Disability Assistance
 40 N. Pearl Street, 8th Floor
 Albany, NY 12243
 (518) 474-7527

Eligibility: Counties

Type of Program and Special Restrictions: Grant, subject to DOB approval.

Action Required to Receive Aid: Budget summary submission prior to funds release, then monthly claims submission.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement. Appropriation is transferred to the Office of Temporary and Disability Assistance.

Type of Aid: Grant

Formula: Grant award based on number of Medicaid recipients supplemental awards for special circumstances.

Matching Requirement: No local match required, Federal financial participation.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,300,000	NA
SFY 97-98	NA	4,100,000	NA
SFY 98-99	NA	4,100,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,848,537	NA
SFY 97-98	NA	2,028,751	NA

MATERNAL AND CHILD HEALTH SERVICES (MCHS BLOCK GRANT)

Objective: Assures all needy individuals access to quality maternal and child health services. Further, reduces infant mortality, preventable diseases and handicapping conditions among children; supports rehabilitation services for blind and disabled children who qualify for Title XVI benefits; and provides medical, surgical and corrective services for children who are crippled or who are suffering from conditions leading to crippling.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63491¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1989, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

George D. Ferdinando, M.D., MPH
Director
Division of Family & Local Health
NYS Department of Health
Corning Tower, Room 890
Albany, NY 12237
(518) 473-0657

Fiscal Contact:

George Rowe
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 486-1614

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants; cash payments to recipients; purchase or improvement of capital items; purchase of major medical equipment; matching share for other Federal funds; or for research or training in profit-making organizations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	40,751,569	NA	NA
SFY 97-98	40,704,075	NA	NA
SFY 98-99	41,546,099	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	40,500,000 est.	NA	NA
SFY 97-98	40,800,000 est.	NA	NA

Comments: This summary addresses the entire MCHS Block Grant. Selected individual programs are also summarized in this catalog.

¹Refers to Department of Health MCHS grants.

MEDICAL ASSISTANCE

Objective: Provides medical care for public assistance recipients and medically needy individuals.

Administering Agency: NYS Department of Health; US Department of Health and Human Services, Health Care Financing Administration

NYS Object Code: NA

Year Established: 1935

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: US Social Security Act, Title XIX, as amended

Regulation: 42 CFR, Subchapter C

Program Contact:

Donna Farlow
Acting Deputy Commissioner
Office of Medicaid Management
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-8646

Fiscal Contact:

Robert W. Reed
Director
Fiscal Management Group
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-8539

Eligibility: State Governments, Counties. State and local welfare agencies must operate under Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. The Federal government requires the State's Medicaid program to provide in- and out-patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services; home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the State.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through); State to Local. Monies are paid by the State to vendors or providers on behalf of local governments. Advance grant awards are received quarterly on an estimated basis and later adjusted to actual reported expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Generally, 50% Federal, 25% State and 25% Local for Federal eligibles, and 50% State and 50% Local for non-Federal eligibles. Beginning April 1, 1994, the State pays 53.0875% of the non-Federal share for managed care enrollees and 81.24% of the non-Federal share for long-term care services. With approval of the Partnership Plan, the Federal government financially participates in reimbursing services for non-Federal eligibles for services received on or after October 1, 1997.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,418,694,000	5,369,619,000	870,000,000
SFY 97-98	14,500,000,000	5,412,620,400	898,300,000
SFY 98-99	14,059,550,000	5,515,589,000	864,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,424,000,000	5,815,000,000	779,000,000
SFY 97-98	13,183,000,000	5,932,000,000	818,000,000

MEDICAL ASSISTANCE ADMINISTRATION

Objective: Assists with the costs of administering Medicaid.

Administering Agency: NYS Department of Health; US Department of Health and Human Services, Health Care Financing Administration

NYS Object Code: NA

Year Established: 1935

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: US Social Security Act, Title XIX, as amended

Regulation: 42 CFR, Subchapter C

Program Contact:

Donna Farlow
Acting Deputy Commissioner
Office of Medicaid Management
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-8646

Fiscal Contact:

Robert W. Reed
Director
Fiscal Management Group
NYS Department of Health
Corning Tower
Albany, NY 12237
(518) 474-8539

Eligibility: State Governments, Counties. State and local welfare agencies must operate under Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through); State to Local. Monies provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Contact program/fiscal contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	177,811,000	80,500,000	NA
SFY 97-98	231,811,000	81,050,000	NA
SFY 98-99	241,700,000	111,150,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	181,000,000	79,000,000	NA
SFY 97-98	197,000,000	75,000,000	NA

MIGRANT HEALTH (MCHS BLOCK GRANT)

Objective: Supports the provision of health services to children of migrant farm workers and rural poor.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63411

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1982, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Taimi Carnahan, Ph.D.
 Director
 Preventive Services
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237-0618
 (518) 474-2084

Fiscal Contact:

Deborah Nance
 Director
 Fiscal Unit
 Division of Family and Local Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237-0657
 (518) 474-4569

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be an established organization which has been treating children of migratory farm workers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants, cash payments to recipients, purchase or improvement of capital items, purchase of major medical equipment, matching share for other Federal funds, or for research or training in profit-making organization.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	141,600	NA	NA
SFY 97-98	141,600	NA	NA
SFY 98-99	141,600	NA	NA

*Amounts are program allocations for the grant duration.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	127,184	NA	NA
SFY 97-98	119,143	NA	NA

*Expenditures for grant period.

NEW YORK CITY HEALTH PROGRAMS (MCHS BLOCK GRANT)

Objective: Supports the provision of maternal and infant care, family planning services, and children and youth services in clinical settings in New York City.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63420

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1989, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

George D. Ferdinando, M.D., MPH
Director
Division of Family & Local Health
NYS Department of Health
Corning Tower, Room 890
Albany, NY 12237
(518) 473-0657

Fiscal Contact:

George Rowe
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 486-1614

Eligibility: New York City Health Providers.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services other than for crippled children or high risk pregnant women and infants, cash payments to recipients, purchase or improvement of capital items, purchase of major medical equipment, matching share for other Federal funds, or for research or training in profit-making organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,717,283	NA	NA
SFY 97-98	6,263,221	NA	NA
SFY 98-99	6,263,221	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,717,283	NA	NA
SFY 97-98	6,263,221	NA	NA

NUTRITION OUTREACH

Objective: To enroll eligible targeted population in underutilized Federal and State funded Local Assistance programs in order to insure better nutrition among the needy.

Administering Agency: NYS Department of Health

NYS Object Code: 63405¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1987, Chapter 820

Regulation: NA

Program Contact:

Sara Bonam
 Director
 Bureau of Nutrition Training and
 Technical Assistance
 NYS Department of Health
 1215 Western Avenue
 Albany, NY 12203-3399
 (518) 458-6313

Fiscal Contact:

Karen Osterhout
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-6141

Eligibility: Private Non-Profit Groups. Must be a subcontractor in a location with high need as defined by poverty and employment statistics.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. The contractor and its subcontractor are prohibited from using funding for political activity or lobbying. Also 60 percent of the funding must be related to Food Stamp Outreach activity.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 96-97	450,000	600,000	NA
SFY 97-98	450,000	850,000	NA
SFY 98-99	450,000	850,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	450,000	844,024	NA
SFY 97-98	450,000	850,000	NA

* Funds suballocated to NYSDOH from Department of Family Assistance/OTDA.

** An additional \$150,000 has been suballocated to the Dept. of Family Assistance/OTDA each year.

¹Refers to Department of Health food and nutrition services.

PHYSICALLY HANDICAPPED CHILDREN

Objective: Provides surgical, medical, therapeutic treatment, hospital care or necessary appliances and devices for any physically handicapped child who is not in a State institution and not requiring permanent custodial care.

Administering Agency: NYS Department of Health

NYS Object Code: 63404

Year Established: 1949

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Section 608

Regulation: 10 NYCRR 46

Program Contact:

Nancy Kehoe
 Administrator
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237-0618
 (518) 474-2001

Fiscal Contact:

Deborah Nance
 Director
 Fiscal Unit
 Division of Family and Local Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237-0657
 (518) 474-4569

Eligibility: Counties and Cities. Person receiving aid must be under 21 years of age, Medicaid eligibility must have been denied, and must have a condition determined eligible by Department of Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Fifty percent of net expenditures.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,000,000	NA
SFY 97-98	NA	4,000,000	NA
SFY 98-99	NA	4,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,802,700	NA
SFY 97-98	NA	2,586,100	NA

PHYSICALLY HANDICAPPED CHILDREN CASE MANAGEMENT SERVICES - MCHS BLOCK GRANT

Objective: Provides comprehensive case management services for children with chronic illness and physical disabilities.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: NA

Year Established:

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: NY Public Health Law, Article 28; Laws of 1978, Chapter 198

Regulation: NA

Program Contact:

Nancy Kehoe
Administrator
Physically Handicapped Children's Program
Bureau of Child and Adolescent Health
NYS Department of Health
Corning Tower, Room 208
Albany, NY 12237-0618
(518) 474-2001

Fiscal Contact:

Deborah Nance
Director
Fiscal Unit
Division of Family and Local Health
NYS Department of Health
Corning Tower, Room 878
Albany, NY 12237-0657
(518) 474-4569

Eligibility: Local Health Units.

Type of Program and Special Restrictions: Optional, without any State of Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: In kind 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,473,228	NA	NA
SFY 97-98	1,445,762	NA	NA
SFY 98-99	1,547,881	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,336,937	NA	NA
SFY 97-98	1,149,056	NA	NA

PILOT AIDS SURVEILLANCE PROJECT

Objective: To conduct surveillance of reported AIDS cases at the county level as authorized by the Commissioner of Health.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Section 206(I)(J), Laws of 1990, Chapter 53

Regulation: Department of Health Memorandums 83-88 and 84-12, Sanitary Code 24.1

Program Contact:

AIDS Epidemiology Program
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 474-4284

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Counties. Must be willing to comply with stringent surveillance protocols to ensure confidentiality.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds may not be used for partisan political activity.

Action Required to Receive Aid: Competitive applications for aid required. These applications can be for more than one year.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	90,000	NA
SFY 97-98	NA	90,000	NA
SFY 98-99	NA	90,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	67,944	NA
SFY 97-98	NA	88,547	NA

¹Refers to all Department of Health AIDS contracts.

PRENATAL CARE ASSISTANCE PROGRAM

Objective: Improves birth outcomes by ensuring access to quality prenatal care services by Medicaid eligible pregnant women.

Administering Agency: NYS Department of Health

NYS Object Code: 63101

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 25

Regulation: 10 NYCRR 85.40

Program Contact:

Linda Thornton
 Program Manager
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 1882
 Albany, NY 12237
 (518) 474-1911

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: Counties and Non-Profit Groups.

Type of Program and Special Restrictions: Entitlement with provision for pregnant women up to 185 percent of the Federal poverty level. Medicaid matches dollar for dollar.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,640,000	2,640,000	NA
SFY 97-98	2,640,000	2,640,000	NA
SFY 98-99	2,640,000	2,640,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,961,736	1,961,736	NA
SFY 97-98	2,602,586	2,602,586	NA

PREVENTIVE HEALTH AND HEALTH SERVICES (PHHS BLOCK GRANT)

Objective: Supports the provision of comprehensive public health services including: rodent control activities, fluoridation programs, risk reduction, health education, rape prevention and counseling, and planning, establishing and improving emergency medical service systems.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63492¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Robert Burhans
Environmental Health Program
Manager
Division of Environmental Protection
NYS Department of Health
II University Place
Albany, NY 12203
(518) 458-6423

Fiscal Contact:

George Rowe
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 486-1614

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services, cash payments to recipients of health services, purchase of major medical equipment, purchase or improvement of land or buildings, direct provision of home health services, operating costs or purchase of equipment for EMS systems.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	13,282,598	NA	NA
SFY 97-98	13,278,871	NA	NA
SFY 98-99	13,338,326	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	13,282,598	NA	NA
SFY 97-98	10,811,114*	NA	NA

*Expenditures as of 4/26/99.

¹Refers to Other Preventive Health and Health Services grants.

PRIMARY CARE IN DRUG TREATMENT PROGRAMS

Objective: Increase access and availability of comprehensive primary health care service for persons at risk of HIV infection in substance abuse treatment.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael J. Nazarko
 Director, A&CM
 AIDS Institute
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 473-7238

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Groups. Organizations must be large substance abuse treatment providers that offer multi-modality substance abuse services or that have multiple sites with ability to provide primary care services on site. Programs must have a client capacity of no less than 75 residential beds per site or 90 treatment slots per program.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds must be utilized in accordance with approved workplans and contracts and must comply with provisions of the State Finance Law, i.e., funds cannot be used to pay for interest on bridge loans.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,865,500	367,500
SFY 97-98	NA	1,865,500	367,500
SFY 98-99	NA	2,665,500	367,500

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,447,171	NA
SFY 97-98	NA	1,626,181	NA

¹Refers to all Department of Health AIDS contracts.

PRIMARY CARE INITIATIVE

Objective: Provides eligible health care providers with funding for the purpose of expanding primary health care services to the medically indigent and underserved.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapters 922 and 923, Laws of 1993, Chapter 731 and Health Care Reform Act of 1996, Section 79

Regulation: NA

Program Contact:

Barry M. Gray
 Program Director
 Primary Care Initiative Program
 NYS Department of Health
 Corning Tower, Room 1629
 Empire State Plaza
 Albany, NY 12237
 (518) 473-4672

Fiscal Contact:

Robert McCloskey
 Supervising Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-8648

Eligibility: State Governments, Counties, Cities, Non-Profit Organizations: Planning Groups, Hospitals, Private Practitioners; Diagnostic and Treatment Centers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated/Authorized:

	<u>State Spec. Rev.</u>	<u>HCRA/NYPHRM Fund</u>
SFY 96-97	1,168,000*	12,000,000
SFY 97-98	1,205,600*	20,000,000
SFY 98-99	1,271,700*	20,000,000

*Includes \$250,000 transfer/appropriation for Office of Medicaid Management portion of fund.

Amounts Disbursed:

	<u>State Spec. Rev.</u>	<u>HCRA/NYPHRM Fund</u>
SFY 96-97	907,600*	18,426,000**
SFY 97-98	1,006,700*	8,659,100**

*Includes expenditures for Office of Medicaid Management portion of fund.

**Includes disbursements from prior year NYPHRM funds.

PUBLIC HEALTH CAMPAIGN

Objective: Disease prevention and education efforts focusing on tuberculosis, immunization, lead poisoning prevention and syphilis screening.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Marie Miller
Program Manager
Bureau of Local Health
NYS Department of Health
Corning Tower, Room 821
Albany, NY 12237
(518) 473-4223

Fiscal Contact:

Mady Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206

Eligibility: Counties, Private Non-Profit Groups, Universities and Hospitals.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,160,300	650,000
SFY 97-98	NA	6,160,300	650,000
SFY 98-99	NA	6,160,300	650,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,819,189	704,213
SFY 97-98	NA	6,049,205	500,210

PUBLIC HEALTH WORK

Objective: Supports the operation of local public health programs.

Administering Agency: NYS Department of Health

NYS Object Code: 63101¹, 63201²

Year Established: 1924

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Sections 605 and 609; Laws of 1996, Ch. 474

Regulation: 10 NYCRR 40

Program Contact:

Claudia Lee
 Assistant Director
 Bureau of Local Health
 NYS Department of Health
 Corning Tower, Room 821
 Albany, NY 12237
 (518) 473-4223

Fiscal Contact:

Eric Gilbert
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237-0012
 (518) 474-8539

Eligibility: Counties, Cities, Towns and Villages. Submission and approval of annual State aid application by municipalities for public health work programs required. Towns and villages are eligible only for sector control programs. Counties and cities with populations of 50,000 or more are eligible for all public health work programs. Annual applications are reviewed by program and fiscal office and approval letters are mailed by Regional Health Directors.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: \$.25 per capita or \$250,000, 40 percent of balance effective through August 1, 1996. Formula then changes: \$.45 per capita or \$450,000, 36 percent of balance; for optional reimbursement of 30 percent.

Matching Requirement: State 40%, Local 60%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	132,419,700	1,050,000
SFY 97-98	NA	143,515,000	1,050,000
SFY 98-99	NA	149,898,500	1,050,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	141,510,900	1,050,000
SFY 97-98	NA	142,157,200	1,050,000

¹Refers to Department of Health General Public Health Services.

²Includes Laboratories as of 4/1/91.

Department of Health

RABIES

Objective: Assist counties with preventing the transmission of rabies from animals to humans.

Administering Agency: NYS Department of Health

NYS Object Code: 63490

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law 21.44; Annual local assistance budget bills.

Regulation: NA

Program Contact:

Dr. Millicent Eidson
 Director
 Zoonoses Program
 NYS Department of Health
 Corning Tower, Room 621
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3186

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3206

Eligibility: Counties. Must submit a rabies protocol to the State Department of Health.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. Reimbursement is available for human postexposure treatment, specimen preparation and shipment, and pet vaccination clinics.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	930,800	NA
SFY 97-98	NA	930,800	NA
SFY 98-99	NA	930,800	NA

*Portion of the appropriation set aside for distribution to counties.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,162,756	NA
SFY 97-98	NA	1,399,173	NA

**Deficits met through use of reappropriated funds and interchange authority.

Department of Health

RABIES

(Technical Assistance Program)

Objective: Assist counties with preventing the transmission of rabies from animals to people.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law 21.44

Regulation: NA

Program Contact:

Dr. Millicent Eidson
Director
Zoonoses Program
NYS Department of Health
Corning Tower, Room 621
Empire State Plaza
Albany, NY 12237
(518) 474-3186

Fiscal Contact:

Mady Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Empire State Plaza
Albany, NY 12237
(518) 474-3206

Eligibility: Counties, Health Care Providers and the General Public.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Consultation on all aspects of preventing human rabies.

RAPE CRISIS

Objective: To insure all people in New York State have access to basic treatment and prevention services related to sexual assault.

Administering Agency: NYS Department of Health

NYS Object Code: 63422

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Kathleen Martin
Director, Rape Crisis Program
Bureau of Women's Health
NYS Department of Health
Corning Tower, Room 1805
Albany, NY 12237
(518) 474-3368

Fiscal Contact:

Connie Buckley
Supervising Budget Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3162

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,073,500	131,000
SFY 97-98	NA	1,073,500	131,000
SFY 98-99	NA	1,408,500	131,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	953,011	118,912
SFY 97-98	NA	1,138,060	114,200

Comments: See Rape Crisis (PHHS Block Grant).

RAPE CRISIS (PHHS BLOCK GRANT)

Objective: Increases the availability and quality of comprehensive rape victim prevention services.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63422

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.991

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Kathleen Martin
 Program Coordinator
 Bureau of Women's Health
 NYS Department of Health
 Corning Tower, Room 878
 Albany, NY 12237-0621
 (518) 474-3664

Fiscal Contact:

George Rowe
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 486-1614

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Must be an organization involved with counseling rape victims.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used for inpatient services, cash payments to recipients of health services; purchase of major medical equipment, purchase or improvement of land or buildings; direct provision of home health services; operating costs or purchase of equipment for EMS systems.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,441,481	NA	NA
SFY 97-98	2,737,920	NA	NA
SFY 98-99	2,735,250	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,737,920	NA	NA
SFY 97-98	2,182,000*		

*Expenditures through 3/31/99.

REFUGEE HEALTH ASSURANCE

Objective: Assesses the health status of refugees, identifies providers and referral services for diagnostic treatment and preventive services, and assists in followup for health care.

Administering Agency: NYS Department of Health; US Department of Health and Human Services, Office of Refugee Resettlement

NYS Object Code: 63424

Year Established: 1984

Catalog of Federal Domestic Assistance No.: 93.987

Legal Authority:

Law: US Immigration and Nationality Act, Section 412-C(3)

Regulation: 45 CFR Part 76

Program Contact:

Sandra Gnirke
Health Program Administrator
Bureau of TB Control
NYS Department of Health
Corning Tower, Room 840
Albany, NY 12237
(518) 474-4845

Fiscal Contact:

David Troeger
Assistant Director
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-8539

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups. Must be an organization actively interfacing with refugees.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds are restricted to refugee health assessments and interpreter services.

Action Required to Receive Aid: Providers voucher NYS for costs of refugee health assessments and interpreter services for reimbursement.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant - Multi-year, renewable annually dependent upon availability of funds.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	193,951	NA	NA
SFY 97-98	224,402	NA	NA
SFY 98-99	224,402	NA	NA

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	205,031	NA	NA
SFY 97-98	181,629	NA	NA

*Expenditures for grant period.

RURAL HEALTH CARE ACCESS DEVELOPMENT GRANT

Objective: Promotes the transition of hospital operations to use existing rural health care resources better, to diversify operations, to reorganize and/or to integrate with other community providers.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Health Care Reform Act of 1996, Title II

Regulation: NA

Program Contact:

Michael Rampolla
Assistant Director
Office of Rural Health
Division of Planning, Policy and
Resource Development
NYS Department of Health
Corning Tower, Room 1656
Albany, NY 12237
(518) 474-5565

Fiscal Contact:

Christopher Fay
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-8648

Eligibility: Rural Hospitals

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Fund</u>
SFY 96-97	NA	NA	NA	NA
SFY 97-98	NA	NA	258,850	10,000,000
SFY 98-99	NA	NA	254,700	10,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Fund</u>
SFY 96-97	NA	NA	NA	NA
SFY 97-98	NA	NA	NA	9,330,000

RURAL HEALTH NETWORK DEVELOPMENT

Objective: Assists community based health care providers, consumers and organizations in rural areas to promote effective health care delivery through coordination, development, planning, implementation and operation of rural health networks. The Office of Rural Health provides technical assistance to networks under this program.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Health Care Reform Act of 1996, Title I, NY Laws of 1993, Chapter 731

Regulation: NA

Program Contact:

Michael Rampolla
 Assistant Director
 Office of Rural Health
 Division of Planning, Policy and
 Resource Development
 NYS Department of Health
 Corning Tower, Room 1656
 Albany, NY 12237
 (518) 474-5565

Fiscal Contact:

Christopher Fay
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-8648

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities, Public Non-Profit Groups and Others.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Fund</u>
SFY 96-97	NA	267,300	100,000	1,000,000
SFY 97-98	NA	267,300	258,850	7,000,000
SFY 98-99	NA	267,300	254,700	7,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Fund</u>
SFY 96-97	NA	267,300	36,400	900,000
SFY 97-98	NA	267,300	55,900	5,154,000

SCHOOL HEALTH PROGRAMS

Objective: Makes available comprehensive health services to a total of 140 preschool, elementary and junior high school sites.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63407

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.994

Legal Authority:

Law: NY Public Health Law, Article 28; Laws of 1978, Chapter 198; US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Annette Johnson
 Director
 School Health Program
 Bureau of Child and Adolescent Health
 NYS Department of Health
 Corning Tower, Room 208
 Albany, NY 12237
 (518) 486-4966

Fiscal Contact:

Mady Pennisi
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-3206

Eligibility: School Districts and/or a NY Public Health Law Article 28 approved facility.

Type of Program and Special Restrictions: Mandated, required by Federal and State law or regulations.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through); State to Local.

Type of Aid: Ongoing and Project Grants

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,321,100	2,178,900
SFY 97-98	NA	4,321,100	2,178,900
SFY 98-99	NA	4,321,100	2,178,900

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,111,795	1,282,088
SFY 97-98	NA	4,683,506	2,483,566

SEROPREVALENCE

Objective: Provides for services and expenses of surveillance projects for investigation of reported AIDS cases, including seroprevalence studies, as authorized by the Commissioner of Health.

Administering Agency: NYS Department of Health

NYS Object Code: 63417¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

AIDS Epidemiology Program
 NYS Department of Health
 Corning Tower
 Empire State Plaza
 Albany, NY 12237
 (518) 474-4284

Fiscal Contact:

Stephanie Anderson
 Associate Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Empire State Plaza
 Albany, NY 12237
 (518) 474-3058

Eligibility: Private Non-Profit Group.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	330,000	NA
SFY 97-98	NA	330,000	NA
SFY 98-99	NA	330,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	244,398	NA
SFY 97-98	NA	210,573	NA

¹Refers to all Department of Health AIDS contracts.

SEXUALLY TRANSMITTED DISEASE (STD) CONTROL

Objective: Provides primary focus for the epidemiology and prevention of gonorrhea, syphilis, genital herpes, chlamydia, trichomoniasis, nonspecific urethritis candidiasis, pediculosis pubis and the congenital maladies associated with some of these infections.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63416

Year Established: 1983

Catalog of Federal Domestic Assistance No.: 93.977

Legal Authority:

Law: US Public Health Service Act, Section 318

Regulation: 45 CFR Part 51

Program Contact:

Rebecca Hathaway
Program Manager
Bureau of STD Control
NYS Department of Health
Corning Tower, Room 1168
Albany, NY 12237
(518) 474-3598

Fiscal Contact:

Eric Gilbert
Sr. Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 486-1410

Eligibility: Counties. Must be a county health department.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
Funds may not be used for physician salaries.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,300,000	NA	NA
SFY 97-98	2,679,500	NA	NA
SFY 98-99	2,453,800	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,179,500	NA	NA
SFY 97-98	1,883,800	NA	NA

SPECIAL SUPPLEMENTAL FOOD PROGRAM FOR WOMEN, INFANTS AND CHILDREN (WIC)

Objective: Provides supplemental food and nutrition education to prevent health problems and to improve the health status of pregnant, post-partum, and breast-feeding women, infants and young children up to the age of five determined to be at nutritional risk.

Administering Agency: NYS Department of Health; US Department of Agriculture

NYS Object Code: 63405

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 10.557

Legal Authority:

Law: US PL 92-433 Special Supplemental Food Program, PL 95-627 Child Nutrition Amendments of 1978, PL 101-147 Child Nutrition and WIC Reauthorization Act of 1989

Regulation: 7 CFR 246

Program Contact:

Donna Farlow
Director
Bureau of Supplemental Food
Division of Nutrition Programs
NYS Department of Health
1215 Western Avenue
Albany, NY 12203-3399
(518) 458-6835

Fiscal Contact:

Peter Phelps
Health Program Administrator
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 473-7004

Eligibility: State Governments, Counties, Private Non-Profit Groups and Hospitals. Must be a public or-non-profit agency with sufficient health care resources and in a high risk area.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Nutrition education must be at least one-sixth of administrative budget.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	262,245,077	16,153,300	9,303,200
SFY 97-98	277,016,654	16,153,300	8,838,000
SFY 98-99	273,375,629 est.	16,403,300	8,838,000

*Based on Federal fiscal year.

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 96-97	262,245,075	14,437,747	9,303,200
SFY 97-98	277,016,651	9,330,205	8,838,000

*Disbursements during life of appropriation, not just twelve months for FFY.

**State funds reappropriated and rebudgeted.

STATE PUBLIC WATER SYSTEM SUPERVISION

(Technical Assistance Program)

Objective: Fosters development and maintenance of State programs which implement the Safe Drinking Water Act. The State provides general assistance to local water operators and project development aid to small water systems.

Administering Agency: NYS Department of Health - Bureau of Water Supply

NYS Object Code: NA

Year Established: General Program - 1900; Small System Program - 1989

Catalog of Federal Domestic Assistance No.: 66.432

Legal Authority:

Law: NY Public Health Law

Regulation: Part 5 of NYS Sanitary Code

Program Contact:

Michael Burke
Director
Bureau of Water Supply Protection
NYS Department of Health
1215 Western Avenue
Albany, NY
(518) 458-6731

Fiscal Contact:

Karen Osterhout
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-6141

Eligibility: Local Water Systems.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required annually.

Description of Aid:

General assistance to local water operators and project development aid to small water systems.

SUDDEN INFANT DEATH SYNDROME

Objective: Bereavement counseling of parents, training of counselors and first responders.

Administering Agency: NYS Department of Health

NYS Object Code: 63409

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Section 2500.b

Regulation: NA

Program Contact:

Lynn Lauzom-Russom
Public Health Program Nurse
Bureau of Child and Adolescent Health
NYS Department of Health
Corning Tower, Room 208
Albany, NY 12237
(518) 474-2084

Fiscal Contact:

Mady Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	152,000	NA
SFY 97-98	NA	152,000	NA
SFY 98-99	NA	152,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	153,503	NA
SFY 97-98	NA	154,365	NA

SUDDEN INFANT DEATH SYNDROME (MCHS BLOCK GRANT)

Objective: Supports training of public health nurses and builds a supportive and professional community network for bereaved families of SIDS children.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63409

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 93.994

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1981, PL 97-35

Regulation: 45 CFR Parts 16, 74 and 96

Program Contact:

Lynn Lauzom-Russom
Public Health Program Nurse
Bureau of Child and Adolescent Health
NYS Department of Health
Corning Tower, Room 208
Albany, NY 12237-0618
(518) 474-2084

Fiscal Contact:

Deborah Nance
Director
Fiscal Unit
Division of Family and Local Health
NYS Department of Health
Corning Tower, Room 878
Albany, NY 12237-0657
(518) 474-4569

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	255,726	152,000	NA
SFY 97-98	255,000	152,000	NA
SFY 98-99	255,000	152,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	263,724	153,503	NA
SFY 97-98	243,646	154,365	NA

TOBACCO ENFORCEMENT AND EDUCATION PROGRAM

Objective: To reduce the prevalence of youth using tobacco products.

Administering Agency: NYS Department of Health

NYS Object Code: 63490

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Health Law, Article 13-F

Regulation: NA

Program Contact:

Kathleen Henry
Environmental Health Services
Coordinator
Center for Environmental Health
II University Place, Room 350
Albany, NY 12203

Fiscal Contact:

Mady Pennisi
Associate Budgeting Analyst
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-3206

Eligibility: Counties and New York City; Not-for-Profit Contractors.

Type of Program and Special Restrictions: Reimbursement for program authorized activities related to youth tobacco product use prevention and reduction.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Reimbursement for authorized activities.

Formula: County and New York City reimbursement based on number of tobacco retailers.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	2,500,000	NA
SFY 98-99	NA	2,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	1,601,300	NA

TUBERCULOSIS CONTROL

Objective: To prevent and control tuberculosis disease and infection. To establish an annual reduction of at least five percent in reported cases of tuberculosis.

Administering Agency: NYS Department of Health; US Department of Health and Human Services

NYS Object Code: 63414

Year Established: 1983

Catalog of Federal Domestic Assistance No.: 93.116

Legal Authority:

Law: US Public Health Service Act, Section 317

Regulation: 45 CFR Part 74

Program Contact:

Sandra Gnirke
Health Program Administrator
Bureau of TB Control
NYS Department of Health
Corning Tower, Room 840
Albany, NY 12237
(518) 474-4845

Fiscal Contact:

David Troeger
Assistant Director
Bureau of Budget Management
NYS Department of Health
Corning Tower, Room 1384
Albany, NY 12237
(518) 474-8539

Eligibility: Counties, Cities, and Private Groups. Must be an organization responsible for monitoring incidences of tuberculosis.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may not be used to purchase equipment.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Multi-year Project Grant, renewable annually, dependent upon availability of funds.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	4,755,080	NA	NA
SFY 98-99	4,305,593	NA	NA

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,586,078	NA	NA
SFY 97-98	3,378,075	NA	NA

*Expenditures for grant period.

WORKFORCE RETRAINING INITIATIVE

Objective: Supports the training and retraining of health care employees affected by changing health care systems with preference given to areas and organizations likely to experience job loss.

Administering Agency: NYS Department of Health

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Health Care Reform Act of 1996; Public Health Law, Section 2807-G

Regulation: NA

Program Contact:

Jean Moore
 Assistant Director
 Bureau of Health Resources Development
 Division of Planning, Policy and
 Resource Development
 NYS Department of Health
 Corning Tower, 16th Floor
 Albany, NY 12237
 (518) 474-8340

Fiscal Contact:

Christopher Fay
 Sr. Budgeting Analyst
 Bureau of Budget Management
 NYS Department of Health
 Corning Tower, Room 1384
 Albany, NY 12237
 (518) 474-8648

Eligibility: State Governments, Counties, School Districts, Private Non-Profit Groups and Others.

Type of Program and Special Restrictions: Optional but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: To be determined.

Matching Requirement: NA

Maintenance of Effort: To be determined.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Fund</u>
SFY 96-97	NA	NA	100,000	NA
SFY 97-98	NA	NA	1,400,000	50,000,000
SFY 98-99	NA	NA	1,103,100	100,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>HCRA Fund</u>
SFY 96-97	NA	NA	96,700	NA
SFY 97-98	NA	NA	202,100	50,000,000

Higher Education Services Corporation

PUBLICATIONS

(Technical Assistance Program)

Objective: Brochures and fact sheets which provide student financial aid information to students, parents, schools and lending officials.

Administering Agency: NYS Higher Education Services Corporation

NYS Object Code: 61601

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 663

Regulation: NA

Program Contact:

Kenneth Storms
Assistant Director of Information Services
NYS Higher Education Services Corporation
99 Washington Avenue
Albany, NY 12255
(518) 474-4898

Fiscal Contact:

NA

Eligibility: All New York State Residents.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Competitive and/or non-competitive applications for aid may be required. Generally, students begin application process using the Free Application for Federal Student Aid (FAFSA).

Description of Aid:

The brochures and fact sheets provide student financial aid information regarding tuition and non-tuition costs of college attendance.

Higher Education Services Corporation

TRAINING

(Technical Assistance Program)

Objective: Training sessions or seminars sponsored by the NYS Higher Education Services Corporation with the intent to instruct students, parents, school officials or school and lending administrators regarding student financial aid.

Administering Agency: NYS Higher Education Services Corporation

NYS Object Code: 61601

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Section 663

Regulation: NA

Program Contact:

Kenneth Storms
Assistant Director of Information Services
Policy Analysis and Information Services
NYS Higher Education Services Corporation
99 Washington Avenue
Albany, NY 12255
(518) 474-4898

Fiscal Contact:

NA

Eligibility: All New York State Residents.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Competitive and/or non-competitive applications for aid may be required. Generally, students begin application process using the Free Application for Federal Student Aid (FAFSA).

Description of Aid:

The training sessions are held to provide student financial aid information regarding tuition and non-tuition costs of college attendance.

CAPITAL GRANT LOW-RENT ASSISTANCE

Objective: Provides rental subsidies to low-income families living in New York State and New York City supervised Mitchell-Lama developments. At the inception of the program, DHCR leased the apartments at the Mitchell-Lama rent and then sub-leased them to low-income tenants who paid at least 20 percent of their income as rent. Project is being phased out by attrition as existing tenants leave.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: NA

Year Established: 1964

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Section 44a

Regulation: NA

Program Contact:

Otis Jones
Deputy Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6440

Fiscal Contact:

NA

Eligibility: Mitchell-Lama Development.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Tenants must pay at least 20 percent of aggregate income as rent.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Mitchell-Lama Development. Monies are provided as a rental subsidy.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	713,000	NA
SFY 97-98	NA	690,000	NA
SFY 98-99	NA	775,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	759,000	NA
SFY 97-98	NA	664,000	NA

HOUSING DEVELOPMENT FUND

Objective: Provides funds for housing development activities for low-income individuals. The monies are provided as loans and must be repaid.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 69204¹, 69290

Year Established: 1966

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XI

Regulation: NA

Program Contact:

Arnon Adler
 Program Manager
 Office of Community Development
 NYS Division of Housing
 and Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 473-8551

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups, Charitable Organizations or their Wholly-Owned Subsidiaries which have the improvement of housing for persons of low income as a primary purpose. The permanent financing must be in whole or part government aided or will otherwise provide housing for persons of low-income.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. The loans are repaid from the proceeds of either the construction or permanent financing, or equity proceeds.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided either as a reimbursement or as an advance.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: See Eligibility.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	10,000,000
SFY 97-98	NA	NA	10,000,000
SFY 98-99	NA	NA	10,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	180,905
SFY 97-98	NA	NA	378,226

¹Object code 69204 is the general DHCR code.

HOUSING TRUST FUND

Objective: To increase the stock of affordable housing by providing grants and loans for rehabilitation or conversion of existing vacant property to residential use, or for construction of new residential property for low income individuals or families. Depending upon locations, low income is defined as from 80 to 90 percent of median income.

Administering Agency: NYS Housing Trust Fund Corporation

NYS Object Code: NA

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVIII

Regulation: 9 NYCRR Part 1900-1905

Program Contact:

Tom Koenig
 Program Manager
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-7682

Fiscal Contact:

Frank Markowski
 Assistant Treasurer HTFC
 Finance
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-3407

Eligibility: Cities, Towns, Villages, Non-Profit Groups, Public Housing Authorities, Private Developers, Housing Development Fund Companies, Charitable Organizations, Limited Partnerships and Counties (meeting certain requirements).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Project must be located in area of blight or deterioration, no lawful occupants may be permanently displaced, project must be used for low-income tenancy for no less than 15 years and up to 30 years. Funds may not be used for administrative costs nor capitalization of operating reserves, and no more than 25 percent of the HTF award may be for acquisition. Funds limited to \$55,000 per unit except where the HTF Corporation allows for up to \$20,000 per unit in additional funds. Not more than 50 percent of any annual appropriation to be allocated in a single municipality. Not more than one-third of appropriation to be allocated to private developers statewide.

Action Required to Receive Aid: Competitive application and HTF Corporation Board approval required for aid.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project grant, loan or loan/grant split.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Cannot be used as a substitute for monies that municipalities would have otherwise used on housing.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	25,000,000
SFY 97-98	NA	NA	25,000,000
SFY 98-99	NA	NA	25,000,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	20,273,177
SFY 97-98	NA	NA	16,120,622

LOW-INCOME HOUSING TAX CREDIT (LIHC)

Objective: Fosters the development and retention of affordable rental housing through private sector investment.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Internal Revenue Code, Section 42

Regulation: 9 NYCRR Parts 2040.1 through 2040.13

Program Contact:

Michael F. Sullivan
 Program Manager
 Office of Community Development
 NYS Division of Housing
 and Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 474-3196

Fiscal Contact:

NA

Eligibility: A Federal tax credit is available to those that invest in rental housing that is developed, rehabilitated and/or acquired and reserved for low-income households.

Type of Program and Special Restrictions: Tax Credit: income of occupants less than or equal to 60 percent of area median income, occupants cannot pay greater than or equal to 30 percent of area maximum income toward rent; units must be low-income occupied for greater than or equal to 15 years.

Action Required to Receive Aid: Submission of a competitive application in response to an annual Notice of Funding Availability.

Description of Aid:

Flow of Funds: NA - A Federal tax credit on federal tax liability is given to those who contribute equity toward the development, rehabilitation and/or acquisition of rental housing reserved for low-income households.

Type of Aid: Federal Tax Credit

Formula: \$1.25 per capita of state population.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	25,900,000	NA	NA
SFY 97-98	23,800,000	NA	NA
SFY 98-99	23,800,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	23,800,000	NA	NA
SFY 97-98	23,800,000 est.	NA	NA

LOW RENT HOUSING PROJECT SUBSIDIES

Objective: Supports building and maintenance of low rent housing projects and improvement of substandard units.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 69208

Year Established: 1937

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Public Housing Law, Section 73

Regulation: NA

Program Contact:

Otis Jones
Deputy Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6440

Fiscal Contact:

NA

Eligibility: Municipal Housing Authorities.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Overall amount of State subsidies limited by statute to fixed maximum amounts for low-rent housing and urban renewal projects, and the combined total of both payable in any year.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%. The municipality in which the project is situated is required to match the State subsidy. All or part of the municipal subsidy may be in the form of exemption from real property taxes.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	39,394,000	NA
SFY 97-98	NA	28,241,000	NA
SFY 98-99	NA	25,918,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	38,153,353	NA
SFY 97-98	NA	26,748,838	NA

MANUFACTURED HOMES

(Technical Assistance Program)

Objective: Enforces and ensures compliance with the provisions of Section 233 of the NYS Real Property Law, also known as the Manufactured Home Tenants Bill of Rights, by responding to tenant complaints and remedying violations in the most cost effective, efficient and service oriented manner available.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 41100, 54000, 55000

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Law, Section 233

Regulation: NA

Program Contact:

Kyle Conlen
Director
NYS Division of Housing
and Community Renewal
Hampton Plaza
38-40 State Street
Albany, NY 12207
(518) 486-6258

Fiscal Contact:

NA

Eligibility: Manufactured Home Park Tenants.

Type of Program and Special Restrictions: Services are provided directly to manufactured home park tenants by Manufactured Home Program or by mediation centers contracted to serve tenants by the program. DHCR's enforcement is mandated by state law. No direct involvement is required by local governments.

Action Required to Receive Aid: The program operates on a complaint basis. Tenants file complaints via a 24-hour hotline or through statewide mediation centers.

Description of Aid:

The Manufactured Home program protects tenants rights through settlements negotiated by the program staff or mediation agreements created by dispute resolution centers or finally, if necessary, seeking a remedy in Supreme Court.

MOBILE HOMES

(Technical Assistance Program)

Objective: Enforces and ensures compliance with the provisions of Section 233 of the NYS Real Property Law, also known as the Mobile Home Tenants Bill of Rights, by responding to tenant complaints and remedying violations in the most cost effective, efficient and service oriented manner available.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 41100, 54000, 55000

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Law, Section 233

Regulation: NA

Program Contact:

Joseph Fryer
Acting Director
NYS Division of Housing
and Community Renewal
Hampton Plaza
38-40 State Street
Albany, NY 12207
(518) 486-6263

Fiscal Contact:

NA

Eligibility: Mobile Home Park Tenants.

Type of Program and Special Restrictions: Services are provided directly to mobile home park tenants by Mobile Home Program or by mediation centers contracted to serve tenants by the program. DHCR's enforcement is mandated by state law. No direct involvement is required by local governments.

Action Required to Receive Aid: The program operates on a complaint basis. Tenants file complaints via a 24-hour hotline or through statewide mediation centers.

Description of Aid:

The Mobile Home program protects tenants rights through settlements negotiated by the program staff or mediation agreements created by dispute resolution centers or finally, if necessary, seeking a remedy in Supreme Court.

NEIGHBORHOOD PRESERVATION

Objective: Provides administrative funds to local, not-for-profit organizations performing housing preservation and community renewal activities in urban areas.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 69204¹

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVI

Regulation: NA

Program Contact:

Kathleen H. Gerardi
 Director, Community Services Bureau
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-5086

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups. Organizations must serve low-income communities in areas in need of improvement. Majority of persons served must be below 90% of median income. Majority of Board must be from the service area.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may only be used for planning and administrative purposes.

Action Required to Receive Aid: Non-competitive application for continued aid required and a competitive application is required if a Notice of Funds Available is issued for new groups.

Description of Aid:

Flow of Funds: State to Non-profit. Monies are provided as an advance.

Type of Aid: Ongoing, on an annual renewal basis.

Formula: NA

Matching Requirement: One-third of the State contract. This match may be in-kind contributions, or cash contributions from public or private sources to the Non-profit.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,750,000	NA
SFY 97-98	NA	11,750,000	NA
SFY 98-99	NA	11,750,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,338,100	NA
SFY 97-98	NA	11,658,450	NA

¹General DHCR code.

NEW YORK STATE HOME PROGRAM

Objective: To meet State housing goals as identified in the State's Consolidated Plan.

Administering Agency: NYS Housing Trust Fund Corporation; US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article 24; US National Affordable Housing Act, Title II, as amended

Regulation: 24 CFR Part 92

Program Contact:

Thomas O. Carey
 Program Manager
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-5044

Fiscal Contact:

Frank Markowski
 Assistant Treasurer HTFC
 Finance
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-3407

Eligibility: Counties, Cities, Towns, Villages, Public Authorities, Private Non-Profit Groups and Others including units of general local government and for-profit and non-profit housing developers.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. No special restrictions.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grants

Formula: Funds are awarded to the State based on a formula, but distributed competitively to eligible applicants. At least 80 percent of funds are awarded to jurisdictions that do not receive direct federal allocations.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	26,474,000	NA	NA
FFY 97-98	26,647,000	NA	NA
FFY 98-99	29,162,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	1,508,651	NA	NA
FFY 97-98	NA	NA	NA

PUBLIC HOUSING MODERNIZATION

Objective: Assists in the rehabilitation and modernization of State assisted public housing projects.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 69209

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Otis Jones
Deputy Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6440

Fiscal Contact:

NA

Eligibility: Public Housing Authorities. Eligibility is limited to State aided low income projects which have not been federalized.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for upgrading Housing Authority property. Not more than 50 percent of available funds may be allocated to any single housing authority.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	12,400,000	NA
SFY 97-98	NA	12,300,000	NA
SFY 98-99	NA	12,400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,604,837	NA
SFY 97-98	NA	6,489,944	NA

RESTORE

Objective: To fund emergency home repair programs, to repair housing conditions that are a threat to the health and safety of low-income elderly homeowners.

Administering Agency: NYS Housing Trust Fund Corporation

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990

Regulation: NA

Program Contact:

Charles McPhillips
 Program Manager
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-7083

Fiscal Contact:

Frank Markowski
 Assistant Treasurer HTFC
 Finance
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-3407

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Corporations.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. The maximum amount of assistance to any low income elderly homeowner served is \$5,000.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local grantees.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Yes, consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	400,000
SFY 97-98	NA	NA	400,000
SFY 98-99	NA	NA	400,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	400,000
SFY 97-98	NA	NA	400,000

RURAL PRESERVATION

Objective: Provides administrative funds to local, not-for-profit organizations performing housing preservation and community renewal activities in rural areas.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 69204¹

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVII

Regulation: NA

Program Contact:

Kathleen H. Gerardi
 Director, Community Services Bureau
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-5086

Fiscal Contact:

NA

Eligibility: Private Non-Profit Groups. Organizations must serve low-income communities in areas in need of improvement. Majority of persons served must be below 90% of median income. Majority of Board must be from service area.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may only be used for planning and administrative purposes.

Action Required to Receive Aid: Renewal application for continued aid and competitive application required if a Notice of Funding Availability is issued for new groups.

Description of Aid:

Flow of Funds: State to Non-profit. Monies are provided as an advance.

Type of Aid: Ongoing, on an annual review basis.

Formula: NA

Matching Requirement: One-third of the State contract. This match may be in-kind contributions or cash contributions from public or private sources to the Non-profit.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,860,000	NA
SFY 97-98	NA	4,860,000	NA
SFY 98-99	NA	4,860,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,549,600	NA
SFY 97-98	NA	5,541,300	NA

¹General DHCR code.

RURAL RENTAL ASSISTANCE

Objective: Provides State rent subsidies on behalf of low-income tenants of rental housing built or rehabilitated with financing from the US Department of Agriculture, Rural Housing Service (RHS) -- formerly called the Farmers Home Administration (FmHA) -- under the Section 515 program. Subsidies are for a maximum of 25 years, and make up the difference between 30 percent of the tenant's income and the rent necessary to maintain the unit.

Administering Agency: NYS Division of Housing and Community Renewal

NYS Object Code: 69204¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVII-A

Regulation: 9 NYCRR Part 2800.1-2800.6

Program Contact:

Charles McPhillips
 Program Manager
 Office of Community Development
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Albany, NY 12207
 (518) 486-7083

Fiscal Contact:

NA

Eligibility: Non-Profit Groups, Public Housing Authorities and Private Developers. Ultimate beneficiaries are tenants in Rural Housing Service Section 515 multifamily housing who are selected under RHS low-income requirements.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Funds may be used for eligible rent subsidies.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance, and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,700,000	NA
SFY 97-98	NA	4,340,000	NA
SFY 98-99	NA	14,477,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,875,500	NA
SFY 97-98	NA	10,563,300	NA

¹General DHCR code.

SECTION 8 HOUSING

Objective: Provides housing assistance payments (HAP) on behalf of families with low and moderate income to enable them to rent (existing, rehabilitative or new) housing that is safe, sanitary and affordable.

Administering Agency: NYS Division of Housing and Community Renewal; US Department of Housing and Urban Development

NYS Object Code: 69250

Year Established: 1975

Catalog of Federal Domestic Assistance No.: 14.156

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: NA

Program Contact:

Otis Jones
Deputy Commissioner
Housing Management
NYS Division of Housing
and Community Renewal
25 Beaver Street
New York, NY 10004
(212) 480-6440

Fiscal Contact:

NA

Eligibility: State Supervised Housing Development

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Must be used as rent subsidies to low/moderate income people. Tenants must pay up to 30 percent for rent.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a tenant rent subsidy.

Type of Aid: Project Grant

Formula: Census data and statistical tables are utilized in establishing the allocation area. Factors utilized include: the proportion of new construction, rehabilitative and existing housing therein, household type prevalent, areawide boundaries and topographical barriers.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	13,100,000	NA	NA
SFY 97-98	13,100,000	NA	NA
SFY 98-99	13,100,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	9,052,867	NA	NA
SFY 97-98	9,135,525	NA	NA

TECHNICAL ASSISTANCE PROGRAM

Objective: Facilitates the construction, rehabilitation, maintenance and operation of low-income and affordable housing. Assists Neighborhood and Rural Preservation companies on a variety of organizational issues.

Administering Agency: NYS Division of Housing and Community Renewal/NYS Housing Trust Fund Corporation

NYS Object Code: 01080

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Private Housing Finance Law, Article XVI, XVII, XVIII and XVIII-A

Regulation: NA

Program Contact:

Gerard J. Powers
NYS Division of Housing and
Community Renewal
38-40 State Street
Albany, NY 12207
(518) 473-3247

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups, including State-funded Preservation Companies.

Type of Program and Special Restrictions: Recipients must be applicants to, or potential applicants to DHCR/HTF capital programs, and/or preservation companies.

Action Required to Receive Aid: Request through DHCR Regional Office.

Description of Aid:

Provision of consultant services and/or training and publications.

WEATHERIZATION ASSISTANCE PROGRAM

Objective: Reduces the impact of higher energy costs on low-income families, especially the elderly and handicapped, by weatherizing homes.

Administering Agency: NYS Division of Housing and Community Renewal; US Department of Energy

NYS Object Code: 69707

Year Established: 1977

Catalog of Federal Domestic Assistance No.: 93.568, 81.042

Legal Authority:

Law: NY Laws of 1987, Chapter 615; US PL 94-385, PL 95-91, PL 97-377

Regulation: 42 USC 6851, 7101

Program Contact:

J. Delaine Jones
 Director
 Bureau of Energy Services
 NYS Division of Housing and Community
 Renewal
 38-40 State Street
 Hampton Plaza
 Albany, NY 12207
 (518) 486-3298

Fiscal Contact:

Contract Unit
 NYS Division of Housing and
 Community Renewal
 38-40 State Street
 Hampton Plaza
 Albany, NY 12207

Eligibility: State Governments, Counties, Cities, Towns, Villages, Non-Profit Groups and Indian Tribal Organizations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Residential energy conservation measures based upon on-site inspection of dwelling unit.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based on heating degree days, poverty population by census, and minimum allocation level.

Matching Requirement: A match is required from any ineligible rental property owner.

Maintenance of Effort: Contractual

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Funds</u>
SFY 96-97	29,213,747	NA	NA
SFY 97-98	29,475,768	NA	NA
SFY 98-99	29,911,397	NA	NA

*Does not include prior years' carry over.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Funds</u>
SFY 96-97	33,879,072	NA	NA
SFY 97-98	29,971,397	NA	NA

COMMUNITY DEVELOPMENT ENTITLEMENT GRANTS

Objective: Develops viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for low income individuals.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 14.218

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: 24 CFR 570

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: Counties, Cities and Towns. Must be a city with population greater than 50,000, or, urban county with population over 200,000 (excluding Entitlement Cities), or city with population less than 50,000 which is the central city in a metropolitan statistical area.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. At least 70 percent of funds received during a one, two or three year period must principally benefit low and moderate income persons (less than 80 percent of median family income for area). Funds cannot be used for construction or rehabilitation of buildings for the general conduct of government or for new housing construction unless administered by an eligible sub-recipient.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Aid is based on population, extent of poverty, extent of housing overcrowding.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	3,017,280,000	NA	NA
FFY 98	2,937,000,000	NA	NA
FFY 99	2,958,000,000	NA	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	3,017,280,000	NA	NA
FFY 98	2,937,000,000	NA	NA

COMMUNITY DEVELOPMENT SMALL CITIES

Objective: Develops viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 14.218

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: 24 CFR 570

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: Counties, Towns and Villages. Must not be eligible for Entitlement Funds under CFDA program number 14.218. Grants are competitive.

Type of Program and Special Restrictions: Funds received must principally benefit low and moderate income persons (less than 80 percent of median family income for area). Funds cannot be used for construction or rehabilitation of buildings for the general conduct of government or for new housing construction unless administered by an eligible sub-recipient. Applications must comply with requirements in Notice of Funding Availability for Comprehensive and Single Purpose grants (Housing, Public Facilities or Economic Development).

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Applications are rated according to absolute/relative poverty levels, program impact and outstanding fair housing and equal opportunity performance.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	6,628,000	NA	NA
FFY 98	6,068,000	NA	NA
FFY 99	6,061,000	NA	NA

*New York State Office only.

Amounts Awarded:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	6,628,000	NA	NA
FFY 98	6,068,000	NA	NA

*New York State Office only.

CONSOLIDATED TECHNICAL ASSISTANCE FOR COMMUNITY PLANNING AND DEVELOPMENT

(Technical Assistance Program)

Objective: Provides technical assistance to recipients of HOME, CDBG and Supportive Housing program funds.

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

NYS Object Code: NA

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 14.231, 14.239, 14.227

Legal Authority:

Law: US Housing Act of 1968 as amended by Housing and Urban Development Act of 1992

Regulation: 24 CFR Part 92; 24 CFR 570.42; 24 CFR 583.140

Program Contact:

Office of Community Planning
and Development

US Department of Housing and
Urban Development

26 Federal Plaza, Room 3504

New York, NY 10278

(212) 264-2885

Fiscal Contact:

NA

Eligibility: Private consultants, State and local governments, and non-profit groups.

Type of Program and Special Restrictions: Aid may be used to provide technical assistance to grantees of the HOME, CDBG and Supportive Housing programs.

Action Required to Receive Aid: Competitive application for grant assistance required.

CONTINUUM OF CARE HOMELESS ASSISTANCE

Objective: Provides a comprehensive approach to develop and implement housing and service delivery programs and helps build partnerships and coordination with states, localities, not-for-profit organizations and the federal government to help homeless individuals and families move to permanent living and self-sufficiency to the extent possible. A Continuum of Care system consists of four basic components:

1. A system of outreach and assessment for determining the needs and conditions of an individual or family who is homeless;
2. Emergency shelters with appropriate supportive services to help ensure that homeless individuals and families receive adequate emergency shelter and referral to necessary service providers or housing finders;
3. Transitional housing with appropriate supportive services to help those homeless individuals and families who are not prepared to make the transition to permanent housing and independent living; and
4. Permanent housing, or permanent supportive housing, to help meet the long-term needs of homeless individuals and families.

Amounts Appropriated and Disbursed:

Grant funds available under the Supportive Housing Program (SHP), the Shelter Plus Care Program (S+C), and the Section 8 Moderate Rehabilitation Program for Homeless Individuals (SRO) may be used to fill gaps within a community's Continuum of Care system to help homeless people achieve self-sufficiency. Separate amounts for each of the three programs were not specified in FY 1996. Instead, the distribution of funds among the three programs depends on locally determined priorities and overall demand. The funding available under the three programs to create community systems for combating homelessness in Fiscal Years 1994, 1995 and 1996 are as follows:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	823,000,000	NA	NA
FFY 97	823,000,000	NA	NA
FFY 98	823,000,000	NA	NA

Comments: See separate program descriptions for the Supportive Housing Program (SHP), the Shelter Plus Care Program (S+C), and the Section 8 Moderate Rehabilitation Assistance for Single-Room Occupancy (SRO) Dwellings Program.

ECONOMIC DEVELOPMENT INITIATIVE (EDI)

(Technical Assistance Program)

Objective: Enhances the security of Section 108 program guaranteed loans, or improves the feasibility of proposed projects through techniques such as interest rate subsidies, loan loss reserves, etc. The Section 108 program provides communities with a source of financing for economic development, housing rehabilitation, large scale physical and community development projects such as Homeownership Zones and Community and Individual Investment Corporations (CIIC).

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: 14.218

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: 24 CFR 570

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: Community Development Block Grant (CDBG) Program Entitlement communities and nonentitlement counties, cities, towns and villages.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. At least 70 percent of funds received during a one, two or three year period must principally benefit low and moderate income persons (less than 80 percent of median family income for area). Funds cannot be used for construction or rehabilitation of buildings for the general conduct of government or for new housing construction unless administered by an eligible sub-recipient.

Action Required to Receive Aid: Competitive application for grant assistance required in response to a Notice of Funding Availability. For Section 108 program, application may be submitted at any time.

EMERGENCY SHELTER GRANTS PROGRAM (ESG)

Objective: Provides States, Metropolitan Cities, Urban Counties and Territories with grants according to the formula used for Community Development Block Grants in order to renovate, rehabilitate or convert buildings for use as emergency shelters and, with limitations, provides funds for essential services for the homeless and operating costs.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.231

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title IV, Subtitle B, Sections 411-417, PL 100-77 and Cranston-Gonzalez National Affordable Housing Act of 1990, PL 101-625

Regulation: 24 CFR 576

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities and Territories who have an approved Consolidated Plan.

Type of Program and Special Restrictions: Formula grants based on the CDBG grant formula. Payroll expenses for staff to operate the shelter are ineligible.

Action Required to Receive Aid: Non-competitive application for aid required, in the form of the Consolidated Plan.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Based on CDBG formula which uses several objective measures of community need, including poverty, population, housing overcrowding, age of housing and growth lag.

Matching Requirement: Grantee must match ESG funding with an equal amount from other sources.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	115,000,000	NA	NA
FFY 98	115,000,000	NA	NA
FFY 99	115,000,000	NA	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	115,000,000	NA	NA
FFY 98	115,000,000	NA	NA

EMPOWERMENT ZONE PROGRAM

Objective: Establishment of Empowerment Zones in urban areas to stimulate creation of new jobs particularly for the disadvantaged and long term unemployed and to promote revitalization of economically distressed areas.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Omnibus Budget Reconciliation Act of 1993, Title XIII, PL 103-66

Regulation: 24 CFR 597

Program Contact:

Joseph A. D'Agosta
Director
Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885 ext. 3401

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, and Villages

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Funds must be used in accordance with a HUD-approved strategic plan.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: NA, \$100,000,000 per zone.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: \$100,000,000 per zone.

US Department of Housing and Urban Development

ENTERPRISE COMMUNITIES

Objective: Stimulates the creation of new jobs, particularly for the disadvantaged and long term unemployed and promotes revitalization of economically distressed areas.

Administering Agency: US Department of Housing and Urban Development (urban); US Department of Agriculture (rural)

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Internal Revenue Code of 1986, Subchapter U; Omnibus Reconciliation Act of 1993

Regulation: 24 CFR 597

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State or local government nominated urban area eligible for designation based on pervasive poverty, unemployment and general distress as established in data published by Bureau of Census and Bureau of Labor Statistics.

Type of Program and Special Restrictions: HUD will designate up to 65 of the nominated urban areas not designated as Empowerment Zones.

Action Required to Receive Aid: Notice of intent to participate, strategic plan, required eligibility forms and certifications.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Aid is based on pervasive poverty, unemployment, and general distress.

Matching Requirement: NA

Maintenance of Effort: NA

HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

Objective: Expands the supply of decent and affordable housing, particularly rental housing, for low and very low income people.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 14.239

Legal Authority:

Law: US National Affordable Housing Act, Title II

Regulation: 24 CFR 92

Program Contact:

Office of Community Planning
and Development

US Department of Housing and

Urban Development

26 Federal Plaza, Room 3504

New York, NY 10278

(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments, Counties and Cities.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Housing which is developed with HOME funds must serve low and very low-income families. For rental housing at least 90 percent of HOME funds must be invested in units that are occupied by families whose incomes are 60 percent of the median for the area, or less. The remaining ten percent must be invested in units occupied by families below 80 percent of median income. The rents in HOME-assisted units must be affordable to low-income families, and remain affordable for a reasonable amount of time. For Homeownership, homeowners must have incomes at or below 80 percent of the area median income. The home must be the owners' principal residence and must be valued at or below 95 percent of the median area purchase price. Resale provisions apply to first-time homebuyers to assure continued affordability.

Action Required to Receive Aid: Each eligible jurisdiction must submit a Consolidated Plan notifying HUD of its intent to participate in HOME and submit a program description.

Description of Aid:

Flow of Funds: Federal to State, Federal to Local.

Type of Aid: Ongoing

Formula: Aid is based on housing supply, substandard housing, cost of producing housing, poverty, number of low-income families in housing units in need of rehabilitation, fiscal incapacity for carry out housing activities without federal assistance.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	1,400,000,000	NA	NA
FFY 98	1,500,000,000	NA	NA
FFY 99	1,600,000,000	NA	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	1,400,000,000	NA	NA
FFY 98	1,500,000,000	NA	NA

HOMEOWNERSHIP AND OPPORTUNITY FOR PEOPLE EVERYWHERE; HOMEOWNERSHIP OF SINGLE FAMILY HOMES (HOPE 3)

Objective: Empowers low-income families and individuals to become homeowners by purchasing properties which were owned or held by Federal, State or Local governments.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 14.240

Legal Authority:

Law: US Cranston-Gonzalez National Affordable Housing Act of 1990, Title IV, Section 441

Regulation: NA

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: Private non-profit organizations or public agencies in cooperation with a private non-profit organization.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Applicants for Planning and Implementation Grants are to assist eligible low-income persons to become first-time homebuyers. The unit must meet local housing code or housing quality standards established by HUD, whichever is higher, not later than two years after transfer of unit to an eligible homebuyer.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Contributions must be provided from nonfederal sources and equal not less than 33 percent of the implementation grant amount.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Not Funded

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA) COMPETITIVE COMPONENT

Objective: Provides states, localities and non-profit organizations with resources and incentives to devise long term comprehensive strategies for meeting the housing needs of persons with AIDS and related diseases.

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Cranston-Gonzalez National Affordable Housing Act

Regulation: 24 CFR 574

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State governments that do not qualify for HOPWA formula allocations, special projects of national significance, localities outside of an Eligible Metropolitan Area (EMA), localities inside an EMA that do not have an approved Consolidated Plan, and non-profit organizations.

Type of Program and Special Restrictions: HUD will consider applicant capacity, need for project, appropriateness of housing and supportive services and extent of leveraged public private resources.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	NA	NA	NA
FFY 98	20,196,000	NA	NA
FFY 99	22,500,000	NA	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	21,500,000	NA	NA
FFY 98	20,196,000	NA	NA

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA) FORMULA COMPONENT

Objective: Provides states and localities with resources and incentives to devise long-term comprehensive strategies for meeting the housing needs of persons with AIDS and related diseases.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Cranston-Gonzalez National Affordable Housing Act

Regulation: 24 CFR 574

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments and Local Governmental Units.

Type of Program and Special Restrictions: Grants for housing assistance and supportive services for low-income persons with AIDS and related diseases.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Ongoing

Formula: Based on CDBG entitlement communities within an Eligible Metropolitan Area (EMA) with: (1) a population of more than 500,000, (2) more than 1,500 cumulative cases of AIDS, and (3) an approved Consolidated Plan.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	196,000,000	NA	NA
FFY 98	183,600,000	NA	NA
FFY 99	202,500,000	NA	NA

Amounts Awarded:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	196,000,000	NA	NA
FFY 98	183,600,000	NA	NA

US Department of Housing and Urban Development

**HUD-OWNED SINGLE FAMILY
PROPERTY DISPOSITION
(LEASE AND SALE FOR USE BY THE HOMELESS)**

Objective: Makes HUD-held properties available to non-profit providers. The program consists of three initiatives for single-family homes acquired by HUD through FHA foreclosures to assist homeless persons.

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US National Housing Act, Sections 203 and 211, Housing Act of 1949, Section 2, Housing and Urban Development Act of 1968 and Housing and Urban Development Act, Section 7(d)

Regulation: 24 CFR 291, 577 and 578

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Local Governmental Unit, Indian Tribes and Private Non-Profit Groups.

Type of Program and Special Restrictions: Aid may be used for: (1) leasing HUD acquired properties, (2) sales of acquired home properties directly to non-profit organizations and units of local government, and/or (3) lease with option to purchase in connection with the Supportive Housing Demonstration Program.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: NA

Type of Aid: Property

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

SECTION 8 MODERATE REHABILITATION ASSISTANCE FOR SINGLE-ROOM OCCUPANCY (SRO) DWELLINGS

Objective: Provides rental assistance for homeless individuals in rehabilitated single-room occupancy housing. (See also Continuum of Care Homeless Assistance program.)

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.856

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act, PL 100-77 and Housing and Community Development Act of 1987, PL 100-242

Regulation: 24 CFR 882

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: Public Housing Agencies.

Type of Program and Special Restrictions: HUD funds applications from public housing agencies that best demonstrate a need for the assistance and an ability to undertake and carry out the program expeditiously. Selected public housing agencies will enter into 10-year contracts with HUD. The total cost of rehabilitation that may be compensated through contract rents may not exceed \$15,000 per unit.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: See Continuum of Care Homeless Assistance program.

Comments: Part of Continuum of Care Homeless Assistance program.

SECTION 108 LOAN GUARANTEE PROGRAM

Objective: Develop viable urban communities by providing decent housing, a suitable environment, and expanding economic opportunities, principally for low income individuals.

Administering Agency: US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 14.218

Legal Authority:

Law: US Housing and Community Development Act of 1974

Regulation: 24 CFR 570

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: Entitlement public entities, nonentitlement public entities assisted in the submission of applications by States that administer the CDBG program; nonentitlement public entities in States where HUD administers the CDBG program.

Type of Program and Special Restrictions: Subject to mandated Federal requirements. CDBG rules and requirements apply.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local. Entitlement public entities may apply for up to five times the public entity's latest (approved) CDBG entitlement amount, minus any outstanding Section 108 commitments and/or principal balances on Section 8 loans. Nonentitlement public entities may apply for up to five times the latest (approved) CDBG amount by their States, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans for which the States have pledged their CDBG funds as security. The principal security for the loan guaranty is a pledge by the applicant public entity or State (in the case of nonentitlement public entity) of its future CDBG funds. Additional security will also be required (on a case-by-case basis) to assure repayment of the guarantee obligations.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: See Flow of Funds.

US Department of Housing and Urban Development

SHELTER PLUS CARE (S+C)

Objective: Provides rental assistance in concert with supportive services funded from other Federal, State and local sources to homeless persons with disabilities. (See also Continuum of Care Homeless Assistance program.)

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.238

Legal Authority:

Law: US National Affordable Housing Act, PL 101-625, which amended Title IV of the Stewart B. McKinney Homeless Assistance Act by adding Subtitle F authorizing the Shelter Plus Care Program

Regulation: 24 CFR Subtitle A Shelter Plus Care Program Guidelines; Rule, dated February 4, 1991.

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments, Local Governmental Units and Indian Tribes.

Type of Program and Special Restrictions: The assistance is targeted primarily to homeless persons who are seriously mentally ill, have chronic problems with alcohol or drugs, or both, or who have AIDS and related diseases.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Applicants must match the aggregate amount of S+C rental assistance with supportive services, the aggregate value of which at least equals the S+C grant.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: See Continuum of Care Homeless Assistance program.

Comments: Part of Continuum of Care Homeless Assistance program.

SUPPORTIVE HOUSING PROGRAM (SHP)

Objective: Provides permanent housing assistance in developing community based, long term housing and supportive services for individuals and/or families who are handicapped and homeless or at risk of becoming homeless. Encourages the development of housing and supportive services for homeless persons that will enable them to make the transition to independent living within 24 months. (See also Continuum of Care Homeless Assistance program.)

Administering Agency: US Department of Housing and Urban Development, Office of Community Planning and Development

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 14.235

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act of 1987, Title IV, Subtitle C

Regulation: 24 CFR Part 583

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments, Local Government Units, Private Non-Profit Organizations, Indian Tribes and Public Housing Agencies.

Type of Program and Special Restrictions: The program is targeted to homeless persons including homeless persons with disabilities and homeless families with children.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Local or Non-Profit Organization.

Type of Aid: Project Grant - Three years (initial term)

Formula: NA

Matching Requirement: Funds provided for acquisition/rehabilitation/new construction are to be matched with funds from non-Federal sources. Recipient must show it has sources to pay the percentage of operating costs not funded by HUD.

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: See Continuum of Care Homeless Assistance program.

Comments: Part of Continuum of Care Homeless Assistance program.

SURPLUS FEDERAL PROPERTY TITLE V

Objective: Provides suitable Federal properties categorized as underutilized, unutilized, excess or surplus to be made available for facilities to assist homeless individuals.

Administering Agency: US Department of Housing and Urban Development, US Department of General Services Administration, US Department of Health and Human Services

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act

Regulation: 24 CFR 581

Program Contact:

Office of Community Planning
and Development
US Department of Housing and
Urban Development
26 Federal Plaza, Room 3504
New York, NY 10278
(212) 264-2885

Fiscal Contact:

NA

Eligibility: State Governments, Non-Profit Groups and Local Governmental Units.

Type of Program and Special Restrictions: The program does not provide funding. Properties are available on an "as is" basis. Properties are leased from one to 20 years without charge, although the homeless organization must pay for operating and repair costs. Surplus properties may also be deeded.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: NA

Type of Aid: Property

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

ADOLESCENT VOCATIONAL EXPLORATION (AVE)

Objective: Provides grants for programs to assist youth up to age 17, who are at risk of dropping out of school. Programs are aimed at developing and clarifying career and educational goals, and are operated under contracts with community-based organizations.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Not-for-Profit Community-Based Organizations, BOCES, Post Secondary Education Agencies, Grant Recipients or Administrative Entities of JTPA SDA's Joint Apprenticeship Committees, Labor Organizations, and Public and Private Employers.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: Competitive application for aid required every five years. January 1, 1999 was the beginning of the third year of the five-year cycle for four contracts. September 1, 1998 was the beginning of year one of a five-year cycle for ten contracts.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based on RFP.

Matching Requirement: Recipient required to match funding with 50 percent.

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,358,700	890,100
SFY 97-98	NA	8,958,700	899,400
SFY 98-99	NA	8,958,700	915,700

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,064,464	890,100
SFY 97-98	NA	7,462,703	899,400

*The figures represent the total appropriations and disbursements for all Youth Education, Employment and Training Programs for the Department of Labor. The funds are pooled to include all Youth Programs: ACHIEVE, AVE, PAVE and YWS.

¹Refers to miscellaneous contractual services.

ANOTHER CHANCE INITIATIVE FOR EDUCATION, VOCATION OR EMPLOYMENT (ACHIEVE)

Objective: Provides grants to serve economically disadvantaged out-of-school youth between the ages of 16-21 by developing essential foundation skills and competencies, facilitating the attainment of the GED and expanding career options through education, employment and training activities.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Counties, Cities, Towns, Non-Profit Groups, Community-Based Organizations, Educational Institutions and Unions.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: Competitive application for aid required every five years. January 1, 1999 was the beginning of the third year of the five-year cycle for two contracts. September 1, 1998 was the beginning of year one of a five-year cycle for 12 contracts.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based on RFP

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,358,700	890,100
SFY 97-98	NA	8,958,700	899,400
SFY 98-99	NA	8,958,700	915,700

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,064,464	890,100
SFY 97-98	NA	7,462,703	899,400

*The figures represent the total appropriations and disbursements for all Youth Education, Employment and Training Programs for the Department of Labor. The funds are pooled to include all Youth Programs: ACHIEVE, AVE, PAVE and YWS.

¹Refers to miscellaneous contractual services.

CHAMBER OF COMERCE ON-THE-JOB TRAINING PROGRAM

Objective: Provides occupational training to participants at employer job sites.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1970's

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law, Article 23

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Chambers of Commerce with linkages to JTPA Service Delivery Areas and Department of Labor Community Services Offices and Centers.

Type of Program and Special Restrictions: Mandated, required by State law and regulations. Restrictions apply per statute and individual contracts.

Action Required to Receive Aid: Competitive application for aid required every five years. September 1, 1998 was the beginning of the fourth year of the five-year cycle.

Description of Aid:

Flow of Funds: State to Local Chambers of Commerce responding to RFP.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	856,000
SFY 97-98	NA	NA	862,500
SFY 98-99	NA	NA	868,700

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	810,299
SFY 97-98	NA	NA	774,448

¹Refers to miscellaneous contractual services.

DISPLACED HOMEMAKER PROGRAM (DHP)

Objective: Provides grants to organizations to provide vocationally related services, job seeking strategies, assessment, counseling and placement to displaced homemakers.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law, Section 23-B

Regulation: NA

Program Contact:

Karen Coleman
 Director
 Displaced Homemaker Program
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-0361

Fiscal Contact:

Lewis D. Stein
 Director
 Administrative Finance
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-2647

Eligibility: Non-Profit Groups, Community-Based Organizations and Educational and Human Services Agencies.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per contract.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	5,024,000
SFY 97-98	NA	NA	5,046,700
SFY 98-99	NA	NA	5,061,800

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	4,826,623
SFY 97-98	NA	NA	4,780,270

*These figures do not include \$600,000 available in TANF funding for services to displaced homemakers.

¹Refers to miscellaneous contractual services.

GREEN TEAM PROGRAM

Objective: Provides work experience and related educational training in "Green" occupations to place out-of-school youth (ages 16-21) and unemployed, economically disadvantaged adults (ages 22 and up) in unsubsidized jobs.

Administering Agency: NYS Department of Labor

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 163-A

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Not-for-Profit Community-Based Organizations, Post Secondary Educational Institutions, Public Agencies, Private Training Providers.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per statute and individual contracts.

Action Required to Receive Aid: Competitive application for aid required every five years. 1998 was the second year of the five-year cycle, as no funds were appropriated for this program in SFY 1997-98.

Description of Aid:

Flow of Funds: State to Grantee. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev</u>
SFY 96-97	NA	4,617,400*	NA
SFY 97-98	NA	NA**	NA
SFY 98-99	NA	2,287,000	NA

*Funds provided to DOL through an MOU with Department of Transportation.

**No funds were appropriated in SFY 1997-98.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,845,673	NA
SFY 97-98	NA	NA*	NA

*No funds were disbursed in SFY 1997-98.

Comments: This program was transferred to DOL in 1996.

HAZARD ABATEMENT BOARD

Objective: Supports projects which enable local jurisdictions to meet Public Employee Safety and Health (PESH) standards.

Administering Agency: NYS Department of Labor

NYS Object Code: 69911

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law

Regulation: PESH Safety and Health Standards

Program Contact:

David Hoy
 Management Specialist 4
 Workforce Development and Training Grants
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-3427

Fiscal Contact:

Lewis D. Stein
 Director
 Administrative Finance
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-2647

Eligibility: Counties, Cities, Towns, Villages, School Districts and Special Districts. Must be a local jurisdiction cited for not meeting PESH standards or notified through a PESH bureau consultation inspection.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for repair of premises not meeting PESH standards, must meet definition of a capital project, and must cost \$1,000 or more.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 75%, Local 25%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	245,000
SFY 97-98	NA	NA	245,000
SFY 98-99	NA	NA	245,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	244,795
SFY 97-98	NA	NA	245,000

HEALTH CARE WORKER TRAINING PROGRAM (HCWP)

Objective: Facilitates career advancement for employed health care workers; addresses personnel shortages in health occupations; and provides retraining opportunities for employed workers being affected by changes in the health care industry.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NYS Budget Appropriation Language

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Hospitals, Long Term Care Facilities, Educational Agencies, Labor Organizations, Private Training Providers.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per statute and individual contracts.

Action Required to Receive Aid: Competitive application for aid required annually.

Description of Aid:

Flow of Funds: State to Grantees.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	316,300
SFY 97-98	NA	NA	322,300
SFY 98-99	NA	NA	323,300

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	296,678
SFY 97-98	NA	NA	149,420

¹Refers to miscellaneous contractual services.

JOB PLACEMENT AND RETENTION (JP&R) INITIATIVE

Objective: JP&R contractors prepare TANF recipients for employment, place them in jobs, and provide the counseling and support to ease their transition to work. Staff and resources are devoted to working with employers after job placement to help alleviate any problems that may threaten continued employment.

Administering Agency: NYS Department of Labor, Welfare-to-Work Division; US Department of Health and Human Services

NYS Object Code: 61110

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Social Security Act, Title IV, Part A, as amended; Personal Responsibility and Work Opportunity Reconciliation Act of 1996, PL 104-193; Balanced Budget Act of 1997, PL 105-33

Regulation: 45 CFR 200

Program Contact:

Karen Papandrea
Director
Welfare-to-Work Division
NYS Department of Labor
State Office Campus, Bldg. 12, Rm. 288
Albany, NY 12240
(518) 485-6289

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Cities, Towns, Villages, School Districts, Private Non-Profit Groups, and Private For-Profit Groups.

Type of Program and Special Restrictions: Optional.

Action Required to Receive Aid: Competitive application for aid required for applicant agencies.

Description of Aid:

Flow of Funds: Federal to State to Grantees. Monies are provided pursuant to contract terms, reimbursement is authorized as performance milestones are met.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal share is 50%. The non-Federal share is met with an equal amount of State/Local funds.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,000,000**	NA
SFY 97-98	2,074,000	925,000	NA
SFY 98-99	3,700,000	1,850,000	NA

*Included within a larger appropriation for income maintenance administration.

**Allocated within appropriation for IM program.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	1,976,000	925,000	NA

*Expenditures are included in the TANF figures.

Comments: This program was formerly the Comprehensive Employment Opportunity Support Center Enhanced Placement Initiative.

JOB TRAINING PARTNERSHIP ACT TITLE IIA ADULT TRAINING PROGRAM

Objective: Prepares adults for participation in the labor force by increasing occupational and educational skills resulting in long-term employability, increased employment and earnings, and reduced welfare dependency.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 17.250

Legal Authority:

Law: Federal Job Training Partnership Act, amended 1992, PL 102-367

Regulation: 20 CFR 626, Sept. 2, 1994

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: JTPA Grant Recipients or Administrative Entities, Not-for-Profit Community Based Organizations, School Districts, Counties, Cities.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: Service Delivery Areas and State must include as part of State plan for JTPA funding.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: Based upon population, social and economic conditions within State and Service Delivery Areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	60,486,516	NA	NA
FFY 97	64,881,753	NA	NA
FFY 98	75,237,675	NA	NA

*Appropriations are based upon Federal Program Year July 1 through June 30. Excludes 5% older workers funding.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	54,304,315	NA
SFY 97-98	NA	56,625,287	NA

**JOB TRAINING PARTNERSHIP ACT TITLE IIA,
SECTION 204(d) 5% - SERVICES FOR
OLDER WORKERS**

Objective: Prepares participants for involvement in the labor force by increasing occupational and educational skills, improves long-term employability, increases earnings and reduces welfare dependency.

Administering Agency: NYS Department of Labor, NYS Office for the Aging; US Department of Labor, Employment and Training Administration

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 17.250

Legal Authority:

Law: Federal Job Training Partnership Act, amended 1992, PL 102-367

Regulation: 20 CFR 626, Sept. 2, 1994

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: JTPA Service Delivery Grant Recipients or Administrative Entities, Not-for-Profit Community Based Organizations.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: Service Delivery Areas must include as part of their local plan.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: Based upon population, social and economic conditions of the State and Local Service Delivery Areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	3,183,501	NA	NA
FFY 97	3,414,829	NA	NA
FFY 98	3,959,878	NA	NA

*Appropriations are based upon Federal Program Year July 1 through June 30.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,685,774	NA
SFY 97-98	NA	3,090,946	NA

JOB TRAINING PARTNERSHIP ACT TITLE IIB SUMMER YOUTH EMPLOYMENT

Objective: Improve school retention and completion, improve academic performance, improve employability skills.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: 17.250

Legal Authority:

Law: Federal Job Training Partnership Act, amended 1992, PL 102-367

Regulation: 20 CFR 626, Sept. 2, 1994

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Grant Recipients or Administrative Entities of JTPA Service Delivery Areas (SDAs), Not-for-Profit Community Based Organizations.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: Allocated to Service Delivery Areas based upon statutory formula.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: Based upon population and economic, social conditions of State and Local Service Delivery Areas.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	43,821,211	NA	NA
FFY 97	62,275,486	NA	NA
FFY 98	67,934,185	NA	NA

*Appropriations are based upon Federal Fiscal Year October 1 through September 30.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	43,201,708	NA
SFY 97-98	NA	52,659,275	NA

JOB TRAINING PARTNERSHIP ACT TITLE IIC YOUTH TRAINING

Objective: Improves long term employability of youth; enhances educational, occupational and citizenship skills of youth; encourages school completion/enrollment in alternative school programs; increases employment and earning of youth; reduces welfare dependency; assists youth - addresses problems that impair successful transition from school to work, apprenticeship, military or post-secondary education and training.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 17.250

Legal Authority:

Law: Federal Law (USC 1501; 10/31/82, amended 1992, PL 102-367)

Regulation: 20 CFR 626, Sept. 2, 1994

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Service Delivery Areas, Counties, Not-for-Profit Community Based Organizations, School Districts.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: State must file biennial coordinated plan, which incorporates performance goals of local service delivery area plans.

Description of Aid:

Flow of Funds: Federal to State to Local Service Providers. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based upon population density and social/economic conditions of State and Local Service Delivery Areas.

Matching Requirement: NA

Maintenance of Effort: Established in the statute.

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	9,034,346	NA	NA
FFY 97	9,214,593	NA	NA
FFY 98	10,314,970	NA	NA

*Funding is appropriated based upon Federal Program Year July 1 through June 30.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	20,844,120	NA
SFY 97-98	NA	17,240,254	NA

JOBS FOR YOUTH

Objective: Two-year apprenticeship training related program designed for in-school and out-of-school JOBS eligible youth who are (or would be) in the 11th and 12th grades in school. The program prepares students for careers in health-related fields through the Experience-Based Career Education model (EBCE) and the Secretary's Commission on Achieving Necessary Skills (SCANS).

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, 1993, 1994, Chapter 53

Regulation: NA

Program Contact:

Tom Morrison
 Director
 Jobs for Youth
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-0000

Fiscal Contact:

Lewis D. Stein
 Director
 Administrative Finance
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-2647

Eligibility: Counties, Cities, Towns, Villages, Non-Profit Groups, Private Business Firms, and Labor Unions.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,320,000	NA
SFY 97-98	NA	1,320,000	NA
SFY 98-99	NA	1,570,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,177,831	NA
SFY 97-98	NA	538,597	NA

¹Refers to miscellaneous contractual services.

JTPA EMPLOYMENT & TRAINING PROGRAM FOR DISLOCATED WORKERS

Objective: Provides dislocated workers throughout the State with occupational training, employability counseling, and support services to assist them in securing new private sector jobs in order to reduce unemployment and encourage economic growth in all areas of the State.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

NYS Object Code: NA

Year Established: 1988

Catalog of Federal Domestic Assistance No.: 17.246

Legal Authority:

Law: Job Training Partnership Act (JTPA) PL 97-300

Regulation: 20 CFR 631

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: State Governments, Counties, Cities, Towns, Private Non-Profit Groups, and Labor Unions.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: No action required, automatic payment; Competitive application for aid required; Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided on a cash drawdown system.

Type of Aid: Ongoing

Formula: Based upon population, economic disadvantaged and unemployment rate.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96	76,995,939	NA	NA
FFY 97	91,917,963	NA	NA
FFY 98	113,707,688	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	74,607,682	NA
SFY 97-98	NA	90,432,175	NA

LOCAL DISTRICT ADMINISTRATION

Objective: State share of Local District Administration program.

Administering Agency: NYS Department of Labor

NYS Object Code: 62232

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: State Appropriation Bill - Chapter 53, Laws of 1998 - Employment Administration Program

Regulation: NA

Program Contact:

Karen Papandrea
 Director
 Welfare-to-Work Division
 NYS Department of Labor
 State Office Campus, Bldg. 12, Rm. 288
 Albany, NY 12240
 (518) 485-6289

Fiscal Contact:

Lewis D. Stein
 Director
 Administrative Finance
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-2647

Eligibility: Counties.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Yes

Matching Requirement: In addition to State general fund dollars representing 25%, there is a 50% Federal share and 25% Local share.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	34,500,000	NA
SFY 98-99	NA	34,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	29,551,000	NA

OCCUPATIONAL SAFETY AND HEALTH (OSH) TRAINING AND EDUCATION PROGRAM

Objective: Provides grants to organizations for conducting training and education to lower the incidence of occupational injury and illness.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Labor Law, Article 29

Regulation: NA

Program Contact:

David Hoy
Management Specialist 4
Workforce Development and Training Grants
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-3427

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Non-Profit Groups, Private Employers, Labor Unions, Employee Associations, Trade Associations and Educational Institutions.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Expenditures are limited to program purposes.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	7,218,200
SFY 97-98	NA	NA	7,366,500
SFY 98-99	NA	NA	7,416,300

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	7,245,215
SFY 97-98	NA	NA	6,835,549

¹Refers to miscellaneous contractual services.

PROGRESSIVE ADOLESCENT VOCATIONAL EXPLORATION (PAVE)

Objective: Provides grants to assist economically disadvantaged in-school youths ages 14-21. PAVE seeks to expand career awareness, prevent dropout, develop foundation skills and competencies and provide alternative career paths for participating students.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Not-for-Profit Community-Based Organizations, BOCES, Post Secondary Education Agencies, Grant Recipients or Administrative Entities of JTPA SDA's Joint Apprenticeship Committees, Labor Organizations, and Public and Private Employers.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per statute.

Action Required to Receive Aid: Competitive application for aid required every five years. January 1, 1999 was the beginning of the third year of the five-year cycle for eight contracts. September 1, 1998 was the beginning of year one of a five-year cycle for 18 contracts.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Based on RFP

Matching Requirement: Recipient required to match 50% of program costs, and 50% of the cost to the program participants' wages with JTPA resources.

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,358,700	890,100
SFY 97-98	NA	8,958,700	899,400
SFY 98-99	NA	8,958,700	915,700

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,064,464	890,100
SFY 97-98	NA	7,462,703	899,400

*The figures represent the total appropriations and disbursements for all Youth Education, Employment and Training Programs for the Department of Labor. The funds are pooled to include all Youth Programs: ACHIEVE, AVE, PAVE and YWS.

¹Refers to miscellaneous contractual services.

TRADE ADJUSTMENT ASSISTANCE (TAA) AND NAFTA-TAA

Objective: Provides services which enable workers who lost their jobs due to the adverse effect of foreign imports to return to the workforce at or near their previous skill and income level quickly and effectively.

Administering Agency: NYS Department of Labor; US Department of Labor, Employment and Training Administration

NYS Object Code: 55963

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 17.245

Legal Authority:

Law: Trade Act of 1974, as amended, North American Free Trade Implementation Act

Regulation: 20 CFR 617

Program Contact:

Victoria Mather
Trade Act/NAFTA Coordinator
Office of Contract Review and
Expenditure Control
NYS Department of Labor
State Campus, Building 12, Room 425
Albany, NY 12240
(518) 485-7595

Fiscal Contact:

Margo Szelest
Administrative Finance Bureau
NYS Department of Labor
State Campus, Building 12, Room 521
Albany, NY 12240
(518) 457-7165

Eligibility: Adversely Affected Workers.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations. Funding use restricted to mandated services and administrative costs.

Action Required to Receive Aid: Local governments and non-profit agencies are not eligible for aid. Aid is provided to individuals.

Description of Aid:

Flow of Funds: Federal to State. Payment by State to providers or individuals directly.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	10,665,321	NA	NA
FFY 97-98	13,018,906	NA	NA
FFY 98-99	5,377,062 to date	NA	NA

*Data provided by Federal Fiscal Year 10/1 - 9/30. Funding is requested as needed quarterly. Requests are granted in whole or in part, depending on funding availability nationally.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,595,185	NA	NA
SFY 97-98	3,669,755	NA	NA

WELFARE-TO-WORK (WtW) BLOCK GRANT

Objective: Serves hard-to-employ TANF recipients and non-custodial parents of TANF recipients.

Administering Agency: NYS Department of Labor, Welfare-to-Work Division; US Department of Labor, Employment and Training Administration

NYS Object Code: 61110

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 17.253

Legal Authority:

Law: Balanced Budget Act of 1997 amending PRWORA

Regulation: 20 CFR 645

Program Contact:

Karen Papandrea
 Director
 Welfare-to-Work Division
 NYS Department of Labor
 State Office Campus, Bldg. 12, Rm. 288
 Albany, NY 12240
 (518) 485-6289

Fiscal Contact:

Lewis D. Stein
 Director
 Administrative Finance
 NYS Department of Labor
 State Office Building Campus, Bldg. 12
 Albany, NY 12240
 (518) 457-2647

Eligibility: Eighty-five percent formula funds distributed by Federally approved formula guidelines to PICs or Governor's Office suggested alternate entities as approved by US Department of Labor. Fifteen percent Governor's discretionary funds for projects to assist long-term welfare recipients.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal law or regulations. Eligibility criteria must be met and federally mandated uses of the funds must be adhered to.

Action Required to Receive Aid: Competitive application for aid required; Non-competitive application for aid required, State level MOA.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: Subject to formula allocation based on excess poverty rate, long term TANF/AFDC Adults and average number of unemployed persons during a 12-month period.

Matching Requirement: Federal statute and regulations require the State assure match of \$1 for every \$2 in Federal expenditures.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	142,000,000*	\$25,000,000	NA

*Includes: 10/1/97-9/30/98 - \$97,000,000 and 10/1/98-9/30/99 - \$45,000,000 totaling \$142,000,000.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

YOUTH WORK SKILLS (YWS)

Objective: Provides grants for crisis intervention programs to assist out-of-school economically disadvantaged youths ages 16-21, whose reading levels are at or below the fifth grade level, to improve their basic educational and job seeking capabilities, and to enhance their future employability.

Administering Agency: NYS Department of Labor

NYS Object Code: 55950¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1992, Chapter 53

Regulation: NA

Program Contact:

Yue F. Yee
Acting Director
Workforce Development & Training Unit
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-0380

Fiscal Contact:

Lewis D. Stein
Director
Administrative Finance
NYS Department of Labor
State Office Building Campus, Bldg. 12
Albany, NY 12240
(518) 457-2647

Eligibility: Counties, Cities, Towns, Villages, Non-Profit Groups, Private Business Firms and Labor Unions.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Restrictions apply per statute and individual contracts.

Action Required to Receive Aid: Competitive application for aid required every five years. January 1, 1999 was the beginning of the third year of the five-year cycle for two contracts. September 1, 1998 was the beginning of year one of a five-year cycle for four contractors.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,358,700	890,100
SFY 97-98	NA	8,958,700	899,400
SFY 98-99	NA	8,958,700	915,700

Amounts Disbursed: *

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,064,464	890,100
SFY 97-98	NA	7,462,703	899,400

*The figures represent the total appropriations and disbursements for all Youth Education, Employment and Training Programs for the Department of Labor. The funds are pooled to include all Youth Programs: ACHIEVE, AVE, PAVE and YWS.

¹Refers to miscellaneous contractual services.

ADULT FAMILY SUPPORT

Objective: This program supports formal and informal services to families that care for mentally disabled relatives.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64320¹

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Article 41.42

Regulation: NA

Program Contact:

Darby Penney
 Recipient Affairs
 NYS Office of Mental Health
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-6579

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Must have contract with Office of Mental Health.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Funding is a combination of Project Grant monies and Ongoing monies.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	737,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	502,512***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

¹Refers to OMH "other" funds.

BLOCK GRANT TO HOMELESS FAMILIES

Objective: Provides on-site services to homeless families residing in hotels, shelters and temporary housing (crisis intervention, counseling, assessments, transition assistance, and coordination to needed community services).

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services

NYS Object Code: 64320¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 13.150

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title V, Part C

Regulation: 45 CFR Part 96

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided in advance of expenditures by the non-profit agency.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	11,156,000*	NA
SFY 98-99	NA	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,019,376***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

¹Refers to Office of Mental Health "other" grants.

C&F COMMUNITY SUPPORT PROGRAMS

Objective: Provides an array of support services to families with children who are seriously emotionally disturbed.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64260

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 93.958

Legal Authority:

Law: NY Mental Hygiene Law, 41.42

Regulation: NA

Program Contact:

Susan Batty
Operations - Local/Field Services
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229

Fiscal Contact:

Jonathan Ragonese
Director
Community Budget and Fiscal Services
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-5968

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.
Funds must be expended in accordance with approved contract/approval letter and budget.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	936,000	NA
SFY 98-99	NA	860,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

CASE MANAGEMENT

Objective: Provides case management services for individuals with serious and persistent mental illness and ensures that their basic needs are met.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services, Public Health Services, Alcohol, Drug Abuse, and Mental Health Administration

NYS Object Code: 64340

Year Established: 1986

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96.

Program Contact:

Linda J. Betts
 Director of Operations
 NYS Office of Mental Health
 44 Holland Avenue, 8th Floor
 Albany, NY 12229
 (518) 474-0122

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if local government. To be eligible to receive mental health block grant funds, recipient must meet certain Federal requirements.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement of the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	12,836,000*	95,086,000*	NA
SFY 98-99	12,669,000**	88,053,000**	

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,368,285***	112,709***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

CHILDREN AND FAMILIES - CENTRALIZED SYSTEMS OF MENTAL HEALTH SERVICES

Objective: Includes "single entry point" projects for seriously emotionally disturbed children and adolescents, vocational assessments and appropriate work experiences, and case management services which include assessment, planning, linkage, monitoring, advocacy and crisis intervention for children and youth awaiting residential treatment facility placement.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services; Public Health Services, Alcohol, Drug Abuse, and Mental Health Administration

NYS Object Code: 64260, 64340¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if local government. To be eligible to receive mental health block grant funds, recipient must meet certain Federal requirements.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Consult program contact for special restrictions.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	4,172,000*	11,156,000*	
SFY 98-99	4,117,000**	11,446,000**	

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,044,036***	161,042***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

¹Refers to OMH child mental health treatment programs and Federal block grants, respectively.

CHILDREN AND FAMILIES EMERGENCY PSYCHIATRIC CARE

Objective: Provides psychiatric emergency services for children and youth so a child in a psychiatric crisis can be assessed and treated without an inpatient admission whenever possible; to provide mobile crisis outreach teams that are linked to designated psychiatric emergency rooms; to provide crisis intervention services to emotionally troubled children and their families in the natural home.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services.

NYS Object Code: 64260

Year Established: 1988

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Mental Hygiene Law, Section 41.49, NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if local government. To be eligible to receive funding under Section 41.49 of the NY Mental Health Law, recipient must be a local government unit. To be eligible to receive mental health block grant funds, recipient must meet certain Federal requirements.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Consult program contact for special restrictions.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: To qualify for funding local governments must assure local contributions for expenditures in any local fiscal year for local or unified services provided to mentally ill persons shall be equal to or greater than the amount expended in the last complete local fiscal year.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	11,156,000*	NA
SFY 98-99	NA	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,737,113***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

CHILDREN AND FAMILIES - FAMILY SUPPORT SERVICES

Objective: Provides systematic support services which enhance a family's capacity to care for their child with serious emotional disturbance, to divert hospitalization when possible, and to reduce the need for long-term hospitalization.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services, Public Health Services, Alcohol, Drug Abuse and Mental Health Administration

NYS Object Code: 64260

Year Established: 1985

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Competitive application based on Request for Proposal process; contract if non-profit or approval letter if local government. To be eligible to receive ADM block grant funds, recipient must be a qualified Community Mental Health Center as defined by the Office of Mental Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal ADM block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement for the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	4,172,000*	11,156,000*	NA
SFY 98-99	4,117,000**	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	467,602***	1,644,281***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

CHILDREN AND FAMILIES - JUVENILE JUSTICE TEAMS

Objective: Assesses and provides treatment to children and youth with serious emotional disturbances who are involved with the criminal justice system.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services

NYS Object Code: 64260, 64340

Year Established: 1994

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Laws of 1994, Chapter 53; US Public Health Service Act, Title XIX

Regulation: 45 CFR Part 96

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if government.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	11,156,000*	NA
SFY 98-99	NA	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	100,000***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

CHILDREN AND FAMILIES - MOBILE CRISIS TEAMS

Objective: Provides mobile crisis service teams to assess and treat emotionally troubled children and their families.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64260

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Section 41.49

Regulation: NA

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	3,102,000*	NA
SFY 98-99	NA	2,019,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	422,002***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$3,102,000.

** This program is appropriated as part of a lump sum appropriation of \$2,019,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

CHILDREN AND YOUTH COMMUNITY RESIDENCE FUNDS

Objective: To develop community living alternatives for children and youth with serious emotional disturbances in order that they might successfully transition back to their home community.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64110, 64120, 64130
Catalog of Federal Domestic Assistance No.: NA

Year Established: 1988

Legal Authority:

Law: NY Mental Hygiene Law, Article 41, Section 44, 38 and 37

Regulation: 14 NYCRR Part 586

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Must be certified to operate community residence programs.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must use funds for specified purposes (operating, property, program development).

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government and by the not-for-profit agency.

Type of Aid: Funding is a combination of Project Grant monies and Ongoing monies.

Formula: Based on formulas involving the number of persons to be served.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	936,000	NA
SFY 98-99	NA	860,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	928,081	NA
SFY 97-98	NA	NA	NA

CHILDREN AND YOUTH FAMILY BASED TREATMENT

Objective: Benefits children and youth with serious emotional disturbances offering a community-based residential program built around a family environment.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64140

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Must meet certain program and fiscal standards set out in Office of Mental Health Guidelines.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Care of child portion of funds restricted to that usage.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government and by the non-profit agency.

Type of Aid: Ongoing

Formula: Based on formulas involving the number of persons to be served.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	11,156,000*	NA
SFY 98-99	NA	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	604,877***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

COMMUNITY FORENSIC TRAINING

Objective: Provides technical assistance and specialized forensic training to both State and local mental health and criminal justice agencies.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64310

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NA

Program Contact:

Richard Miraglia
 Director of Forensic Services
 NYS Office of Mental Health
 44 Holland Avenue, 1st Floor
 Albany, NY 12229
 (518) 474-7219

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	729,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	526,806***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

COMMUNITY MENTAL HEALTH REINVESTMENT ACT (REINVESTMENT)

Objective: Increases community mental health services by closing excess State psychiatric inpatient beds.

Administering Agency: NYS Office of Mental Health

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1993, Chapter 723

Regulation: NA

Program Contact:

Linda J. Betts
Director of Operations
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and New York City.

Type of Program and Special Restrictions: Under the Act, counties may use reinvestment funds for a full range of essential clinical and support services.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: The formula is set forth in the statute. The formula is based on the number of persons diagnosed with serious mental illness, unmet needs, closure of psychiatric centers and other factors. Minimum to any county is \$75,000 annually.

Matching Requirement: NA

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	71,309,000	NA
SFY 97-98	NA	89,800,000	NA
SFY 98-99	NA	129,270,306	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	45,993,512	NA
SFY 97-98	NA	NA	NA

COMMUNITY RESIDENCE FUNDS

Objective: To develop transitional housing and offer rehabilitative services for the mentally ill to assist them in successful integration into the community.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64110

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Section 41.38

Regulation: 14 NYCRR Part 586

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. As detailed in Article 41, Section 44 of the Mental Hygiene Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Use of funds limited to board and reasonable program expenses.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the not-for-profit agency.

Type of Aid: Ongoing

Formula: Based on formulas involving the number of persons to be served and existing agency personnel configuration.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	27,803,970***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.

** This program is appropriated as part of a lump sum appropriation of \$129,993,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

COMMUNITY SUPPORT SERVICES

Objective: Augments existing service providers who serve some of the most severely disabled, who without such services would not be able to reside in the community.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services, Public Health Services, Alcohol, Drug Abuse and Mental Health Administration

NYS Object Code: 64240

Year Established: 1979

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Mental Hygiene Law, Article 41, Section 47; US Public Health Service Act, Title XIX, Part C

Regulation: 14 NYCRR 575; CFR Part 96

Program Contact:

Linda J. Betts
 Director of Operations
 NYS Office of Mental Health
 44 Holland Avenue, 8th Floor
 Albany, NY 12229
 (518) 474-0122

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: State Governments, Counties, Cities, Towns, Villages and Private Non-Profit Groups. Must be certified by OMH and have an approved plan with information on program MIX, target populations, units of service and costs. To be eligible to receive ADM block grant funds, recipient must be a qualified Community Mental Health Center as defined by the Office of Mental Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used to support services for the chronically mentally ill but not for inpatient services and funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal ADM block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement for the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Counties that elect to participate in CSS must maintain or exceed their use of local tax support for expenditures for net operating costs according to their approved local services plan for calendar year 1986.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,853,000	75,224,000	NA
SFY 97-98	12,836,000*	95,086,000*	NA
SFY 98-99	12,669,000**	88,053,000**	

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,883,918***	70,427,897***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

COMPREHENSIVE PSYCHIATRIC EMERGENCY PROGRAM

Objective: Provides and coordinates a full range of psychiatric emergency programs thereby reducing unnecessary inpatient admissions.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64320

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 598

Regulation: NYCRR Part 596

Program Contact:

Linda J. Betts
Director of Operations
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: (1) Urban Hospital Based - Must be certified by NY Public Health Law, Article 28 and must be cited as having a need for the services. Must have an approved contract with NYS OMH. (2) Suburban/Rural Based - Community-based on call emergency service system.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Agency must maintain current level of resources committed to psychiatric services prior to opening of the CPEP program and cannot receive any funding for ongoing operations, only for the new CPEP related activity.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	10,223,000	NA
SFY 97-98	NA	6,829,000	NA
SFY 98-99	NA	7,468,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	10,005,330	NA
SFY 97-98	NA	NA	NA

COMPULSIVE GAMBLING

Objective: Provides outpatient programs, training, and public awareness campaigns to combat compulsive gambling.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64310

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53, NY Laws of 1981, Chapter 687

Regulation: NA

Program Contact:

Daniel S. Odell
 Coordinator
 Compulsive Gambling Program
 NYS Office of Mental Health
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-0121

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if local government.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds must be expended in accordance with approved contract/approval letter and budget.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,554,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,178,284***	
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

COORDINATED CHILDREN'S SERVICE INITIATIVE (CCSI)

Objective: Assists with cross system coordination by involving local governments, parents and school districts in the planning, delivery and coordination of children's mental health programs.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services, Public Health Services, Alcohol, Drug Abuse and Mental Health Administration

NYS Object Code: 64260

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Mental Hygiene Law, Section 41.49; US Public Health Service Act, Title XIX, Part C

Regulation: NA

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	4,172,000*	11,156,000*	NA
SFY 98-99	4,117,000**	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,456,131***	334,434***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

EXPANDED CHILDREN'S SERVICES

Objective: Enhances local child and adolescent service systems. LGU's will develop programs to decrease hospital admissions, decrease length of stay, and coordinate services.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services, Substance Abuse and Mental Health Services Administration

NYS Object Code: 64260

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 93.958

Legal Authority:

Law: NY Mental Hygiene Law, 41.49; US Public Health Service Act, Title XIX, Part B, Subpart I, as amended, PL 102-321

Regulation: NYCRR 507.1; 45 CFR Part 96.

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children and Family
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Jonathan Ragonese
Director
Budget and Fiscal Services
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-5968

Eligibility: Counties and Private Non-Profit Groups. Must meet certain program and fiscal standards set out in the Office of Mental Hygiene guidelines.

Type of Program and Special Restrictions: Care of child portion of funds restricted to that usage.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). State to Local monies are provided in advance of expenditure to local government and to the non-profit agency.

Type of Aid: Ongoing

Formula: Formula is cited in Section 1918 of PL 102-321.

Matching Requirement: NA

Maintenance of Effort: To qualify for funding, local governments must assure local contributions for expenditures in any local fiscal year, and services provided to mentally ill persons shall be equal to or greater than the amount expended in the last complete local fiscal year.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	4,172,000	NA	NA
SFY 97-98	4,117,000	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

FAMILY CARE

Objective: Provides a residential setting, clinical services (as needed), and case management services to persons who no longer require inpatient care and yet cannot function in other independent living arrangements.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64140, 64320, 64602, 64404
Catalog of Federal Domestic Assistance No.: NA

Year Established: 1975

Legal Authority:

Law: NY Mental Hygiene Law, Section 31.3, NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Robert Myers, Ph.D.
 Housing and Rehabilitation Services
 NYS Office of Mental Health
 44 Holland Avenue, 8th Floor
 Albany, NY 12229
 (518) 474-0122

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Private Non-Profit Groups. Provider must be certified by Office of Mental Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May only be used for reimbursement of Family Care provider-related costs.

Action Required to Receive Aid: Certified Family Care providers submit vouchers to the Office of Mental Health for payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,200,000	5,302,000	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,273,721***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.

** This program is appropriated as part of a lump sum appropriation of \$129,993,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

HOME AND COMMUNITY BASED WAIVER

Objective: Supports children and adolescents with serious emotional disturbances and their families in staying together in their homes and communities.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64260

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Chapter 53

Regulation: NA

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children and Family
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Jonathan Ragonese
Director
Budget and Fiscal Services
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-5968

Eligibility: Private Non-Profit Groups. Must meet certain program and fiscal standards set out in the Office of Mental Hygiene guidelines.

Type of Program and Special Restrictions: Care of child portion of funds restricted to that usage.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	936,000	NA
SFY 98-99	NA	860,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

INTENSIVE CASE MANAGEMENT

Objective: Designed to provide intensive case management services to high risk, seriously and persistently mentally ill clients in the community.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64241

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: Department of Mental Health Title 14 Part 506; Dept. of Social Services Title 18 Sec. 505.16

Program Contact:

Robert Davis
 Program Specialist
 Operations Division
 NYS Office of Mental Health
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-1704

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Not-for-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Funding for ICM managers is subject to regional program funding levels plus funding for services, which is calculated at \$1,000 per client with 12 clients per ICM manager.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	11,093,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,737,616***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

**LOCAL ASSISTANCE REGULAR, ADULT/
CHILDREN AND FAMILIES/
UNIFIED SERVICES/AIDS**

Objective: Provides general financial assistance for development and operation of community mental health services.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64210, 64220

Year Established: 1972

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Article 41, Sections 18 and 23

Regulation: NA

Program Contact:

Linda J. Betts
Director of Operations
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties. Must have an approved local government plan and an approval letter with the Office of Mental Health.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for patient care.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: For local assistance regular - 50 percent of cost. For unified service counties, the formula reimbursements range from 69 to 97 percent of cost, limited by the reimbursement.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	48,620,000	NA
SFY 97-98	NA	41,755,000*	NA
SFY 98-99	NA	46,077,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	48,541,693**	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$41,755,000.

** This program is appropriated as part of a lump sum appropriation of \$46,077,000.

MENTAL HEALTH PROGRAM DEVELOPMENT GRANT

Objective: Supports development of certified residential programs at the local level including adult and children's community residences and residential treatment facilities.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64130

Year Established: 1976

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Article 41, Section 37

Regulation: 14 NYCRR Part 586

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Must meet certain character and fiscal standards set out in Office of Mental Health (OMH) guidelines.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Generally limited to the types of uses outlined in statute and OMH issued procedures.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by non-profit agency.
Type of Aid: Project Grant
Formula: Based on per bed funding limit.
Matching Requirement: NA
Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,857,942***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.
 ** This program is appropriated as part of a lump sum appropriation of \$129,993,000.
 *** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

MENTAL ILLNESS AND CHEMICAL ABUSE

Objective: To provide emergency room treatment, continuing treatment and community support services for mentally ill chemical abusers.

Administering Agency: NYS Office of Mental Health; US Dept of Health and Human Services, Public Health Services, Alcohol, Drug Abuse and Mental Health Administration

NYS Object Code: 64340

Year Established: 1988

Catalog of Federal Domestic Assistance No.: 93.958

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Daniel S. Odell
MICA Services Coordinator
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-0121

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if local government.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal mental health block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement for the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,534,200	NA	NA
SFY 97-98	NA	12,836,000*	NA
SFY 98-99	NA	12,669,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,558,934***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$12,836,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$12,540,700.

MENTALLY ILL CHEMICAL ABUSER RESIDENTIAL ENHANCEMENTS

Objective: Provides individuals with a serious mental illness, who are also chemical abusers, with supports to assist with successful residential placements.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64110

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NA

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	805,879***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.

** This program is appropriated as part of a lump sum appropriation of \$129,993,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

**MENTALLY ILL CHEMICAL ABUSER
TASK FORCE ON INTEGRATED
PROGRAMS PREVENTION
(MICA-TFIP Drug Free Schools)**

Objective: Provides prevention services for mentally ill drug and alcohol abusers.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services

NYS Object Code: 64340

Year Established: 1988

Catalog of Federal Domestic Assistance No.: 84.186

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Daniel S. Odell
MICA Services Coordinator
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-0121

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: School Districts.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	185,000	NA	NA
SFY 97-98	185,000	NA	NA
SFY 98-99	185,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	185,000	NA	NA
SFY 97-98	NA	NA	NA

MINORITY PROGRAMS

Objective: Provides educational, training, research and recruitment opportunities for minorities in the mental health field.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services, Public Health Services, Alcohol, Drug Abuse, and Mental Health Administration

NYS Object Code: 64310 (State), 64340 (Federal)

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Marisol Nunez
 Director of Diversity Planning
 NYS Office of Mental Health
 44 Holland Avenue, 8th Floor
 Albany, NY 12229
 (518) 473-4144

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Contract if non-profit or approval letter if local government. To be eligible to receive ADM block grant funds, recipient must be a qualified Community Mental Health Center as defined by the Office of Mental Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds must be expended in accordance with approved contract/approval letter and budget. If program is funded with Federal ADM block grant funds, those funds cannot be used for: inpatient services; the purchase or improvement of land; the purchase or construction of buildings; the purchase of major medical equipment; to satisfy any requirement for the expenditure of non-governmental funds as a condition for the receipt of Federal funds; or for financial assistance to any entity other than a public or non-profit private organization.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	80,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	80,000***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

NEW YORK/NEW YORK INITIATIVE

Objective: To develop housing options with services to the homeless mentally ill in New York City.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services

NYS Object Code: 64110, 64120, 64130

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 13.150

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title V, Part C

Regulation: 45 CFR Part 96

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties of New York City and Private Non-Profit Groups. Private non-profit groups must meet certain character and fiscal standards detailed in OMH guidelines.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be for an approved program, serving the homeless mentally ill in New York City.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided in advance of expenditures by the local government and by the non-profit agency.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	982,000	NA	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	18,590,734***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.

** This program is appropriated as part of a lump sum appropriation of \$129,993,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

OUTPATIENT PROGRAM

Objective: The diagnosis and treatment of mental illness on an ambulatory basis to reduce symptoms and to improve or maintain the patient's capacity to function in the community.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64320¹

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Article 31, Sections 7.09, 31.04, 43.01 and 43.02B, NY Social Services Law, Section 364A

Regulation: 14 NYCRR Parts 587 and 588

Program Contact:

Linda J. Betts
 Director of Operations
 NYS Office of Mental Health
 44 Holland Avenue, 8th Floor
 Albany, NY 12229
 (518) 474-0122

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Completion of a Certificate of Need application and issuance of Operating Certification by Office of Mental Health.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Fifty percent for State aid plus local match for Medicaid.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,069,000	NA
SFY 97-98	NA	41,755,000*	NA
SFY 98-99	NA	46,077,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,715,475**	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$41,755,000.

** This program is appropriated as part of a lump sum appropriation of \$46,077,000.

¹Refers to OMH "other" funds.

PREVENTIVE SCHOOL BASED INITIATIVE

Objective: Provides on-site mental health services in schools. The sites will be in areas with high risk factors. The target population is both children and adolescents at risk and those already diagnosed.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64310

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NA

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional. Programs will be funded at seven sites in high-risk schools located in major metropolitan areas.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	11,156,000*	NA
SFY 98-99	NA	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,397,596***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721.

PROJECT TO ASSIST TRANSITION FROM HOMELESSNESS (PATH)

Objective: Provides mental health services to homeless individuals who are chronically mentally ill.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services

NYS Object Code: 64340¹

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 13.150

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Public Health Service Act, Title V, Part C

Regulation: 45 CFR Part 96 (HHS Block Grant Regulations)

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Must have an approved contract or an approval letter with NY Office of Mental Health.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Generally limited to the types of uses outlined in the Federal Public Health Services Act (outreach services, case management, crisis intervention and housing related services to the homeless mentally ill).

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State must provide one-third match.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,054,000*	NA	NA
SFY 97-98	954,000	NA	NA
SFY 98-99	1,320,000	NA	NA

*This amount represents reappropriated value.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,891,992	NA	NA
SFY 97-98	NA	NA	NA

¹Refers to OMH Federal block grant.

RESIDENTIAL CARE CENTER FOR ADULTS (RCCA)

Objective: To develop extended stay community living alternatives for the mentally ill in order that they might be successfully integrated into the community.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64150, 64151, 64152

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Article 41, Sections 44 and 38

Regulation: 14 NYCRR Part 588

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Private Non-Profit Groups. As detailed in Article 41, Sections 44, 38, and 45 of the Mental Hygiene Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds limited to room, board and reasonable program expenses.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by not-for-profit agency.

Type of Aid: Ongoing

Formula: Based on formulas involving the number of persons to be served.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,354,852***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.

** This program is appropriated as part of a lump sum appropriation of \$129,993,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

SHELTER PLUS CARE PROGRAM (S+C)

Objective: Provides permanent housing and support services to individuals and families with disabilities. HUD provides rental stipends - State or locality provides matching.

Administering Agency: NYS Office of Mental Health; US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1992

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US PL 101-625

Regulation: NA

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: State Governments, Local Governmental Units, Public Housing Authorities and Indian Tribes may apply.

Type of Program and Special Restrictions: National competition in response to HUD Notice of Funding Availability (NOFA). Subject to mandated Federal requirements for reporting, environmental reviews and local match.

Action Required to Receive Aid: Competitive application for awards required in response to HUD NOFA.

Description of Aid:

Flow of Funds: Federal to State. Payment by State to vendors or providers on behalf of local government.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State or locality must provide services of equal value to the rent assistance.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	16,507,000*	NA	NA
SFY 97-98	4,008,000*	NA	NA
SFY 98-99	3,540,000*	NA	NA

*This amount represents reappropriated funds.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,481,666	NA	NA
SFY 97-98	NA	NA	NA

*Amounts disbursed are from multi-year Federal grants, portions of which involve capital funding which can be disbursed over a seven year period. The fiscal contact is available to provide information regarding HUD project disbursements.

SPECIAL EMPLOYMENT AND DIRECT SHELTERED WORKSHOP

Objective: Provides paid employment in order to promote the physical, social, psychological and vocational development of mentally ill patients.

Administering Agency: NYS Office of Mental Health; US Department of Health and Human Services

NYS Object Code: 64250, 64310, 64340¹

Year Established: 1977

Catalog of Federal Domestic Assistance No.: 13.992

Legal Authority:

Law: NY Mental Hygiene Law, Article 4, Section 39, NY Laws of 1990, Chapter 53; US Public Health Service Act, Title XIX, Part C

Regulation: 45 CFR Part 96

Program Contact:

Spencer Ryan
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 6th Floor
Albany, NY 12229
(518) 473-8561

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Requires contract with Office of Mental Health.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: One hundred percent of operating cost net of income earned.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,857,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	7,529,717***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

¹Refers to OMH Direct Sheltered Workshops, development and Federal block grants.

SUPPORT SERVICES TO CONSUMERS

Objective: Enhances and supports services to former recipient.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64320

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Darby Penney
Director
Recipient Affairs
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 473-6579

Fiscal Contact:

Jonathan Ragonese
Director
Budget and Fiscal Services
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-5968

Eligibility: Not-for-Profit Groups.

Type of Program and Special Restrictions: Recipient run.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

*This account is part of a consolidated appropriation of \$95,086,000.

**This account is part of a consolidated appropriation of \$88,053,000.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

SUPPORTED HOUSING

Objective: Develops permanent housing options through use of rent subsidies and service support funding to enable mentally ill individuals to be integrated into the community.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64320¹

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Counties and Private Non-Profit Groups. Must meet certain character and fiscal standards set out in OMH guidelines.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Funds limited to approved program, rent and development costs.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government, and by non-profit agency.

Type of Aid: Funding is a combination of Project Grant monies and Ongoing monies.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	120,649,000*	NA
SFY 98-99	NA	129,993,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	36,945,383***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$120,649,000.

** This program is appropriated as part of a lump sum appropriation of \$129,993,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$100,490,423.

¹Refers to OMH "other" funds.

SUPPORTED HOUSING PROGRAM: PERMANENT HOUSING FOR THE HANDICAPPED HOMELESS

Objective: Provides permanent housing and support services for homeless individuals and families who are chronically mentally ill.

Administering Agency: NYS Office of Mental Health; US Department of Housing and Urban Development

NYS Object Code: NA

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US PL 101-625

Regulation: 24 CFR Parts 577 and 588

Program Contact:

Robert Myers, Ph.D.
Housing and Rehabilitation Services
NYS Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: States may apply on behalf of not-for-profit sponsors. Indian tribes may also apply.

Type of Program and Special Restrictions: National competition in response to HUD Notice of Funding Availability (NOFA). Subject to mandated Federal requirements for reporting, environmental reviews and local match.

Action Required to Receive Aid: Competitive application for awards required in response to HUD NOFA.

Description of Aid:

Flow of Funds: Federal to State to Local Provider. Payment to State to vendors or providers on behalf of local government.

Type of Aid: Capital Grant, Operating and Support Service Grants for five years.

Formula: NA

Matching Requirement: Fifty percent match on Capital Grants. Twenty-five percent share on Operating and Support Service Grants in first two years; fifty percent in years three through five.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	9,989,000*	NA	NA
SFY 97-98	7,229,000	NA	NA
SFY 98-99	9,709,000	NA	NA

*This amount represents a reappropriated value.

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,072,989	NA	NA
SFY 97-98	NA	NA	NA

*Amounts disbursed are from multi-year Federal grants, portions of which involve capital funding which can be disbursed over a seven year period. The fiscal contact is available to provide information regarding HUD project disbursements.

SUPPORTIVE CASE MANAGEMENT (SCM)

Objective: Provides better coordination and accountability for assessment, management and delivery of case management, supportive services, health care and mental health services.

Administering Agency: NYS Office of Mental Health

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NA

Program Contact:

Robert Davis
 Program Specialist
 Operations Division
 NYS Office of Mental Health
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-1704

Fiscal Contact:

Norman Aldrich
 Contracts and Claims
 NYS Office of Mental Health
 44 Holland Avenue, 7th Floor
 Albany, NY 12229
 (518) 473-7885

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: Funding for SCM managers is subject to Regional program funding levels plus funding for services, which is calculated at \$6,000 per SCM with caseloads of 20 or 30 clients.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,194,000	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,041,116***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$95,086,000.

** This program is appropriated as part of a lump sum appropriation of \$88,053,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$95,023,948.

TECHNICAL ASSISTANCE

Objective: Assists local governments and eligible providers in planning for and providing quality mental health services in compliance with individual program requirements.

Administering Agency: NYS Office of Mental Health

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Contacts:

Central Office - (518) 474-0121

New York City Field Office (212) 675-3803

Bronx Children's Psychiatric Center - (718) 892-0808

Bronx Psychiatric Center - (718) 931-0600

Creedmoor Psychiatric Center - (718) 464-7500

Kingsboro Psychiatric Center - (718) 221-7700

Manhattan Psychiatric Center - (212) 369-0500

New York State Psychiatric Institute - (212) 960-2200

Queens Children's Psychiatric Center - (718) 464-2900

South Beach Psychiatric Center - (718) 667-2300

Field Office at Hutchings PC - (315) 473-4980

Binghamton Psychiatric Center - (607) 724-1391

Mohawk Valley Psychiatric Center - (315) 797-6800

Richard H. Hutchings Psychiatric Center - (315) 473-4980

St. Lawrence Psychiatric Center - (315) 393-3000

Field Office at Pilgrim PC - (516) 434-5135

Central Islip Psychiatric Center - (516) 234-6262

Kings Park Psychiatric Center - (516) 544-2957

Pilgrim Psychiatric Center - (516) 434-7500

Sagamore Children's Psychiatric Center - (516) 673-7700

Field Office at Buffalo PC - (716) 885-4219

Buffalo Psychiatric Center - (716) 885-2261

Elmira Psychiatric Center - (607) 737-4711

Rochester Psychiatric Center - (716) 473-3230

Western New York Children's Psychiatric Center - (716) 674-9730

Field Office at Hudson River PC - (914) 452-8000

Capital District Psychiatric Center - (518) 447-9611

Hudson River Psychiatric Center - (914) 452-8000

Middletown Psychiatric Center - (914) 342-5511

Nathan S. Kline Institute for Psychiatric Research - (914) 365-2000

Rockland Children's Psychiatric Center - (914) 359-7400

Rockland Psychiatric Center - (914) 359-1000

Forensic Facilities

Central New York Psychiatric Center - (315) 736-8271

Kirby Forensic Psychiatric Center - (212) 427-9003

Mid-Hudson Psychiatric Center - (914) 374-3171

Eligibility: Local Governments and Eligible Providers.

Action Required to Receive Aid: On request.

Description of Aid:

Technical assistance is provided for all agency programs as well as in areas such as quality assurance and planning. Funding for such technical assistance is made available, as appropriate, within the individual program resources or by use of personnel funded under the state purposes portion of the budget. Local governmental units and other eligible providers of mental health services should contact the appropriate OMH Regional Office (listed above) to arrange for technical assistance.

THERAPEUTIC NURSERIES

Objective: Provides outpatient treatment and support services to young children and their families.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64260

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NYCRR Parts 587 and 585

Program Contact:

Michael Zuber
Associate Commissioner
Bureau of Children & Families
NYS Office of Children & Families
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 473-6902

Fiscal Contact:

Norman Aldrich
Contracts and Claims
NYS Office of Mental Health
44 Holland Avenue, 7th Floor
Albany, NY 12229
(518) 473-7885

Eligibility: Local Governments and Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	11,156,000*	NA
SFY 98-99	NA	11,446,000**	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	282,000***	NA
SFY 97-98	NA	NA	NA

* This program is appropriated as part of a lump sum appropriation of \$11,156,000.

** This program is appropriated as part of a lump sum appropriation of \$11,446,000.

*** Total disbursed for all funding sources grouped under the appropriated amount (including this funding source) is: \$7,702,721

TRANSITIONAL CARE

Objective: Supports emergency funding to make payments necessary to maintain OMH eligible individuals in their residential placement on an emergency basis where circumstances temporarily prevent their transfer to adult services or placements.

Administering Agency: NYS Office of Mental Health

NYS Object Code: 64320

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 600; NY Mental Hygiene Law, 7.38(h)

Regulation: NA

Program Contact:

Donna Baker
Transitional Care Services
NY Office of Mental Health
44 Holland Avenue, 8th Floor
Albany, NY 12229
(518) 474-0122

Fiscal Contact:

Jonathan Ragonese
Director
Budget and Fiscal Services
NYS Office of Mental Health
44 Holland Avenue
Albany, NY 12229
(518) 474-5968

Eligibility: Private Not-for-Profit Groups.

Type of Program and Special Restrictions: NA

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the not-for-profit agencies.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	95,086,000*	NA
SFY 98-99	NA	88,053,000**	NA

*This program is appropriated as part of a lump sum appropriation of \$95,086,000.

**This program is appropriated as part of a lump sum appropriation of \$88,053,000.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

100% DAY TRAINING

Objective: Supports the development of programs for persons with developmental disabilities who do not require the intensive medical orientation of day treatment programs but do need substantive vocational, educational and habilitative services not provided in day treatment or sheltered workshop programs. Day programs for seniors with developmental disabilities are also included in this appropriation.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64690

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41

Regulation: 14 NYCRR Part 635

Program Contacts:

Thomas O'Brien
 Director
 Housing & Employment Initiatives
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-1973

Fiscal Contact:

Bill Murray
 Director
 Community Funding
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 402-4321

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for day training/senior services only.

Action Required to Receive Aid: Agreement between State Office of Mental Retardation and non-profit groups.

Description of Aid:

Flow of Funds: State to Non-Profits. Monies are provided on an advance basis via direct contract with State and non-profit provider.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,125,000	NA
SFY 97-98	NA	4,339,000	NA
SFY 98-99	NA	4,339,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,238,000	NA
SFY 97-98	NA	4,234,000	NA

ARTICLE 16 CLINICS

Objective: Provides habilitation type services to individuals with developmental disabilities.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Article 16

Regulation: NA

Program Contact:

Kathy Keating
Support Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 473-9697

Fiscal Contact:

Dennis Collins
Assistant Director
Revenue Support
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 402-4333

Eligibility: Non-Profit Groups.

Type of Program and Special Restrictions: Clinical treatment facilities. Must be certified in accordance with Article 16 of NYS Mental Hygiene Law.

Action Required to Receive Aid: Submission of a Certificate of Need in order to become a certified Article 16 clinic.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,259,000	4,517,000	4,250,000
SFY 97-98	9,840,000	5,904,000	3,910,000
SFY 98-99	10,201,000	6,121,000	5,417,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,529,000	4,517,000	4,250,000
SFY 97-98	9,840,000	5,904,000	3,910,000

CHAPTER 620 MENTAL RETARDATION SERVICES

Objective: Ensures adequate and appropriate community based services for mentally disabled clients who have been institutionalized in State developmental centers for five or more years and then released into the community.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64502

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41.18

Regulation: 14 NYCRR Part 635

Program Contacts:

Thomas O'Brien
 Director
 Housing & Employment Initiatives
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-1973

Fiscal Contact:

Bill Murray
 Director
 Community Funding
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 402-4321

Eligibility: Counties and Private Non-Profit Groups. Must have an approved local services plan.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for day services only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government. A portion of the funds are provided by direct contract with non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	24,656,000	NA
SFY 97-98	NA	23,830,000	NA
SFY 98-99	NA	23,830,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	24,205,000	NA
SFY 97-98	NA	23,962,000	NA

DIRECT SHELTERED WORKSHOPS (MENTAL RETARDATION)

Objective: Supports sheltered workshops for the mentally retarded and developmentally disabled.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64503

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41.39

Regulation: 14 NYCRR Part 635

Program Contacts:

Thomas O'Brien
Director
Housing & Employment Initiatives
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 473-1973

Fiscal Contact:

Bill Murray
Director
Community Funding
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 402-4321

Eligibility: Private Non-Profit Groups. Must have program certified by the State Office of Mental Retardation and Developmental Disabilities.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for sheltered workshops only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Non-Profit. Direct contract with non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	7,214,000	NA
SFY 97-98	NA	7,214,000	NA
SFY 98-99	NA	7,214,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	7,055,000	NA
SFY 97-98	NA	7,345,000	NA

FAMILY CARE/PERSONAL CARE

Objective: Supports clients residing in certified private homes. Also provides developmentally disabled individuals with health care and rehabilitation services needed to maintain them in a family living setting.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

NYS Object Code: 64404

Year Established: 1931

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Sections 31.03, 16.23

Regulation: 14 NYCRR Part 681

Program Contact:

Doris Mallory
 Statewide Family Care Coordinator
 Housing & Employment Initiatives
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-1973

Fiscal Contact:

Peter J. Brady
 Director
 MR Budget Services
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-6986

Eligibility: Family Care Providers and Voluntary Agencies sponsoring Family Care Programs. Family care homes must be certified by NYS OMRDD.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	20,763,000	23,858,000	NA
SFY 97-98	19,505,800	22,948,000	NA
SFY 98-99	19,505,800	22,948,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	15,809,500	22,585,000	NA
SFY 97-98	16,063,600	21,015,000	NA

FAMILY SUPPORT SERVICES AND HOME CARE

Objective: Strengthens the family's ability to care for a developmentally disabled family member in the home.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64408 and 64409

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1984, Chapter 461

Regulation: NA

Program Contact:

Andy Ulitsky
Director
Consumer and Family Supports
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 473-1890

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: Counties, Cities, Towns, Villages and Individual Family Units. Must be certified by OMRDD.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required. Application process uses a "business plan" approach. It requires filing a simple two-page request for application (RFA).

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Funding is based upon the number of eligible individuals living in counties served, amounts previously allocated, and need assessment as shown on the developmental disabilities profile (DDP) records.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	46,845,000	NA
SFY 97-98	NA	46,845,000	NA
SFY 98-99	NA	46,845,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	48,173,000	NA
SFY 97-98	NA	49,393,000	NA

HCBS WAIVER

Objective: Provides residential and employment and training opportunities to developmentally disabled persons.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13, 16 and 41. US Social Security Act, Section 1915(c)

Regulation: NA

Program Contact:

Gary Lind
Director
Policy Analysis
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-5647

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: Private Non-Profit Groups. Must be a certified Medicaid provider.

Type of Program and Special Restrictions: Medicaid waiver program.

Action Required to Receive Aid: Must be enrolled in the Medicaid waiver program.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement and are a combination of State and Federal monies.

Type of Aid: Funding is a combination of project grant monies and ongoing monies.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	98,130,000	98,130,000	NA
SFY 97-98	148,500,000	136,498,000	NA
SFY 98-99	178,000,000	158,771,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	139,742,491	139,742,491	NA
SFY 97-98	183,550,208	171,242,429	NA

HEPATITIS "B" PROGRAM

Objective: Provides reimbursement for the costs of shots and laboratory fees for employees whose occupational duties in OMRDD operated/certified programs or other work settings might possibly involve exposure to blood or other potentially infectious materials. New OSHA regulations (effective March 6, 1992) require each DDSO to establish bloodborne pathogen "exposure control plans" to ensure the safety of workers whose job duties could pose a hazard of bloodborne pathogen exposure.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64690

Year Established: 1986

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: 29 CFR Part 1910.1030, OSHA standards.

Program Contact:

NA

Fiscal Contact:

Peter J. Brady
 Director
 MR Budget Services
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-6986

Eligibility: Any employee of OMRDD operated/certified program(s) whose job duties might involve exposure to blood or other infectious materials.

Type of Program and Special Restrictions: Optional, if desired, shot costs/lab fees are paid by employer. (The employers are then eligible to apply for reimbursement from NYS OMRDD.)

Action Required to Receive Aid: A form must be filed with employer.

Description of Aid:

Flow of Funds: Provider agencies pay for inoculations and subsequently can apply to State for reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	435,000	NA
SFY 97-98	NA	435,000	NA
SFY 98-99	NA	435,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	235,000	NA
SFY 97-98	NA	435,000	NA

INDIVIDUALIZED SUPPORT SERVICES

Objective: Provides developmentally disabled individuals with supports and services to enable them to live independently in their own home/apartment.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: NA

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13 and 41.

Regulation: NA

Program Contact:

Thomas O'Brien
 Director
 Housing & Employment Initiatives
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-1973

Fiscal Contact:

Peter J. Brady
 Director
 MR Budget Services
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-6986

Eligibility: Non-Profit Groups and Developmentally Disabled Individuals.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: An individualized service plan, including a budget must be prepared.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: One-time or ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,935,000	NA
SFY 97-98	NA	6,935,000	NA
SFY 98-99	NA	6,935,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,735,000	NA
SFY 97-98	NA	6,935,000	NA

LONG TERM SHELTERED EMPLOYMENT

Objective: Provides employment on a regular basis to MR/DD individuals who, as a result of their disability, are unable to participate in the competitive labor market.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64690

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41

Regulation: 14 NYCRR Part 635

Program Contacts:

Thomas O'Brien
Director
Housing & Employment Initiatives
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 473-1973

Fiscal Contact:

Bill Murray
Director
Community Funding
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 402-4321

Eligibility: Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.
Must be used for sheltered workshops only.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Direct contract with non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	42,888,000	NA
SFY 97-98	NA	42,888,000	NA
SFY 98-99	NA	42,888,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	42,393,000	NA
SFY 97-98	NA	42,764,000	NA

MENTAL RETARDATION LOCAL & UNIFIED SERVICES

Objective: Supports the development of preventive, rehabilitative and treatment services; expansion of existing programs for the mentally ill, the mentally retarded, the developmentally disabled, and those suffering from alcoholism and substance abuse; and integration of community and State services and facilities for the mentally disabled.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64507

Year Established: 1954

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13.24 and 41.18

Regulation: 14 NYCRR Part 635

Program Contacts:

Thomas O'Brien
Director
Housing & Employment Initiatives
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 473-1973

Fiscal Contact:

Bill Murray
Director
Community Funding
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 402-4321

Eligibility: Counties. Must have an approved local services plan.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for day services only. For Unified Services funding, the Department of Mental Hygiene, directors of department facilities and local governments must jointly plan, deliver and pay for services.

Action Required to Receive Aid: Agreement between State Office of Mental Retardation and Developmental Disabilities and counties.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by the local government. A portion of the funds are provided by direct contract with non-profit provider and State.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Local Services: State 50%, Local 50%; Unified Services: Local match to State funds varies among the five Unified Services counties (Warren, Washington, Rockland, Rensselaer and Westchester).

Maintenance of Effort: NA

Amounts Appropriated:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	42,706,000	NA
SFY 97-98	NA	44,366,000	NA
SFY 98-99	NA	44,366,000	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	42,780,000	NA
SFY 97-98	NA	44,240,000	NA

*Since 1991-92, Local and Unified Services have been combined into one appropriation. The amounts reflect funds allocated to counties receiving local services funding.

MODEL VOUCHER PROGRAM (CARE-AT-HOME MODEL WAIVER)

Objective: Assists families with children 18 years of age and younger with severe disabilities and medical needs not covered by the State's regular Medicaid plan.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Laws of 1989, Chapter 729; US Social Security Act, Section 1915(c)

Regulation: NA

Program Contact:

Susan Grasso
Care at Home Coordinator
Waiver Unit
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, New York 12229
(518) 473-1973

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: Individual families directly through their DDSO office. Must meet eligibility requirements of age (under 18) and disability level.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. See OMRDD regulations.

Action Required to Receive Aid: Non-competitive application based on eligibility requirements.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Ongoing

Formula: Funding is based upon an individual plan of care developed jointly with the family, case manager and assessing nurse, in conjunction with the physician's order. There is a funding cap of \$9,000/month, (\$108,000 annually).

Matching Requirement: State 50%, Federal 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	933,000	933,000	NA
SFY 97-98	933,000	933,000	NA
SFY 98-99	933,000	933,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	716,000	716,000	NA
SFY 97-98	696,000	696,000	NA

MORTGAGE INTEREST SUBSIDY

Objective: Defrays the cost of interest paid on NYS Housing Finance Agency mortgages obtained by mental retardation and mental health services companies, if interest is paid by the provider as part of the rent to the service company.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64604

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1981, Chapter 412

Regulation: 14 NYCRR Part 681

Program Contact:

NA

Fiscal Contact:

Peter J. Brady
 Director
 MR Budget Services
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-6986

Eligibility: Private Non-Profit Groups. Must be paying interest on an HFA mortgage as part of a rental payment to a mental retardation or mental health services company.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies vary with each contract.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	190,000	NA
SFY 97-98	NA	180,000	NA
SFY 98-99	NA	170,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 95-96	NA	190,000	NA
SFY 96-97	NA	180,000	NA
SFY 97-98			

PRIVATE RESIDENTIAL SCHOOLS

Objective: Funds several Adult Private Residential Schools which serve adults with mental retardation and/or developmental disabilities.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: NA

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Carolyn Douville
Upstate Support
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-8652

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: Residential schools certified by NYS OMRDD serving adults with mental retardation and/or developmental disabilities.

Type of Program and Special Restrictions: See Eligibility.

Action Required to Receive Aid: Agreement between NYS OMRDD and not-for-profit school operators.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,558,000	NA
SFY 97-98	NA	4,750,000	NA
SFY 98-99	NA	4,750,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,558,000	NA
SFY 97-98	NA	4,750,000	NA

SUBCHAPTER A-DAY TREATMENT

Objective: Supplies the provision of day treatment services to severely disabled individuals in community-based settings.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services.

NYS Object Code: 64506

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 13.714

Legal Authority:

Law: NY Mental Hygiene Law, Article 16.05; US Social Security Act of 1935, Title XIX

Regulation: 14 NYCRR Part 690

Program Contact:

Thomas O'Brien
 Director
 Housing & Employment Initiatives
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-1973

Fiscal Contact:

Peter J. Brady
 Director
 MR Budget Services
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-6986

Eligibility: State Governments and Non-Profit Groups. Must be certified by NYS Office of Mental Retardation and Developmental Disabilities (OMRDD).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. See CFDA listing and OMRDD regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Based on clients' days of treatment. Reimbursement schedule varies by non-profit group.

Matching Requirement: Federal 50%, State 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	45,276,000	45,276,000	NA
SFY 97-98	54,794,000	54,794,000	NA
SFY 98-99	54,794,000	54,794,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	43,874,000	43,874,000	NA
SFY 97-98	51,942,000	51,942,000	NA

SUPPORTED WORK

Objective: Supports programs to serve mentally retarded persons over age 21, including the aging and other individuals living at home.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities

NYS Object Code: 64505

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Thomas O'Brien
 Director
 Housing & Employment Initiatives
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 473-1973

Fiscal Contact:

Peter J. Brady
 Director
 MR Budget Services
 NYS Office of Mental Retardation
 and Developmental Disabilities
 44 Holland Avenue
 Albany, NY 12229
 (518) 474-6986

Eligibility: Private Non-Profit Groups. Must offer day programming to underserved over age 21 including aging and other individuals living at home.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	31,468,000	NA
SFY 97-98	NA	32,882,000	NA
SFY 98-99	NA	32,882,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	30,725,000	NA
SFY 97-98	NA	33,823,000	NA

TRANSPORTATION FOR MEDICAL SERVICES

Objective: Supports the transportation of residents in family care and some residents of intermediate care facilities (ICF's) to emergency medical services and, for family care residents, to other needed non-emergency medical services.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services.

NYS Object Code: 64602

Year Established: 1985

Catalog of Federal Domestic Assistance No.: 13.714

Legal Authority:

Law: US Social Security Act of 1935, Title XIX

Regulation: NA

Program Contact:

Lisa Kagan
Associate Commissioner
Revenue Support
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 402-4315

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: For-Profit and Not-For-Profit Transportation Vendors. Also, for mileage reimbursement, family care providers.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Consult fiscal contact.

Matching Requirement: Federal 50%, State 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,194,000	12,194,000	NA
SFY 97-98	4,322,000	4,322,000	NA
SFY 98-99	4,322,000	4,322,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	7,502,279	NA
SFY 97-98	NA	5,821,755	NA

VOLUNTARY COMMUNITY RESIDENCES

Objective: Provides supervised and supportive living situations for developmentally disabled persons capable of living in the community in lieu of institutional placement. Also provides grants for program development.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

NYS Object Code: 64403

Year Established: 1967

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Articles 13 and 41

Regulation: NA

Program Contact:

NA

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: Private Non-Profit Groups. Must be a certified community residence provider.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Development funds are restricted by legislation, Article 41, Section 37 of the NY Mental Hygiene Law.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	73,354,000	86,214,000	NA
SFY 97-98	71,160,544	83,636,000	NA
SFY 98-99	74,742,565	87,846,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	68,165,179	81,741,852	NA
SFY 97-98	65,126,190	80,484,301	NA

VOLUNTARY OPERATED INTERMEDIATE CARE FACILITY (STATE SHARE)

Objective: Provides individuals in these facilities with room and board, continuous 24 hour-a-day supervision, professionally developed and supervised activities, experiences or therapies as developed for each individual by an interdisciplinary team.

Administering Agency: NYS Office of Mental Retardation and Developmental Disabilities; US Department of Health and Human Services

NYS Object Code: 64402

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 93.778

Legal Authority:

Law: NY Mental Hygiene Law, Article 41.44; US Social Security Act, Title XIX

Regulation: 14 NYCRR Part 681

Program Contact:

NA

Fiscal Contact:

Peter J. Brady
Director
MR Budget Services
NYS Office of Mental Retardation
and Developmental Disabilities
44 Holland Avenue
Albany, NY 12229
(518) 474-6986

Eligibility: Non-Profit Groups. Must be certified by NYS OMRDD.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Non-Profit Group. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Based on consumers days at the residence; reimbursement schedule varies by non-profit group.

Matching Requirement: Federal 50%, State 50% (Local share required in non-overburden cases)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>Local Tax Levy Funds*</u>
SFY 96-97	354,198,000	272,024,000	82,174,000
SFY 97-98	367,314,356	282,074,000	85,186,348
SFY 98-99	377,150,340	289,670,000	87,480,340

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>Local Tax Levy Funds*</u>
SFY 96-97	347,314,356	266,754,498	80,559,858
SFY 97-98	361,008,839	277,272,534	83,736,305

*Paid in first instance by localities with the majority of funds subsequently reimbursed by the State Department of Social Services to the localities through overburden legislation.

STATE AND COMMUNITY HIGHWAY SAFETY

Objective: Helps State and local governments to provide a coordinated highway system in order to reduce traffic accidents, deaths, injuries and property damage.

Administering Agency: NYS Governor's Traffic Safety Committee; US Department of Transportation; National Highway Traffic and Safety Administration

NYS Object Code: 69970

Year Established: 1966

Catalog of Federal Domestic Assistance No.: 20.600

Legal Authority:

Law: US Highway Safety Act of 1966

Regulation: NA

Program Contact:

Kenneth Carpenter
Executive Director
Governor's Traffic Safety Committee
6 Empire State Plaza, Room 414
Albany, NY 12228
(518) 474-5111

Fiscal Contact:

James Allen
Program Manager
Governor's Traffic Safety Committee
6 Empire State Plaza, Room 414
Albany, NY 12228
(518) 473-7753

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. State must have an approved highway safety program to receive Federal money. Local jurisdiction must establish a traffic safety board pursuant to Article 43 of NY Vehicle and Traffic Law and Article 16-A of NY Executive Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Monies may not be used to offset program expenditures mandated by law. At least 40 percent of Federal funds must be expended by local jurisdictions.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal share shall not exceed 75 percent.

Maintenance of Effort: Yes, negotiable.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,800,000	NA	NA
SFY 97-98	7,900,000	NA	NA
SFY 98-99	8,400,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	7,900,000	NA	NA
SFY 97-98	8,300,000	NA	NA

*Estimated expenditures.

STOP DWI

Objective: Protects those who use the roads of New York State from needless death, injury, and property damage resulting from drinking and driving, through the establishment of locally developed alcohol/highway safety programs.

Administering Agency: NYS Governor's Traffic Safety Committee

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Vehicle and Traffic Law, Sections 1803, 1197

Regulation: Part 172 of the Commissioner's Regulations

Program Contact:

Kenneth Carpenter
Executive Director
Governor's Traffic Safety Committee
6 Empire State Plaza, Room 414
Albany, NY 12228
(518) 474-5111

Fiscal Contact:

James Allen
Program Manager
Governor's Traffic Safety Committee
6 Empire State Plaza, Room 414
Albany, NY 12228
(518) 473-7753

Eligibility: Counties, Cities, Towns, Villages, School Districts and Private Non-Profit Groups. Monies must be used to develop/implement anti-DWI programs in enforcement, court activities, probation, rehabilitation, public information/education.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Monies must be for DWI interventions and cannot be used to duplicate efforts previously funded by State or municipal monies.

Action Required to Receive Aid: Annual STOP-DWI plan submitted to and approved by county governing body and the Commissioner of Motor Vehicles.

Description of Aid:

Flow of Funds: Fines collected in courts are directed to county fiscal officers for STOP-DWI programs. Monies are paid in advance of expenditures.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Program funding is from fines collected in courts and based on county calendar years.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>
SFY 96-97	NA	NA	20,700,000
SFY 97-98	NA	NA	20,800,000

*Proposed expenditures.

CLEAN WATER/CLEAN AIR BOND ACT

Objective: Provides grants to municipalities and not-for-profit corporations for the acquisition and development of Parks, Historic Preservation and the Heritage Areas System.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

NYS Object Code: 72900

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Clean Water/Clean Air Bond Act, Title 3, Article 56

Regulation: 9 NYCRR 430-434

Program Contact:

T. Kevin Burns
 Chief of Grants
 Bureau of Grants Management
 NYS Office of Parks, Recreation
 and Historic Preservation
 ESP, Agency Building 1, 16th Floor
 Albany, NY 12238
 (518) 474-0427

Fiscal Contact:

Peter Finn
 Director
 Bureau of Fiscal Management
 NYS Office of Parks, Recreation
 and Historic Preservation
 ESP, Agency Building 1, 19th Floor
 Albany, NY 12238
 (518) 474-6949

Joseph Grimaldi, Program Contact

Eligibility: State Agencies, Counties, Cities, Towns, Villages, School Districts, Public Benefit Corporations, Public Authorities and Non-Profit Groups. Park projects must be in accord with Statewide Comprehensive Outdoor Recreation Plan; historic preservation sites must be on a National or State Register of Historic Sites and Places; Heritage Areas must be within an UCP boundary and conform to approved UCP plan and contribute to program goals. All projects must be in accord with published regulations.

Type of Program and Special Restrictions: Funded projects are subject to mandated State requirements. In general, funds may not be used for operation or maintenance, or for facilities not open to the general public.

Action Required to Receive Aid: Competitive application for aid required, solicited annually.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State assistance is provided for up to 50 percent of total allowable project costs.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	350,000
SFY 97-98	NA	NA	5,000,000
SFY 98-99	NA	NA	9,850,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	514,500

ENVIRONMENTAL PROTECTION FUND

Objective: Provides grants to municipalities and not-for-profit corporations for the acquisition and development of Parks, Historic Preservation projects and the Heritage Areas System.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

NYS Object Code: 69813, 69814, 69815, 72900

Year Established: 1993

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Environmental Conservation Law, Environmental Protection Act, Title 9, Article 54

Regulation: 9 NYCRR 439-443

Program Contact:

T. Kevin Burns
Chief of Grants
Bureau of Grants Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 16th Floor
Albany, NY 12238
(518) 474-0427

Fiscal Contact:

Peter Finn
Director
Bureau of Fiscal Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 19th Floor
Albany, NY 12238
(518) 474-6949

Barbara Merritt, Program Manager

Eligibility: State Agencies, Counties, Cities, Towns, Villages, School Districts, Public Benefit Corporations, Public Authorities and Non-Profit Groups. Park projects must be in accord with Statewide Comprehensive Outdoor Recreation Plan; historic preservation sites must be on a National or State Register of Historic Sites and Places; Heritage Areas must be within an UCP boundary and conform to approved UCP plan and contribute to program goals. All projects must be in accord with published regulations.

Type of Program and Special Restrictions: Funded projects are subject to mandated State requirements. In general, funds may not be used for operation or maintenance, or for facilities not open to the general public.

Action Required to Receive Aid: Competitive application for aid required, solicited annually.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State assistance is provided for up to 50 percent of total allowable project costs.

Maintenance of Effort: Yes

Amounts Appropriated: SFY 1996-97 - \$8,550,000; SFY 1997-98 - \$8,750,000; SFY 1998-99 - \$9,100,000.

Amounts Reimbursed to Local Sponsors: SFY 1996-97 - \$77,775; SFY 1997-98 - \$1,124,445; SFY 1998-99, \$1,549,699.

**HISTORIC PRESERVATION FUND GRANTS-IN-AID
(CERTIFIED LOCAL GOVERNMENT
(CLG) SUBGRANTS)**

Objective: To assist Certified Local Governments (CLGs) with historic preservation projects, including identification, evaluation, nomination and protection of the community's cultural resources.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation; US Department of Interior

NYS Object Code: 69805

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 15.904

Legal Authority:

Law: National Historic Preservation Act, as amended.

Regulation: 36 CFR 61

Program Contact:

T. Kevin Burns
Chief of Grants
Bureau of Grants Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 16th Floor
Albany, NY 12238
(518) 474-0427

Fiscal Contact:

Peter Finn
Director
Bureau of Fiscal Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 19th Floor
Albany, NY 12238
(518) 474-6949

Lucy A. Breyer, CLG Coordinator

Eligibility: Counties, Cities, Towns, and Villages. To be eligible for awards, local governments must qualify for and enroll as CLGs. Requirements include enactment of a local law for designating and preserving historic properties and establishment of a commission to administer the law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. All funded projects must meet the Secretary of the Interior's Standards for Archaeology and Historic Preservation.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project grant.

Formula: NA

Matching Requirement: Federal 60%; State and Local combined 40%.

Maintenance of Effort: Local effort is encouraged but not required.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	85,000 (est.)	NA	NA
SFY 97-98	86,958	NA	NA
SFY 98-99	92,473	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	86,958	NA	NA
SFY 97-98	86,958	NA	NA

NAVIGATION LAW ENFORCEMENT

Objective: Supports local government enforcement of Navigation Law.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

NYS Object Code: 69802

Year Established: 1960

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Navigation Law

Regulation: 9 NYCRR, Subtitle I, Chapter V, Subchapter A

Program Contact:

Nelson K. Potter
 Director
 Marine and Recreational Vehicles
 NYS Office of Parks, Recreation
 and Historic Preservation
 ESP, Agency Building 1, 13th Floor
 Albany, NY 12238
 (518) 474-0445

Fiscal Contact:

Peter Finn
 Director
 Bureau of Fiscal Management
 NYS Office of Parks, Recreation
 and Historic Preservation
 ESP, Agency Building 1, 19th Floor
 Albany, NY 12238
 (518) 474-6949

Eligibility: Counties, Cities, Towns and Villages. For enforcement of the provisions of the Navigation Law, must have accident reporting systems and vessel related equipment theft programs approved by Commissioner.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures. Program cap: \$400,000.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State up to 75%, Local remainder

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,800,000	NA
SFY 97-98	NA	2,000,000	NA
SFY 98-99	NA	2,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,953,000	NA
SFY 97-98	NA	1,903,700	NA

RECREATIONAL TRAILS PROGRAM

Objective: Supports the acquisition, development, rehabilitation and maintenance of trails and trail-related projects.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation; US Department of Transportation, Federal Highway Administration

NYS Object Code: 69812

Year Established: 1991

Catalog of Federal Domestic Assistance No.: 20.219

Legal Authority:

Law: US Transportation Equity Act for the 21st Century (TEA-21 PL 105-178, June 9, 1998) authorized the Recreational Trails Program, codified in federal legislation, Section 206 of Title 23 United States Code (23 USC 206)

Regulation: 49 CFR Parts 18 and 19

Program Contact:

T. Kevin Burns
 Chief of Grants
 Bureau of Grants Management
 NYS Office of Parks, Recreation
 and Historic Preservation
 ESP, Agency Building 1, 16th Floor
 Albany, NY 12238
 (518) 474-0427

Fiscal Contact:

Peter Finn
 Director
 Bureau of Fiscal Management
 NYS Office of Parks, Recreation
 and Historic Preservation
 ESP, Agency Building 1, 19th Floor
 Albany, NY 12238
 (518) 474-6949

Marie Devery, Program Manager

Eligibility: State and Federal Government Agencies, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State; Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project grant of limited duration.

Formula: Statewide Comprehensive Recreation Plan Rating System.

Matching Requirement: Federal share no more than 80 percent of total allowable project costs. Federal project sponsors may provide their own funds as additional Federal share up to 95 percent of total allowable project costs.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	209,594	NA	NA
FFY 98	419,411	NA	NA
FFY 99	559,212	NA	NA

*Application cycle spring 1999 for \$874,808.

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	24,785	NA	NA
FFY 98	46,182	NA	NA

*Total projects.

SNOWMOBILE LAW ENFORCEMENT

Objective: Supports the enforcement of snowmobile safety to protect private and public property.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

NYS Object Code: 69803

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Parks, Recreation and Historic Preservation Law

Regulation: 9 NYCRR, Subtitle I, Chapter V, Subchapter B

Program Contact:

Nelson K. Potter
Director
Marine and Recreational Vehicles
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 13th Floor
Albany, NY 12238
(518) 474-0445

Fiscal Contact:

Peter Finn
Director
Bureau of Fiscal Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 19th Floor
Albany, NY 12238
(518) 474-6949

Eligibility: Counties, Cities, Towns and Villages. Must be able to enforce the provisions of the Parks, Recreation and Historic Preservation Law.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be used for snowmobile law enforcement expenditures.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated: Based upon a percentage of fees received for snowmobile registrations. All aid to localities from snowmobile appropriations is taken from one appropriation - Law Enforcement first - according to formula under Section 27.15 of the Parks, Recreation and Historic Preservation Law. Remainder is appropriation for trail development and maintenance.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	86,812
SFY 97-98	NA	NA	83,429

SNOWMOBILE TRAIL DEVELOPMENT AND MAINTENANCE

Objective: Provides financial assistance to counties for snowmobile trail development and maintenance.

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

NYS Object Code: 69816

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Parks and Recreation Law

Regulation: NYCRR, Chapter V, Subchapter A, Part 454

Program Contact:

Nelson K. Potter
Director
Marine and Recreational Vehicles
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 13th Floor
Albany, NY 12238
(518) 474-0445

Fiscal Contact:

Peter Finn
Director
Bureau of Fiscal Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 19th Floor
Albany, NY 12238
(518) 474-6949

Eligibility: Counties, Cities, Towns and Villages. Must be engaged in assisting development and maintenance of a snowmobile trail program system.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Funding generated from user registration fee is shared among applicants based on each applicant's share of the expenses of all applicants.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated: Based upon a percentage of fees received for snowmobile registrations. All aid to localities from snowmobile appropriations is taken from one appropriation - Law Enforcement first - according to formula under Section 27.15 of the Parks, Recreation and Historic Preservation Law. Remainder is appropriation for trail development and maintenance.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	770,182*
SFY 97-98	NA	NA	734,744*

*Payments are split between two appropriation years. Seventy percent of grant comes from one year, thirty percent paid out of next year's appropriation after April 1.

ZOOS, BOTANICAL GARDENS AND AQUARIUMS PROGRAM

Objective: Supports collections care and special projects at zoos, botanical gardens, aquariums, nature centers and other institutions with "living collections."

Administering Agency: NYS Office of Parks, Recreation and Historic Preservation

NYS Object Code: 69806

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Arts and Cultural Affairs Law, Section 55.07

Regulation: NA

Program Contact:

T. Kevin Burns
Chief of Grants
Bureau of Grants Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 16th Floor
Albany, NY 12238
(518) 474-0427

Fiscal Contact:

Peter Finn
Director
Bureau of Fiscal Management
NYS Office of Parks, Recreation
and Historic Preservation
ESP, Agency Building 1, 19th Floor
Albany, NY 12238
(518) 474-6949

Frederick Cohn, Program Manager

Eligibility: Counties, Cities, Towns, Villages and Non-Profit Groups. Must maintain a "living collection" for the education and enjoyment of the general public.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Restrictions apply per program guidelines and individual contract provisions. Consult program contact for details.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Monies must supplement existing funding, not replace it.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,951,600	NA
SFY 97-98	NA	4,951,600	NA
SFY 98-99	NA	4,951,600	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,871,314	NA
SFY 97-98	NA	4,699,134	NA

COMMUNITY PROGRAMS - DEMONSTRATION PROJECTS

Objective: Develops alternatives to incarceration which are acceptable to the community and the criminal justice system.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66603¹

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1982, Chapter 267

Regulation: NA

Program Contact:

Pamela Derrick
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5153

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties, City of New York and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	7,281,300	NA
SFY 97-98	NA	10,781,300	NA
SFY 98-99	NA	10,781,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,662,000	NA
SFY 97-98	NA	9,789,000	NA

¹Refers to local alternatives to incarceration and other programs.

INTENSIVE SUPERVISION PROGRAM

Objective: Provides a sentencing alternative to jail or prison bound probation eligible D and E class felons.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66602

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 54

Regulation: NY Division of Probation and Correctional Alternatives Rules and Regulations

Program Contact:

Steve Powers
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5149

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties, City of New York and Local Probation Offices.

Type of Program and Special Restrictions: Optional, without any State or Federal requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Division Rules and Regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	8,735,000	NA
SFY 97-98	NA	8,735,000	NA
SFY 98-99	NA	8,735,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	9,254,000	NA
SFY 97-98	NA	8,588,000	NA

JUVENILE INTENSIVE SUPERVISION PROBATION

Objective: Provides a sentencing alternative to juvenile delinquents for an offense other than a violent felony if such youth suffered from an alcohol or drug dependency at the time of the offense.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66611

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1995, Chapter 54

Regulation: NA

Program Contact:

Steve Powers
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5149

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties, City of New York and Local Probation Offices.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,500,000	NA
SFY 97-98	NA	3,000,000	NA
SFY 98-99	NA	1,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,308,000	NA
SFY 97-98	NA	1,198,000	NA

LOCAL ALTERNATIVES TO INCARCERATION

Objective: Aids the development of realistic and effective non-incarcerative programs.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66603¹

Year Established: 1984

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1984, Chapters 906 and 907

Regulation: NA

Program Contact:

Pamela Derrick
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5153

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties, City of New York and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in the division's rules and regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 50% State, 50% Local

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,588,000	NA
SFY 97-98	NA	6,588,000	NA
SFY 98-99	NA	6,588,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,856,000	NA
SFY 97-98	NA	5,553,000	NA

¹Refers to local alternatives to incarceration and other programs.

LOCAL ALTERNATIVES TO INCARCERATION DRUG & ALCOHOL PROGRAM

Objective: Aids the development of realistic and effective non-incarceration programs.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66607

Year Established: 1989

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 13A, Section 266

Regulation: NA

Program Contact:

Pamela Derrick
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5153

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties, City of New York and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in the Division's rules and regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 100% (Year 1); State 75%, Local 25% (Year 2); State 50%, Local 50% (Thereafter)

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,714,400	NA
SFY 97-98	NA	2,714,400	NA
SFY 98-99	NA	2,714,400	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,853,000	NA
SFY 97-98	NA	2,211,000	NA

LOCAL PROBATION SERVICES

Objective: Supports the maintenance and improvement of local probation services.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66601

Year Established: 1955

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 246

Regulation: NA

Program Contact:

Steve Powers
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5149

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties and City of New York. Requires an approved plan for services and an approved State aid application.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. May not be used for capital outlay or debt service costs for capital improvements. Other restrictions are listed in Part 360.3 of the Division's Rules and Regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: 50% State, 50% Local

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	54,971,000	NA
SFY 97-98	NA	53,971,000	NA
SFY 98-99	NA	56,303,600	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	54,971,000	NA
SFY 97-98	NA	53,971,000	NA

PROBATION ELIGIBLE DIVERSION

Objective: Provides incentive funding to implement community-based supervision and treatment programs designed to divert first time non-violent felony offenders from State prison.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66609

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1996, Chapter 54

Regulation: NA

Program Contact:

Steve Powers
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5149

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Counties, City of New York and Local Probation offices.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing subject to annual application.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,661,300	NA
SFY 97-98	NA	1,661,300	NA
SFY 98-99	NA	1,661,300	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	575,000	NA
SFY 97-98	NA	838,000	NA

SPECIAL ASSISTANCE FOR SUPERVISION AND TREATMENT OF OFFENDERS

Objective: Provides funding to New York City based programs that provide diversion from incarceration.

Administering Agency: NYS Division of Probation and Correctional Alternatives

NYS Object Code: 66610

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 54

Regulation: NA

Program Contact:

Pamela Derrick
Community Correction Representative
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5153

Fiscal Contact:

Howard R. Bancroft
Administrative Officer
NYS Division of Probation and
Correctional Alternatives
4 Tower Place
Albany, New York 12203-3764
(518) 485-5145

Eligibility: Not-for-Profit Organization through competitive bid.

Type of Program and Special Restrictions: Optional

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Not-for-Profit.

Type of Aid: Ongoing subject to annual application.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	950,000	NA
SFY 97-98	NA	950,000	NA
SFY 98-99	NA	950,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	833,000	NA
SFY 97-98	NA	822,000	NA

Public Employment Relations Board

PUBLIC EMPLOYMENT DISPUTES

(Technical Assistance)

Objective: Resolves disputes regarding the representation status of employees, assists in the resolution of disputes that arise in the course of collective bargaining and adjudicates improper practice charges concerning rights protected by the Taylor Law to unimpaired representation and collective bargaining over the terms and conditions of employment.

Administering Agency: NYS Public Employment Relations Board

NYS Object Code: NA

Year Established: 1967

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Civil Service Law (Taylor Law), Article 14

Regulation: Rules of the Public Employment Relations Board

Program Contact:

Michael R. Cuevas
Chairman
Public Employment Relations Board
80 Wolf Road, 5th Floor
Albany, NY 12205-2604
(518) 457-2578

Fiscal Contact:

Rosemarie V. Rosen
Executive Director
Public Employment Relations Board
80 Wolf Road, 5th Floor
Albany, NY 12205-2604
(518) 457-2578

Eligibility: Public employers and public employee organizations, as well as individual public employees, may request assistance. Mayoral agencies of the City of New York, except for police and fire impasse resolution, are excluded.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Forms available from agency for filing improper practice charges, dispute resolution and publication services.

Description of Aid:

Created by the Public Employees' Fair Employment Act (Taylor Law), the Public Employment Relations Board (PERB) has responsibility for ensuring cooperative relationships between State and local governments and their employees. The board provides administration of certain arbitration services and furnishes mediation and fact finding services to public employers and employee organizations, as well as a mechanism for resolving or deciding improper practices. Technical assistance to local governments is provided in establishing, structuring and utilizing joint labor-management committees. These committees meet to discuss and resolve issues of common interest which are normally outside the collective bargaining agreement. To assist in the understanding of the Taylor Law, the statutory provisions for collective bargaining and representation, and the rules and decisions of the board, PERB publishes a number of pamphlets and/or publications and conducts education and training programs regularly around the State. A publication list and fees and program schedules may be obtained by contacting PERB at (518) 457-2676.

REGULATION OF BINGO

(Technical Assistance Program)

Objective: Assists in the supervision, licensing and regulation of bingo and the conduct of bingo games.

Administering Agency: NYS Racing and Wagering Board

NYS Object Code: NA

Year Established: 1957

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Art. 14H; NY Executive Law, Article 19B

Regulation: 9 NYCRR Part 5800 et seq.

Program Contact:

Felix Hester
Assistant Counsel
NYS Racing and Wagering Board
1 Penn Plaza, 7th Floor
New York, NY 10119
(212) 290-4401

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: A request for technical assistance.

Description of Aid:

Assistance provided regarding the interpretation of the bingo licensing law, bingo control law and rules and regulations governing the conduct of bingo.

Comments:

Authorized organizations include bona fide religious, charitable, educational, fraternal, civic, service, veterans organizations and volunteer firefighters.

REGULATION OF GAMES OF CHANCE

(Technical Assistance Program)

Objective: Assists in the supervision, licensing and regulation of games of chance and the conduct of games of chance, including bell jar tickets and charitable raffles.

Administering Agency: NYS Racing and Wagering Board

NYS Object Code: NA

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Art. 9A

Regulation: 9 NYCRR Part 5600 et seq.

Program Contact:

Felix Hester
Assistant Counsel
NYS Racing and Wagering Board
1 Penn Plaza, 7th Floor
New York, NY 10119
(212) 290-4401

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns, Villages and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandate State requirements.

Action Required to Receive Aid: A request for technical assistance.

Description of Aid:

Assistance provided regarding the interpretation of the games of chance licensing law and the rules and regulations regarding the conduct of games of chance. In addition, effective January 1997, Racing and Wagering Board will be enforcing the new bell jar ticket compliance legislation on a statewide basis; this replaces the local municipal law enforcement mandate regarding bell jar ticket compliance.

Comments:

Authorized organizations include bona fide religious, charitable, educational, fraternal, civic, service, veterans organizations and volunteer firefighters.

CONSOLIDATION INCENTIVE AID

Objective: State assistance funds for NYS cities or towns or constituent municipalities of a consolidated assessing unit for efficiencies in Real Property Tax Administration.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Section 1572-a

Regulation: NA

Program Contact:

Clayton S. Ash
Real Property Analyst IV
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 473-8322

Fiscal Contact:

Same

Eligibility: Cities and Towns which coordinate assessing functions, form a consolidated assessing unit, or contract with the county for assessment services. County assessing units created after April 1, 1996 are eligible for State aid.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Municipalities that revert to separate assessing within ten years must return a prorated portion of the aid payment to the State.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Aid is based on assessment roll parcel count. A one-time payment of \$7.00 per parcel, with a maximum of \$140,000 per assessing unit.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	250,000	NA
SFY 97-98	NA	300,000	NA
SFY 98-99	NA	300,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	279,644	NA
SFY 97-98	NA	208,525	NA

EDUCATIONAL SERVICES

(Technical Assistance Program)

Objective: Educational Services administers the training and education program for local assessment officials. It also certifies that persons being considered for assessment administrator positions meet all qualifications. Some officials involved in courses of required training are eligible for reimbursement of expenses incurred in successful completion.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: NA

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Article 15-A

Regulation: 9 NYCRR 188

Program Contact:

Kathleen Gustafson
Director
Educational Services
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-1764

Fiscal Contact:

NA

Eligibility: County, city and town assessors; county directors of real property tax services, real property appraisers employed by local government for appraisal duties pertaining to assessment of real property for purposes of taxation; Board of Assessment Review members, support staff in offices of assessors and real property tax directors (if space is available).

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Completion of basic course of training for certification for county directors assessors, real property appraisers and members of Board of Assessment Review. County directors, sole elected and appointed assessors must also stay current with continuing education requirements.

Description of Aid:

All actual and necessary expenses incurred by assessors and county directors in satisfactorily completing the required courses for basic and continuing education are reimbursed upon application. Elected assessors are also reimbursed for continuing education courses that they choose to complete.

EMERGENCY FINANCIAL AID TO CERTAIN CITIES

Objective: Provides financial assistance to cities which are nearing their constitutional tax limits and/or have a large portion of exempt property.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: 60301

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-C

Regulation: NA

Program Contact:

David Williams
Equalization Rates Analyst
Equalization Support Services
Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-5665

Fiscal Contact:

NA

Eligibility: Cities with a population of less than one million but more than 125,000. Also, cities with population greater than 100,000 but less than 125,000 in which the assessed value of exempt property exceeds the assessed value of taxable property.

Type of Program and Special Restrictions: Mandated, required by State law or regulations.

Action Required to Receive Aid: Submission of certified assessed values and tax rates.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditure.

Type of Aid: Ongoing

Formula: Complex formula, see provisions in Section 54-C.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated and Disbursed: Not the responsibility of the Office of Real Property Services.

IMPROVED REAL PROPERTY TAX ADMINISTRATION

Objective: State assistance funds for NYS cities, towns and counties for improvements in Real Property Tax Administration.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: 60701

Year Established: 1977

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Article 15-B, Sections 202,504

Regulation: 9 NYCRR 192

Program Contact:

Clayton S. Ash
 Real Property Analyst IV
 NYS Office of Real Property Services
 Sheridan Hollow Plaza
 16 Sheridan Avenue
 Albany, NY 12210-2714
 (518) 473-8322

Fiscal Contact:

Same

Eligibility: Counties, Cities and Towns. All counties, cities and towns are eligible through compliance with the NYS Regulations 9 NYCRR 192 and 201 as required prior to certification for State aid.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: Aid is based on assessment roll parcel count, and types of improvements accomplished. Aid is paid in phases: \$3.00 per parcel is paid for an approved plan for collection and maintenance of real property valuation data; \$2.00 per parcel for implementation of the approved plan; \$2.00 per parcel for implementation of a system for preparing assessment and tax rolls, and tax bills; \$3.00 per parcel for implementing all of the above plus a system for tax accounting and full disclosure of property tax information. This program ended with assessment rolls filed in 1998. A new program began with the 1996 assessment rolls: \$5.00 per parcel in the year of a revaluation or update (only once in a three year period) and \$2.00 per parcel in other years.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,897,000	NA
SFY 97-98	NA	4,311,000	NA
SFY 98-99	NA	4,311,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,176,433	NA
SFY 97-98	NA	4,407,478	NA

LEGAL ADVICE AND COUNSEL

(Technical Assistance Program)

Objective: A resource of legal advice to assessing officials, other governmental officials, and attorneys on matters relating to the administration of the real property tax. Selected opinions on such matters raised in the past have been published and copies are available from Counsel's office. Depending on the nature of the issue involved, responses may be made immediately by phone, or in writing subsequent to appropriate degree of legal research and/or in writing subsequent to the appropriate degree of legal research and staff review.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: NA

Year Established: 1949

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Section 202-1(g)

Regulation: NA

Program Contact:

Richard Sinnott
Counsel
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210
(518) 474-6753

Fiscal Contact:

NA

Eligibility: State Government, Counties, Assessing Units, Taxing Jurisdictions, Public Authorities and Attorneys.

Type of Program and Special Restrictions: Optional, without any State mandated requirements.

Action Required to Receive Aid: Questions pertaining to the administration of the real property tax should be brought to the attention of the Office of Counsel by telephone, or preferably, by letter. Complex issues will require a written request or response.

Description of Aid:

Provides advice and counsel to officials and attorneys on matters relating to real property taxation.

REAL PROPERTY SYSTEM (RPS)

(Technical Assistance Program)

Objective: Software and technical assistance for real property tax administration at the municipal level.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: NA

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Article 15-c

Regulation: 9 NYCRR 190-5

Program Contact:

Dennis Jersey
Director
Real Property System
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210
(518) 473-1565

Fiscal Contact:

NA

Eligibility: County, City, Town and Village Assessing Units.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Persons interested in receiving, or finding out more information about this assistance should contact the Director of RPS, or the manager of the regional office nearest them. (Regional offices are located in Albany, Batavia, Melville, Newburgh and Syracuse.)

Description of Aid:

The NYS Real Property System is a set of manual and computerized procedures which function in concert to administer all elements of the real property tax in an orderly and efficient way. The system is supported by the Division's RPS Team and by staff in our regional offices. The RPS Team is responsible for computer software support and documentation. Regional staff work directly with local assessment officials and their staffs to improve administration of the property tax. In addition to computer software, assistance offered by our regional offices ranges from answering local questions to a two-to-three year commitment of assistance in a complete reassessment program.

REASSESSMENT PROJECT SUPPORT

(Technical Assistance Program)

Objective: The goal of a reassessment project is to assess all properties within the municipality at a uniform percentage of value. For those projects that are initial revaluation, the goal is to collect a complete and accurate inventory of all parcels in the municipality and then use this data to reassess all parcels at a uniform percent of value. Guidance and assistance to local municipalities is provided during these projects by staff regional offices.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contacts for Regional Customer Services Delivery:

Northern Region: Ms. Patricia Quinn, Regional Manager, NYS Office of Real Property Services, 16 Sheridan Avenue, 3rd Fl., Albany, NY 12210. Tel. (518) 486-4403; Fax (518) 486-7752.

Southern Region: Mr. Charles Aviza, Regional Manager, NYS Office of Real Property Services, 1437 Route 300, Newburgh, NY 12550. Tel. (914) 566-2205; Fax (914) 565-4054.

Central Region: Mr. Robert Gawrelski, Regional Manager, NYS Office of Real Property Services, 109 South Warren Street, Syracuse, NY 13202. Tel. (315) 471-2347; Fax (315) 471-3634.

Western Region: Mr. Vincent Smith, Regional Manager, NYS Office of Real Property Services, Genesee County Building No. 2, 3837 West Main Road, Batavia, NY 14020. Tel. (716) 343-6329; Fax (716) 343-9740.

Metro Region: Mr. Richard Zlokovitz, Regional Manager, NYS Office of Real Property Services, 560 Broadhollow Road, Melville, NY 11747. Tel. (516) 777-1785; Fax (516) 777-1859.

Eligibility: County, City, and Town Assessing Units. Village assessing units are not eligible.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Project support includes: preliminary planning and analysis; specification and proposals; project start up; data collection and file building; pre-valuation; valuation and field review; a standard set of GIS map products; assessment disclosure notice and informal hearings; approving the assessment unit; public relations and post-revaluation.

Comments:

Reassessment project support is designed to: (1) encourage municipalities without property inventory data toward compliance with minimum inventory filing; (2) encourage municipalities to revalue assessments where such activity is scarce; and (3) encourage municipalities to conduct regular assessment updates in order to maintain equity among taxpayers in the municipality.

SCHOOL TAX RELIEF EXEMPTION (STAR)

Objective: Provides aid to localities to defray costs of processing Real Property Tax Exemptions from school taxes for certain owners of residential property.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: 60703

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: 1997-98 and 1998-99 Executive Budget

Regulation: NA

Program Contact:

Robert W. Zandri
Real Property Analyst IV
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-8144

Fiscal Contact:

Stephen King
Financial Officer
NYS Office of Real Property Services
Sheridan Hollow Plaza
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-5762

Eligibility: Counties, Cities, Towns, Villages and School Districts.

Type of Program and Special Restrictions: Mandated, required by State law or regulation. No special restrictions.

Action Required to Receive Aid: Participation in administration of STAR program.

Description of Aid:

Flow of Funds: State to Local. Monies are provided in advance of expenditures by local government.

Type of Aid: Ongoing

Formula: Specific dollar amount per residential parcel (no minimum/maximum).

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	2,200,000	NA
SFY 98-99	NA	17,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,200,000	NA
SFY 97-98	NA	17,000,000	NA

STATE ADVISORY APPRAISALS OF HIGHLY COMPLEX PROPERTY

(Technical Assistance Program)

Objective: To aid localities in determining the taxable value of highly complex commercial, industrial, and utility properties, and other types of special property. Such assistance is associated with local revaluation projects.

Administering Agency: NYS Office of Real Property Services

NYS Object Code: NA

Year Established: 1970

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Real Property Tax Law, Sections 1544, 1546

Regulation: 9 NYCRR 195-2

Program Contact:

Donald Card
Assistant Director
State Assessment Services
NYS Office of Real Property Services
16 Sheridan Avenue
Albany, NY 12210-2714
(518) 474-1071

Fiscal Contact:

NA

Eligibility: County, City, and Town Assessing Units. Village assessing units are not eligible for this technical assistance.

Type of Program and Special Restrictions: Optional, without any State mandated requirements.

Action Required to Receive Aid: As outlined in Section 195-2 of the Rules, the request for a State advisory appraisal shall be in writing and shall be filed with the State Board by the chief executive officer or assessor of a city, town or county with the power to assess real property. The municipality must be conducting a revaluation or update project.

Description of Aid:

Determining taxable appraised values of highly complex commercial, industrial and utility properties for real property tax purposes.

Comments:

State advisory appraisals are not binding on the local assessor requesting this assistance.

APPALACHIAN REGIONAL DEVELOPMENT PROGRAM

Objective: To stimulate substantial public investments in public services and facilities that will attract investment in the State's Appalachian Region.

Administering Agency: NYS Department of State, Office for Local Government; US Appalachian Regional Commission

NYS Object Code: 69702

Year Established: 1965

Catalog of Federal Domestic Assistance No.: 23.001 et. seq.

Legal Authority:

Law: NY Executive Law, Article 6-B, Section 153; US Appalachian Regional Development Act of 1965, as amended

Regulation: Appalachian Regional Commission Code

Program Contact:

Roger A. Swanson
ARC Program Manager
NYS Department of State
Office for Local Government Services
41 State Street
Albany, New York 12231
(518) 474-8834

Fiscal Contact:

NA

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. Area of project impact must be in the 14 counties of Appalachian New York (Allegany, Broome, Cattaraugus, Chautauqua, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Steuben, Schuyler, Tioga and Tompkins).

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Project must implement one of 5 ARC Goals: 1 (Skills & Knowledge), including workforce training, basic skills/education, child care, and telecommunications; 2 (Physical Infrastructure), including water and waste system improvements, and local access roads, 3 (Community Capacity), including leadership projects and local government assistance demonstrations; 4 (Dynamic Local Economies), including business development and assistance projects and revolving loan fund recapitalization; and 5 (Health Care), including telemedicine projects and rural health projects. Special funding is also available to implement a Regional Initiative on Entrepreneurship.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: ARC 50%, Non-ARC 50% in most cases.

Maintenance of Effort: ARC funds cannot be used to replace sponsor funds in supporting an activity. Sponsor cannot reduce its financial contribution.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	2,657,000*	NA	NA
FFY 97-98	2,897,000*	NA	NA
FFY 98-99	2,914,000*	NA	NA

*The New York allocation for a given fiscal year is supplemented by recoveries from closed out projects.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	3,264,932	NA	NA
FFY 97-98	3,869,886	NA	NA

ARSON LABORATORY IMPROVEMENT

(Technical Assistance Program)

Objective: Reimburse municipal arson investigation laboratories for maintenance repairs of equipment provided by the Department of State for use in testing and analysis of arson evidence.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

NYS Object Code: 55950

Year Established: 1981

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 6-C

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746

Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754

Eligibility: Counties, Cities and New York State Police.

Type of Program and Special Restrictions: Optional, local choice. Must be used for replacement of laboratory equipment and supplies used in the testing and analysis of arson evidence.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

See Objective.

COASTAL MANAGEMENT AND LOCAL WATERFRONT REVITALIZATION

(Technical Assistance Program)

Objective: Provides technical assistance to local governments in the coastal area and along designated inland waterways regarding waterfront revitalization development and environmental issues.

Administering Agency: NYS Department of State; US National Oceanic and Atmospheric Administration, Department of Commerce

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: 11.419

Legal Authority:

Law: NY Executive Law, Article 42; US Coastal Zone Management Act of 1972, as amended

Regulation: 15 CFR Part 24; 19 NYCRR Part 601

Program Contact:

George Stafford
Director
Division of Coastal Resources
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6000

Fiscal Contact:

Same

Eligibility: Cities, Towns and Villages. Municipalities must be in designated coastal area, or if an inland waterway, they must be located along a designated waterway. Municipalities must be preparing or implementing Local Waterfront Revitalization Programs.

Type of Program and Special Restrictions: Optional, but if in a coastal area, once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Request must be made to the Department of State.

Description of Aid:

See Objective.

COMMUNITY SERVICES BLOCK GRANT

Objective: Supports activities having a measurable and potential major impact on poverty and including employment, education, health, housing, and nutrition services for low income individual families. Intended to meet emergency needs of the poor, and to encourage the private sector to participate in the amelioration of poverty.

Administering Agency: NYS Department of State; US Department of Health and Human Services

NYS Object Code: 69703

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.569

Legal Authority:

Law: NY Executive Law, Article 6-D; US PL 105-285 [42 USC 9901 et.seq.]

Regulation: 45 CFR 96

Program Contact:

Evelyn Harris
 CSBG Program Director
 Division of Community Services
 NYS Department of State
 41 State Street, 11th Floor
 Albany, New York 12231
 (518) 474-5741

Fiscal Contact:

Kimberly Landry
 Director
 Fiscal Management
 NYS Department of State
 41 State Street, 10th Floor
 Albany, New York 12231
 (518) 474-2754

Eligibility: Formally designated Community Action Agencies and Programs and Migrant Seasonal Organizations, Indian Tribes/Tribal Organizations.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Eligible entities are awarded a minimum of 90 percent of the funds. No more than five percent is used for administration at the State level. Indian tribes are awarded .50 percent and the remainder is used for training and technical assistance to the eligible entities and for disaster relief.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Eligible Entities (Pass-through). Periodic advance payments are made subsequent to a contract.

Type of Aid: Annual

Formula: Based on proportion of Federal funds received in 1981.

Matching Requirement: Federal 75%, Local 25%

Maintenance of Effort: Contractual.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	42,440,909	NA	NA
FFY 98	42,564,494	NA	NA
FFY 99	43,122,080	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 97	42,748,595	NA	NA
FFY 98	42,729,778	NA	NA

FINANCIAL AID FOR FIRE PREVENTION AND BUILDING CODE ENFORCEMENT

Objective: Provides financial aid to local governments in support of fire prevention and building code enforcement activities.

Administering Agency: NYS Department of State

NYS Object Code: 69704

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-g, NY Executive Law, Section 380

Regulation: 19 NYCRR 433

Program Contact:

George Clark
Director
Codes Division
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-4073

Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754

Eligibility: Counties, Cities, Towns and Villages.

Type of Program and Special Restrictions: Mandated, required by State law or regulations in compliance with 19 NYCRR Part 433.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Payment provided to local governments meeting minimum standards for code enforcement.

Type of Aid: Ongoing

Formula: Based on local population in proportion to State population and local assessed value in proportion to total State assessed value.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

*No new funding has been provided for this program.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

FIRE MOBILIZATION AND MUTUAL AID

Objective: Provides advances to municipalities for expenses incurred under the State's fire mobilization and mutual aid plan.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

NYS Object Code: 69710

Year Established: 1975

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746

Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754

Eligibility: Counties, Cities, Towns, Villages, Special Districts and Volunteer Fire Departments.

Type of Program and Special Restrictions: Optional, local choice. Reimbursement of expenses incurred during an emergency declared under the State's Fire Mobilization and Mutual Aid plan.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	11,000
SFY 97-98	NA	NA	11,000
SFY 98-99	NA	NA	11,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

FIRE SUPERVISOR TRAINING

Objective: Reimburses expenses incurred by the New York City Fire Academy in the training of first line paid fire department supervisors.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

NYS Object Code: 69711

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Section 209X

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746

Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754

Eligibility: City of New York Fire Academy.

Type of Program and Special Restrictions: Optional. Must be used for training of first line supervisors of paid fire departments.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	500,000
SFY 97-98	NA	NA	700,000
SFY 98-99	NA	NA	700,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	500,000
SFY 97-98	NA	NA	700,000

FIRES ON STATE PROPERTY

Objective: Reimburses fire departments for specific costs incurred while responding to a fire on property under the jurisdiction of the State of New York.

Administering Agency: NYS Department of State, Office of Fire Prevention and Control

NYS Object Code: 69709

Year Established: 1978

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Section 54-E, NY Laws of 1978, Chapter 741

Regulation: NA

Program Contact:

James A. Burns
State Fire Administrator
Office of Fire Prevention and Control
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6746

Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-2754

Eligibility: Counties, Cities, Towns, Villages, Special Districts and Volunteer Fire Departments.

Type of Program and Special Restrictions: Optional. Reimbursement for fire fighting costs incurred while on State property. All claims are held until end of year; disbursements are then pro-rated to the extent of funds available. State reimburses an amount up to 100 percent of that portion of firefighting expenses that exceed an initial amount of \$250.00.

Action Required to Receive Aid: Non-competitive application for reimbursement required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	25,000
SFY 97-98	NA	NA	25,000
SFY 98-99	NA	NA	25,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

HOME PAGE ON WORLD WIDE WEB

(Technical Assistance Program)

Objective: Provides information about services, programs and technical assistance available to the business community, local officials, community leaders, and citizens from the following departments of the Department of State: Fire Prevention and Control, Codes, Corporations, Uniform Commercial Code and State Records, Information Services, Committee on Open Government, Athletic Commission, Local Government Services, Regional Affairs, Licensing Services, Cemeteries, Community Services, Coastal Resources and Waterfront Revitalization, and Ethics Commission.

Administering Agency: NYS Department of State

NYS Object Code: NA

Year Established: 1995

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Scott Trent
Director
Office of Public Information
NYS Department of State
41 State Street
Albany, NY 12207
(518) 474-4752
E-Mail - info@dos.state.ny.us

Fiscal Contact:

NA

Eligibility: Local Governments, Non-Profit Groups, Educational Institutions, Businesses and some Licensed Professionals and the Legal Community.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: NA

Description of Aid:

From the divisions listed above (where applicable), services include training and exam information; publications and law booklets; information about the *New York State Register* and the *Official Compilation of Codes, Rules and Regulations of the State of New York*; a local laws database; opinions information; professional licensing, regulatory, and filing information; grants; and press releases.

Comments:

The Department of State Home Page is located on the Internet at <http://www.dos.state.ny.us>. We may be reached at our new e-mail address: info@dos.state.ny.us. For those without the necessary equipment to access the Internet and our site, you may check the following locations for public access: libraries, local colleges, BOCES, local government offices and schools. If you have questions about site content or access, please call (518) 474-4752, e-mail to the address given above, or write to the program contact.

LOCAL WATERFRONT REVITALIZATION

Objective: Provides financial assistance to waterfront municipalities for the preparation and implementation of Local Waterfront Revitalization Programs (LWRP).

Administering Agency: NYS Department of State

NYS Object Code: NA

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Article 42; NY Environmental Protection Fund, Title 11

Regulation: 19 NYCRR Part 601

Program Contact:

George Stafford
Director
Division of Coastal Resources
NYS Department of State
41 State Street
Albany, New York 12231
(518) 474-6000

Fiscal Contact:

Same

Eligibility: All municipalities are eligible to prepare and submit an LWRP to the Secretary of State for approval. All municipalities within the State's designated coastal area are eligible for grant assistance when funds are available. Financial assistance to inland municipalities is limited to those along designated waterways.

Program and Special Restrictions: Optional, but once chosen subject to mandated State and/or State and Federal requirements. For eligible communities, funds are restricted to uses which further development or implementation of Local Waterfront Revitalization Programs.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: A 50% local match is required.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	4,500,000	NA
SFY 97-98	NA	8,975,000	NA
SFY 98-99	NA	5,600,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,526,536	NA
SFY 97-98	NA	717,317	NA

TECHNICAL ASSISTANCE PROGRAM (CODES DIVISION)

Objective: Provides information and guidance regarding general requirements for enforcement of the Uniform Fire Prevention and Building Code.

Administering Agency: NYS Department of State

NYS Object Code: NA

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 381

Regulation: 19 NYCRR 441 and 444

Program Contact:

George Clark
Director
Codes Division
NYS Department of State
41 State Street
Albany, NY 12231
(518) 474-4073

Fiscal Contact:

Kimberly Landry
Director
Fiscal Management
NYS Department of State
41 State Street
Albany, NY 12231
(518) 474-2754

Eligibility: State Agencies, Counties, Cities, Towns, Villages and the General Public.

Type of Program and Special Restrictions: Requesting technical assistance is optional.

Action Required to Receive Aid: Assistance may be requested from a local regional office. For the address and number of the office that serves your area, call (518) 474-4073.

Description of Aid:

Assistance to local code enforcement personnel including answering specific code questions and assisting with site inspections; assistance to local government officials in establishing or making improvements in code enforcement programs; assistance providing technical and code related information to contractors, architects, engineers, manufacturers and the general public.

Comments:

The Codes Technical Assistance Program provides a variety of services utilizing central office and field based staff. Most technical assistance is directed to specific technical or regulatory questions concerning individual construction projects. Telephone contacts primarily concern technical applications of the code and regulations for its administration and enforcement personnel with plan reviews and inspections. Staff makes frequent presentations to interested groups and regularly attend meetings of regional New York State Building Officials Conference.

ADDITIONAL FINANCIAL ASSISTANCE TO CERTAIN MUNICIPALITIES

Objective: Provides additional financial assistance to certain municipalities.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: 60990

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 53

Regulation: NA

Program Contact:

Pamela Corentto
Central Monitoring Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0006

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action for aid required, automatic payment.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	\$29,396,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

*Officially designated in New York State statute as the Department of Audit and Control.

EMERGENCY FINANCIAL ASSISTANCE TO ELIGIBLE MUNICIPALITIES

Objective: Provides emergency financial assistance to eligible municipalities.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: 60302

Year Established: 1980

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 50

Regulation: NA

Program Contact:

Pamela Corentto
Central Monitoring Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0006

Fiscal Contact:

NA

Eligibility: Counties and Cities. Population is used to determine eligibility.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Specific amount earmarked for payment of costs relating to annual debt service requirements and rental payments on certain locally constructed convention centers.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	20,813,745	NA
SFY 97-98	NA	20,813,745	NA
SFY 98-99	NA	20,813,745	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	20,813,745	NA
SFY 97-98	NA	20,813,745	NA

Comments: The amounts above do not include an annual advance that Yonkers receives under this program and which is later repaid by Yonkers from sales tax receipts.

*Officially designated in New York State statute as the Department of Audit and Control.

EMERGENCY FINANCIAL ASSISTANCE TO ELIGIBLE MUNICIPALITIES - ADDITIONAL

Objective: Provides additional emergency financial assistance to eligible municipalities.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: 60303

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 50

Regulation: NA

Program Contact:

Pamela Corentto
Central Monitoring Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0006

Fiscal Contact:

NA

Eligibility: Cities. Population is used to determine eligibility.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action for aid required, automatic payment.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	40,543,000	NA
SFY 97-98	NA	49,293,000	NA
SFY 98-99	NA	49,293,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	40,543,000	NA
SFY 97-98	NA	49,293,000	NA

*Officially designated in New York State statute as the Department of Audit and Control.

FAST: FISCAL AWARENESS STRATEGIES TEAM

(Technical Assistance Program)

Objective: Provides an early warning system to identify local governments experiencing fiscal stress conditions and offers services aimed at dealing with causes of fiscal stress.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: NA

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Constitution, Article V, Section 1; NY General Municipal Law, Article 3

Regulation: NA

Program Contact:

Wallace G. Donnelly
Director
Fiscal Awareness Strategies Team (FAST)
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-5065
E-mail: wdonnelly@osc.state.ny.us

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Service is offered by the OSC. Local government officials give approval to receive service.

Description of Aid:

FAST provides a variety of services related to identifying and assisting local governments with financial condition problems. These include: financial analysis of local governments; training to help local officials recognize, cope with and recover from fiscal stress; technical assistance via customized on-site help to municipalities; budget reviews; performance services, i.e., examining local government operations to assess performance and improve economy and efficiency, \$MART Reviews to find ways to cut costs/maximize revenues without property tax increases; and reviews of financial conditions and fiscal operations to identify potential improvement opportunities.

*Officially designated in New York State statute as the Department of Audit and Control.

GENERAL PURPOSE LOCAL GOVERNMENT AID

Objective: Provides general purpose revenue for unrestricted use of cities, towns and villages in New York State.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: 60101

Year Established: 1965

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 50

Regulation: NA

Program Contact:

Pamela Corentto
Central Monitoring Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0006

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages. Funds are available for any municipalities that received funding during the prior State fiscal year.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

Flow of Funds: State to Local. Payments are made on a scheduled basis.

Type of Aid: Annual Appropriation

Formula: Percentage of prior year payments.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	749,200,000	NA
SFY 97-98	NA	770,200,000	NA
SFY 98-99	NA	770,200,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	749,097,408	NA
SFY 97-98	NA	763,204,635	NA

Comments: Beginning in State fiscal 1991-92, the four subprograms; "Per Capita Aid," "Excess Aid," "Needs Based Aid" and "Special City, Town, Village Aid" were merged to form a single "State Revenue Sharing Aid" program.

*Officially designated in New York State statute as the Department of Audit and Control.

PUBLICATIONS DISTRIBUTED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides a wide variety of reference and instructional publications on topics of interest and concern to local officials/associations and the general public.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: NA

Year Established: The Comptroller's "Special Report on Municipal Affairs" has been published annually since 1907. Other publications have various establishment dates.

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Comptroller's "Special Report on Municipal Affairs" authorized by NY General Municipal Law, Section 37. (Other publications may have various legal authorizations.)

Regulation: NA

Program Contact:

Stephen Fountain
Senior Research Analyst
Infotech-Publishing
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 474-6858

Fiscal Contact:

NA

Eligibility: Eligibility restrictions do not apply.

Type of Program and Special Restrictions: The Division of Municipal Affairs maintains approximately 20 reference publications, nine accounting systems for various types of local government entities, and three accounting procedure manuals.

Action Required to Receive Aid: Many publications are routinely distributed to municipalities. All publications are available to the public upon request.

Description of Aid:

Many publications provide a detailed explanation of the legal and accounting procedures to be followed by local offices. Other publications provide comprehensive statistical and financial data on thousands of municipal entities in the State.

Comments: A publications request form may be obtained by contacting the OSC at the address/telephone number shown above.

*Officially designated in New York State statute as the Department of Audit and Control.

\$SMART: STATE COMPTROLLER'S MUNICIPAL ADVISORY REVIEW TEAMS

(Technical Assistance Program)

Objective: Helps local governments find ways to become more efficient by maximizing revenues without tax increases and minimizing expenses while still providing necessary government services.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Constitution, Article V, Section 1; NY General Municipal Law, Article 3

Regulation: NA

Program Contact:

Cole Hickland
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 486-6535

Fiscal Contact:

NA

Eligibility: All New York State municipalities, school districts, special districts and other local government entities may apply for this program.

Type of Program and Special Restrictions: \$SMART is a voluntary, cooperative program. A \$SMART review must be requested by the governing board of the municipal entity. During a \$SMART review, OSC staff works with local officials to review areas of operation chosen by the local government and develops specific recommendations for the Board's consideration.

Action Required to Receive Aid: Participation in \$SMART is initiated by the adoption of a resolution by the governing board of the applicant, and completion of a one page application.

Description of Aid:

OSC, with the assistance of the local government's staff, reviews specific areas of operation to determine where revenues can be enhanced and costs reduced or contained. Current areas include: payroll and personal service costs; intermunicipal cooperation; user fees; cash management; purchasing, debt refinancing, solid waste, utilities and highways.

*Officially designated in New York State statute as the Department of Audit and Control.

SPECIAL FINANCIAL ASSISTANCE TO CERTAIN MUNICIPALITIES

Objective: Provides additional financial assistance to certain municipalities.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: 60304

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1998, Chapter 50

Regulation: NA

Program Contact:

Pamela Corentto
Central Monitoring Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0006

Fiscal Contact:

NA

Eligibility: Cities, Towns and Villages

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: No action for aid required, automatic payment.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Annual Appropriation

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	26,665,002	NA
SFY 98-99	NA	29,895,002	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	26,665,002	NA

*Officially designated in New York State statute as the Department of Audit and Control.

TECHNICAL ASSISTANCE IN FINANCIAL AND ACCOUNTING MATTERS OFFERED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides technical assistance to local officials in conducting the everyday duties and responsibilities of their office.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY General Municipal Law, Article 3

Regulation: NA

Program Contacts: Program contacts are through OSC regional offices in the following locations:

Buffalo - Office of the State Comptroller, Ellicott Square Building, 295 Main Street, Room 1050, Buffalo, NY 14203; Phone (716) 847-3647; Fax (716) 847-3643; E-Mail: Muni-Buffalo@osc.state.ny.us. Serving: Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans, Wyoming Counties.

Rochester - Office of the State Comptroller, The Powers Building, 16 West Main Street, Suite 522, Rochester, NY 14614; Phone (716) 454-2460; Fax (716) 454-3545; E-Mail: Muni-Rochester@osc.state.ny.us. Serving: Cayuga, Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne, Yates Counties.

Syracuse - Office of the State Comptroller, State Office Building, Room 409, 333 East Washington Street, Syracuse, NY 13202; Phone (315) 428-4192; Fax (315) 426-2119; E-Mail: Muni-Syracuse@osc.state.ny.us. Serving: Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence Counties.

Binghamton - Office of the State Comptroller, State Office Building, Room 1701, 44 Hawley Street, Binghamton, NY 13901-4417; Phone (607) 721-8306; Fax (607) 721-8313; E-Mail: Muni-Binghamton@osc.state.ny.us. Serving: Broome, Chenango, Cortland, Delaware, Otsego, Sullivan, Tioga, Tompkins Counties.

Glens Falls - Office of the State Comptroller, One Broad Street Plaza, Glens Falls, NY 12801; Phone (518) 793-0057; Fax (518) 793-5797; E-Mail: Muni-GlensFalls@osc.state.ny.us. Serving: Clinton, Essex, Franklin, Fulton, Hamilton, Montgomery, Rensselaer, Warren, Washington Counties.

Albany - Office of the State Comptroller, 22 Computer Drive West, Albany, NY 12205; Phone (518) 438-0093; Fax (518) 438-0367; E-Mail: Muni-Albany@osc.state.ny.us. Serving: Albany, Columbia, Dutchess, Greene, Orange, Putnam, Saratoga, Schenectady, Schoharie, Ulster Counties.

Hauppauge - Office of the State Comptroller, State Office Building, 3rd Floor, Room 3A-10; Veterans Memorial Highway, Hauppauge, NY 11788-5533; Phone (516) 952-6534; Fax (516) 952-6530; E-Mail: Muni-Hauppauge@osc.state.ny.us. Serving: Nassau, Rockland, Suffolk, Westchester Counties.

Eligibility: Technical assistance is available to all local officials throughout the State.

Type of Program and Special Restrictions: None

Action Required to Receive Aid: Local officials receive technical assistance in financial, accounting and procedural matters through telephone contacts, by way of the Internet, through on-site visits, and through participation in periodic training seminars at various locations throughout the State.

Description of Aid:

OSC staff provide guidance, clarification and instruction to local officials in areas of finance, accounting and procedural matters.

*Officially designated in New York State statute as the Department of Audit and Control.

TRAINING FOR LOCAL GOVERNMENT OFFICIALS AND ORGANIZATIONS OFFERED BY THE OFFICE OF THE STATE COMPTROLLER

(Technical Assistance Program)

Objective: Provides training for local government officials in areas regarding their daily responsibilities for municipal operations such as fiscal management, economy and efficiency, accounting procedures, purchasing and legal issues.

Administering Agency: NYS Office of the State Comptroller*

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Sandra Powell
Training Coordination Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0005

Teleconference Contact:

June F. O'Neill
Intergovernmental Affairs Unit
Division of Municipal Affairs
NYS Office of the State Comptroller
Alfred E. Smith Office Building, 10th Floor
Albany, NY 12236
(518) 473-0005

Eligibility: Training is available to all local officials representing cities, counties, towns, villages, school and fire districts.

Type of Program and Special Restrictions: Training is available in areas dealing primarily with local government fiscal operations. This includes fiscal management, economy and efficiency, accounting procedures, purchasing and legal issues.

Action Required to Receive Aid: Local officials are invited to attend training offered by OSC staff through periodic publications such as the quarterly "Municipal Affairs" newsletter, direct mail, on-site visits and through working with statewide and regional local official organizations. Training can also be initiated by a request to OSC from one or more local officials or organizations. A calendar of local official training is maintained on OSC's website: <http://www.osc.state.ny.us>.

Description of Aid:

Training is offered in a variety of formats including: several annual "schools" for local officials, periodic instruction programs in double-entry accounting, regional seminars and workshops, customized presentations for specific local governments, and OSC participation in statewide and regional conferences. The most recent addition to the OSC training program has been the use of live, interactive, statewide satellite teleconferences. Videotapes of these two hour evening programs are available for \$15 which includes handout materials, postage and handling. A complete list of OSC publications and teleconference videos is available upon request or by visiting OSC's website: <http://www.osc.state.ny.us>. OSC staff are also available upon request as speakers at other meetings and seminars.

Comments: Questions or requests about training opportunities should be directed to OSC's Training Coordination unit at the address and telephone number shown above.

*Officially designated in New York State statute as the Department of Audit and Control.

DISASTER ASSISTANCE

Objective: Alleviates hardship and suffering resulting from emergencies or major disasters declared by the President.

Administering Agency: NYS State Emergency Management Office; US Federal Emergency Management Agency

NYS Object Code: 69922

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 83.516

Legal Authority:

Law: US Disaster Relief Act of 1974, PL 93-288

Regulation: 44 CFR Part 205

Program Contact:

Edward F. Jacoby, Jr.
 Director
 NYS Emergency Management Office
 Public Security Building 22, Ste. 101
 1220 Washington Avenue
 Albany, NY 12226-2251
 (518) 457-2222

Fiscal Contact:

John A. Agostino
 Grants Manager
 NYS Emergency Management Office
 Public Security Building 22, Ste. 101
 1220 Washington Avenue
 Albany, NY 12226-2251
 (518) 457-9994

Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Private Non-Profit Groups. Must be in a designated emergency or major disaster area.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements. Must be used for eligible projects as approved by Federal and State disaster officials.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 75%, State 12.5%, Local 12.5%

Maintenance of Effort: Completion of work projects.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	126,621,859	38,305,800	NA
SFY 98-99	108,500,000	1,694,200	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	28,026,303	5,430,084	NA
SFY 97-98	66,392,494	3,351,513	NA

EMERGENCY MANAGEMENT ASSISTANCE

Objective: Develops effective emergency management organizations at the State and local levels to plan for and coordinate activities in the event of an emergency.

Administering Agency: NYS State Emergency Management Office; US Federal Emergency Management Agency

NYS Object Code: 69920

Year Established: 1950

Catalog of Federal Domestic Assistance No.: 83.503

Legal Authority:

Law: US Federal Civil Defense Act of 1950, PL 81-920

Regulation: 44 CFR Part 302

Program Contact:

Edward F. Jacoby, Jr.
 Director
 NYS Emergency Management Office
 Public Security Building 22, Ste. 101
 1220 Washington Avenue
 Albany, NY 12226-2251
 (518) 457-2222

Fiscal Contact:

John A. Agostino
 Grants Manager
 NYS Emergency Management Office
 Public Security Building 22, Ste. 101
 1220 Washington Avenue
 Albany, NY 12226-2251
 (518) 457-9994

Eligibility: State Governments, Counties and Cities. Must have a Civil Defense organization established by law, with approved plans and merit system for administrative personnel.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Based on base amount, prior year funding and population.

Matching Requirement: Federal 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,665,300	NA	NA
SFY 97-98	2,737,000	NA	NA
SFY 98-99	2,665,230	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,544,251	NA	NA
SFY 97-98	2,724,717	NA	NA

HAZARDOUS MATERIALS TRANSPORTATION UNIFORM SAFETY ACT

Objective: Supports training of Emergency Response personnel and provides funds to local Emergency Planning Committees to prepare plans to protect communities from hazardous chemicals.

Administering Agency: NYS State Emergency Management Office; US Department of Transportation

NYS Object Code: 69920

Year Established: 1990

Catalog of Federal Domestic Assistance No.: 20.703

Legal Authority:

Law: Hazardous Materials Transportation Uniform Safety Act of 1990, Section 17, 40 U.S.C. 1801 et. seq.

Regulation: 49 CFR Part 110 and Part 18 A-102

Program Contact:

Edward F. Jacoby, Jr.
Director
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 457-2222

Fiscal Contact:

John A. Agostino
Grants Manager
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 457-9994

Eligibility: State Governments, Counties and Cities (only New York City).

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through).

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 80%, State 20%

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	45,474	NA	NA
SFY 97-98	55,000	NA	NA
SFY 98-99	55,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	39,644	NA	NA
SFY 97-98	52,500	NA	NA

HURRICANE PREPAREDNESS PROGRAM

Objective: Supports basic levels of preparedness to reduce the loss of life, property, economic disruption and disaster assistance costs resulting from hurricanes.

Administering Agency: NYS State Emergency Management Office; US Federal Emergency Management Agency

NYS Object Code: 69920

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 83.520

Legal Authority:

Law: NY Executive Law, Article 2B; US Robert T. Stafford Disaster Relief and Emergency Assistance Act, PL 93-288, as amended.

Regulation: NA

Program Contact:

Edward F. Jacoby, Jr.
Director
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 457-2222

Fiscal Contact:

John A. Agostino
Grants Manager
NYS Emergency Management Office
Public Security Building 22, Ste. 101
1220 Washington Avenue
Albany, NY 12226-2251
(518) 457-9994

Eligibility: State and Local Governments.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: No matching contribution is required the first year. The second year calls for a 25% in-kind contribution, the third year a 25% in-kind and cash contribution and for all remaining years a 25% cash contribution.

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	7,000	NA	NA
SFY 98-99	14,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	7,000	NA	NA

NYSP COMMUNICATIONS PROGRAMS

(Technical Assistance Program)

Objective: Provides communications and dispatch services and support to local and county law enforcement and emergency services agencies. The NYSP also provides command and communications equipment during natural and man-made disasters, civil disturbances and events involving mass gatherings.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law Enforcement and Emergency Service Agencies, Local and County Governments.

Type of Program and Special Restrictions: E-911 and cellular 911 public service answering points and dispatch, emergency command and communications vans, dispatch for local police departments, and disaster and emergency planning.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The State Police provides public service answering points for E-911 and cellular 911 calls and works with local law enforcement and government bodies to develop 911 programs. The State Police support dispatch of the closest available unit with jurisdiction, without regard to agency affiliation. The State Police provides assistance with developing coordinated response plans for disasters, mass gatherings and emergencies. The Division, likewise, provides emergency command and communications vans and equipment.

NYSP COMMUNITY NARCOTICS ENFORCEMENT TEAMS (CNET)

(Technical Assistance Program)

Objective: Aids local communities with enforcement efforts against street level trafficking in illegal drugs and guns.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: 1990

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: The Chief Executive of any local or county law enforcement agency, or any district attorney, may request CNET assistance by contacting the local NYSP Troop Commander.

Type of Program and Special Restrictions: Not available in New York City, Nassau County or Suffolk County.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The State Police will provide trained drug investigators to conduct undercover street level drug trafficking investigations for a specified period of time. CNET works in conjunction with the local police department. CNET investigators target illegal gun dealing as well as drug trafficking.

NYSP FORENSIC INVESTIGATION CENTER

(Technical Assistance Program)

Objective: Provides investigative and laboratory services to local, county, State and federal agencies from one of the four facilities that comprise the NYSP Crime Laboratory System.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: 1996

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law enforcement agencies throughout the State.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

Investigative/analytical assistance provided by specially trained police personnel and civilian scientists. Court testimony provided as required by a particular case. Laboratories located in Albany, Port Crane, Olean and Newburgh.

NYSP INVESTIGATIVE SUPPORT SERVICES

(Technical Assistance Program)

Objective: Provides investigative assistance and support to local and county law enforcement agencies, district attorneys, coroners, medical examiners, child protective services and other governmental agencies.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Local and County Law Enforcement Agencies, District Attorneys, Coroners, Medical Examiners and Other Government Agencies.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The State Police provide a variety of investigative support services including the Bureau of Criminal Investigation, child abuse investigators, bias crime investigators, computer crimes unit and the firearms tracing unit.

NYSP TECHNICAL SUPPORT SERVICES

(Technical Assistance Program)

Objective: Provides technical services and support to State Police, local police departments, county sheriff's offices and other criminal justice agencies.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Law Enforcement and Criminal Justice System Agencies and Other Government Agencies.

Type of Program and Special Restrictions: Varies.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

The State Police offers an array of technical support services including: mobile response teams, hostage negotiators, dive teams, aviation units (including Medevac), search and rescue, marijuana eradication, hazardous device units, K-9 units, marine enforcement, crime prevention, emergency management, auto theft investigations, domestic violence/crime victim services, computer databases and identification technicians.

NYSP TRAINING PROGRAMS

(Technical Assistance Program)

Objective: Provides specialized training in support of law enforcement and criminal justice agencies.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Director of Training
NYSP Academy
State Office Campus
Albany, New York
(518) 457-7254

Fiscal Contact:

NA

Eligibility: Varies.

Type of Program and Special Restrictions: Training.

Action Required to Receive Aid: Contact NYSP Academy.

Description of Aid:

The State Police provides specialized training programs that are available to criminal justice and law enforcement professionals. These include the Henry F. Williams Homicide Seminar, drug recognition expert training, sex offense seminars, major crimes seminars, and child safety and school violence programs.

NYSP VIOLENT CRIME INVESTIGATION TEAMS (VCI TEAMS)

(Technical Assistance Program)

Objective: Assists local police departments and sheriff's offices with investigations of serious violent crimes such as homicides, rapes and sexual assaults, actual and suspected abductions, serial crimes and bias crimes.

Administering Agency: NYS Division of State Police

NYS Object Code: NA

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NA

Regulation: NA

Program Contact:

Local NYSP Troop Commander

Fiscal Contact:

NA

Eligibility: Local or County Law Enforcement Agency.

Type of Program and Special Restrictions: Violent crime investigation assistance. Program is directed at serious violent and/or serial crimes.

Action Required to Receive Aid: Contact local NYSP Troop Commander.

Description of Aid:

Violent Crime Investigation Teams personnel will assist local police departments and/or county sheriff's offices with investigations of violent crimes.

Comments:

The full resources of the State Police, including crime laboratories, DNA analysis, forensic scientists, criminal personality profiling and the homicide assessment and lead tracking program are available to assist investigations. VCI team members can coordinate and facilitate access to these services.

COMMUNITY COLLEGE OPERATING AND CATEGORICAL ASSISTANCE

Objective: Supports post-secondary education programs, usually of two years or shorter duration.

Administering Agency: State University of New York, NYS Education Department; US Department of Education

NYS Object Code: 61304

Year Established: 1948

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Education Law, Sections 6304, 6307 and 6452

Regulation: 8 NYCRR Chapter V, Subchapter D, 600-609

Program Contact:

Robert Brown
Deputy to the Chancellor
State University of New York
University Plaza
Albany, NY 12246
(518) 443-5134

Fiscal Contact:

Brian T. Stenson
Vice Chancellor for Finance and Business
Office of Finance and Management
State University of New York
University Plaza
Albany, NY 12246
(518) 443-5175

Eligibility: Community Colleges.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Special restrictions as contained in annual Aid to Localities budget bill.

Action Required to Receive Aid: Local and SUNY Board approval, automatic payment.

Description of Aid:

Flow of Funds: State to Local, Federal to State to Local (Pass-through). Operating monies are paid quarterly, categorical aid monies are paid when approved. Chargeback costs for Fashion Institute of Technology (FIT) are paid semi-annually.

Type of Aid: Ongoing

Formula: Operating aid is based on full-time equivalent student numbers plus rental and categorical components. Operating chargeback costs for FIT are based on each county's resident attendance at FIT.

Matching Requirement: NA

Maintenance of Effort: Relates to sponsor's financial effort; in the aggregate or by full-time equivalent student.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	275,331,000	NA
SFY 97-98	NA	276,215,000	NA
SFY 98-99	NA	289,665,000	NA

*Includes five quarters operating assistance.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	274,205,686	NA
SFY 97-98	NA	273,926,120	NA

*Includes five quarters operating assistance.

Source: SUNY Community College Annual Report Summary (College Fiscal Year).

COOPERATIVE EXTENSION SERVICE

Objective: Provides the public with specialized information and assistance in community development, agricultural technology, 4-H youth development and consumer and family information.

Administering Agency: NY Cornell Cooperative Extension Administration, Cornell University; US Department of Agriculture, Extension Service

NYS Object Code: 61306

Year Established: 1950

Catalog of Federal Domestic Assistance No.: 10.5

Legal Authority:

Law: NY County Law, Section 224; US Smith-Lever Act as amended 1977

Regulation: NA

Program Contact:

William B. Lacy
Director
Cooperative Extension
Cornell University
Ithaca, NY 14853
(607) 255-2237

Fiscal Contact:

Brian T. Stenson
Vice Chancellor for Finance and Business
Office of Finance and Management
State University of New York
University Plaza
Albany, NY 12246
(518) 443-5175

Eligibility: Cooperative Extension Associations. Must meet requirements of Cornell University for County Extension Service Associations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds for the support of Cooperative Extension programs not to exceed total current county appropriations, plus formula is used to allocate funds to associations.

Action Required to Receive Aid: No application for aid required, automatic payment.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: A minimum amount of \$24,000 multiplied by a fraction of which the numerator shall be the sum of the personal income per capita of each county participating in the Cooperative Extension service programs, divided by the number of all such counties, and the denominator shall be the personal income per capita of the particular county. Such annual apportionment shall not exceed the total of the current State appropriation plus the most recent three year annual average State appropriation multiplied by the most recent three year annual average percentage increase in county appropriation to its extension service associations.

Matching Requirement: Federal 24.9%, State 23.8%, Local 51.3%. Matching percentages reflect overall program cost shares.

Maintenance of Effort: Local county appropriations must equal State County Law, Section 224 funds.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	NA	2,862,600	NA
FFY 97-98	NA	2,862,600	NA
FFY 98-99	NA	2,862,600	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
FFY 96-97	NA	2,862,600	NA
FFY 97-98	NA	2,862,600	NA

LOCAL SALES TAX ENACTMENTS

(Technical Assistance Program)

Objective: Provides assistance regarding local sales and compensating use taxes.

Administering Agency: NYS Department of Taxation and Finance

NYS Object Code: NA

Year Established: NA

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Sections 1210, 1223, 1224, 1257, and 1262

Regulation: NA

Program Contact:

Terrence M. Boyle
Deputy Counsel
NYS Department of Taxation and Finance
State Office Campus, Building 9, Rm. 205
Albany, NY 12227
(518) 457-3746

Fiscal Contact:

Same

Eligibility: Any locality.

Type of Program and Special Restrictions: The Department makes available model enactments to localities wishing to impose local sales and compensating use taxes.

Action Required to Receive Aid: Locality should send a written request to program contact.

Description of Aid:

The Department provides assistance by making available model enactments to localities wishing to impose local sales and compensating use taxes.

MORTGAGE TAX

Objective: Provides revenue for general purposes of local government and certain public authorities.

Administering Agency: NYS Department of Taxation and Finance

NYS Object Code: NA

Year Established: 1905

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Tax Law, Article 11

Regulation: NYCRR, Title 20, Chapter III, Subchapter N, Part 640-656

Program Contact:

Arthur J. Roth
Deputy Commissioner
Tax Operations
NYS Department of Taxation and Finance
State Office Campus, Building 9
Albany, NY 12227
(518) 457-5371

Fiscal Contact:

NA

Eligibility: Cities, Towns, Villages, NYS Mortgage Agency, Transportation Authorities, Local Governments and Public Benefit Corporations.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: No action required, automatic payment.

Description of Aid:

The mortgage recording tax (MRT) is an excise tax on the privilege of recording a mortgage. The MRT consists of six separate taxes. The total amount of the tax depends on the taxes that are in effect in the county and, where applicable, the city where the real property is located.

The six separate taxes have rates varying from \$0.25 to \$1.75 for each \$100 and each remaining major fraction of principle debt or obligation that is secured by the mortgage. Revenues from the "basic" tax of \$0.50 per \$100 are distributed to towns and cities. Revenues from the "special additional" tax of \$0.25 per \$100 go to the State Mortgage Insurance fund for each county and, in counties in the metropolitan commuter transportation district (MCTD) and Erie County, some revenues go to special transportation assistance funds. Revenues from the "additional" tax of \$0.25 per \$100, where imposed, typically benefit regional transportation authorities. Exceptions include Washington County where funds are used to pay expenses incurred for the support of community colleges and Essex County where funds are used to pay expenses incurred from county office and court facility projects.

Additional taxes apply in New York City, Broome County, and the City of Yonkers. New York City's tax ranges from \$1.00 to \$1.75 per \$100 depending on the type of real property subject to the mortgage. Beginning September 1, 1994, Broome County imposes a tax of \$0.25 per \$100 to fund the county Office for the Aging. For the period September 1, 1993 to August 31, 1999, the City of Yonkers also imposes a tax of \$0.50 per \$100.

STOCK TRANSFER INCENTIVE FUND

Objective: Provides revenue to New York City for general city purposes.

Administering Agency: NYS Department of Taxation and Finance

NYS Object Code: 60601

Year Established: 1891

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY State Finance Law, Sections 92-b and 92-i, NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Eugene Williams
 Tax Audit Administrator
 Transaction and Transfer Tax Bureau
 NYS Department of Taxation and Finance
 Building 9, Room 504
 State Office Campus
 Albany, NY 12227
 (518) 457-4397

Fiscal Contact:

NA

Eligibility: New York City only.

Type of Program and Special Restrictions: Optional, local choice. Tax revenue is based on the selling price per share of stock traded with a limit of \$350 on any single taxable sale; however, since October 1, 1981, 100 percent of the tax has been rebated. Payments are made by the State General Fund to the Stock Transfer Incentive Fund, and thence to New York City, in compensation for the rebates.

Action Required to Receive Aid: Automatic Payment.

Description of Aid:

Flow of Funds: State to Local. Monies are provided on a quarterly basis.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	114,037,774	NA
SFY 97-98	NA	114,037,774	NA
SFY 98-99	NA	114,037,774	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	114,037,774	NA
SFY 97-98	NA	114,037,774	NA

CHILD ASSISTANCE PROGRAM (CAP)

Objective: CAP is a district optional component of the Family Assistance Program that provides cash benefits and supportive services consistent with State Welfare Reform changes which promote work and opportunities for families to achieve self sufficiency.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62204

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Section 131-2

Regulation: 18 NYCRR, Part 366

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzyski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Counties. Counties must submit a CAP proposal to the Office for approval. Currently operating in Albany, Allegany, Brooklyn, Broome, Chautauqua, Erie, Herkimer, Monroe, Niagara, Oneida, Onondaga, Oswego, Rensselaer, Rockland, St. Lawrence, Schenectady, Suffolk, Tompkins, Ulster and Westchester Counties.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements.

Action Required to Receive Aid: Application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	3,700,000	NA
SFY 97-98	NA	3,700,000	NA
SFY 98-99	NA	4,500,000	NA

*Allocated within appropriation for temporary assistance administration.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,599,283	NA
SFY 97-98	NA	1,924,071	NA

CHILD SUPPORT ENFORCEMENT

Objective: Funds enforcement of support obligations owed by absent parents to their children.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

NYS Object Code: 62501

Year Established: 1975

Catalog of Federal Domestic Assistance No.: 93.023

Legal Authority:

Law: NY Social Services Law, Title 6A&B; US Social Security Act of 1935, Title IV-D

Regulation: 18 NYCRR 346-347; 45 CFR Parts 302-307

Program Contact:

Robert Doar
 Director
 Office of Child Support Enforcement
 NYS Office of Temporary and Disability Assistant
 One Commerce Plaza/P.O. Box 14
 Albany, NY 12260
 (518) 474-9081

Fiscal Contact:

Richard Radzyminski
 Chief Accountant
 Bureau of Financial Services
 NYS Office of Temporary and Disability Assistance
 40 N. Pearl Street
 Albany, NY 12243
 (518) 474-7527

Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local, State to Local. Monies are provided primarily on a reimbursement basis.

Type of Aid: Ongoing

Formula: For administrative expenses. The Federal, State and Local Governments share in child support collections. The Federal share of collections is reduced by incentives which are given to States and localities.

Matching Requirement: Reimbursement rates are 66% Federal share, 17% State share, 17% Local share. Enhanced Federal reimbursement for approved EDP expenditures and laboratory fees related to paternity testing ranges from 80% to 90%.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.*</u>	<u>Spec. Rev. Federal Match*</u>
SFY 96-97	100,000,000	25,200,000	NA	NA
SFY 97-98	102,000,000	25,500,000	625,000	2,250,000
SFY 98-99	102,000,000	29,600,000	625,000	2,300,000

*Funds enforcement program administered by Department of Taxation and Finance.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>	<u>Tax Enforcement Federal</u>
SFY 96-97	107,965,441	20,033,063	NA	NA
SFY 97-98	48,018,650	24,895,047	577,569	1,953,536

EMERGENCY ASSISTANCE FOR ADULTS (EAA)

Objective: A corollary to the Safety Net Assistance program established in 1997. EAA provides short term (less than 30 days) financial assistance primarily to Safety Net Assistance recipients. EAA and Safety Net Assistance are non-Federal participating programs.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62206

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Sections 300-309.

Regulation: 18 NYCRR Part 397

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Counties.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Specific restrictions are listed in 18 NYCRR Part 397.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Emergency assistance only.

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,030,148,000	NA
SFY 97-98	NA	1,807,000,000	NA
SFY 98-99	NA	1,477,100,000	NA

*Amount appropriated is for the Family Assistance, EAA, EAF, Safety Net and other Family Assistance Programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

*Expenditures are included in the Temporary Assistance for Needy Families figures.

EMERGENCY SHELTER GRANTS

Objective: Provides assistance to local governments which operate emergency shelters and provide essential social services to homeless persons.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Housing and Urban Renewal

NYS Object Code: 62950

Year Established: 1987

Catalog of Federal Domestic Assistance No.: 14.231

Legal Authority:

Law: US Stewart B. McKinney Homeless Assistance Act of 1987

Regulation: 24 CFR 575-576

Program Contact:

Lisa Irving
 Director of Housing Services
 NYS Office of Temporary and Disability Assistance
 40 N. Pearl Street
 Albany, NY 12243
 (518) 486-6102

Fiscal Contact:

Richard Radzyminski
 Chief Accountant
 Bureau of Financial Services
 NYS Office of Temporary and Disability Assistance
 40 N. Pearl Street
 Albany, NY 12243
 (518) 474-7527

Eligibility: State Governments, Counties, Cities, Towns, Villages, Private Non-Profit Groups and Charitable Organizations. Must operate eligible programs.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State and Federal requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State, Federal to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: There is a formula for the funds from the Federal to State. Consult Program and/or Fiscal Contacts.

Matching Requirement: Federal 50%, Local/Non-Profit 50%

Maintenance of Effort: Funds cannot be used to supplant existing resources.

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	40,000,000	NA	NA
SFY 97-98	40,000,000	NA	NA
SFY 98-99	20,000,000	NA	NA

*Appropriation for Federal Homeless grants.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,863,831	NA	NA
SFY 97-98	2,191,604	NA	NA

FOOD STAMP ADMINISTRATION

Objective: Funds the cost of local operation of the Food Stamp Program.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Agriculture

NYS Object Code: 62601, 62602

Year Established: 1977

Catalog of Federal Domestic Assistance No.: 10.560, 10.561, 10.564

Legal Authority:

Law: NY Social Services Law, Sections 95 and 95a; US Food Stamp Act of 1977, as amended

Regulation: 18 NYCRR, Parts 387 and 399; 7 CFR Chapter II, Subchapter C, 271-285

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: The State Agency submits to the USDA a plan of operation specifying the manner in which the program will be conducted.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Aid must be used for Food Stamp Program administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local, State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Federal 50%, State 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	158,160,000	103,091,000	NA
SFY 97-98	215,000,000	106,099,000	NA
SFY 98-99	214,950,000	103,928,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	126,054,907	90,590,307	NA
SFY 97-98	185,267,492	106,999,999	NA

HOMELESS HOUSING AND ASSISTANCE PROGRAM (HHAP)

Objective: Provides funding in the form of grants and loans for the express purpose of developing housing for homeless and homeless special needs populations.

Administering Agency: NYS Office of Temporary and Disability Assistance; Homeless Housing Assistance Corporation

NYS Object Code: NA

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 2A, NY Laws of 1983, Chapter 61

Regulation: Chapter 2, Subchapter L, Part 800

Program Contact:

Lisa Irving
Director of Housing Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 486-6102

Fiscal Contact:

Richard Radzynski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Municipalities, Public Corporations, Private Non-Profit Groups and Charitable Organizations.

Type of Program and Special Restrictions: Capital development program, exclusively for the creation/preservation of housing for the homeless.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Funds flow from Homeless Housing and Assistance Corporation to sponsor via contract.

Type of Aid: Grant or Loan - funded through NYS bond proceeds.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: Must operate property for 25 to 30 years.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Housing Program</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	30,000,000	NA
SFY 97-98	NA	30,000,000	NA
SFY 98-99	NA	30,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>HHAC Expenditures</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	24,288,991	NA
SFY 97-98	NA	19,877,147	NA

HOMELESS INTERVENTION PROGRAM (HIP)

Objective: Provides supportive services designed to stabilize households by attempting to avoid homelessness and, for those who are currently homeless, by facilitating the transition to permanent housing.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62950

Year Established: 1998

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Chapter 204 of the Laws of 1998, Title 4, Article 2-A

Regulation: NA

Program Contact:

Lisa Irving
Director of Housing Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 486-6102

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Local Social Services Districts and Not-for-Profit Corporations serving homeless and at-risk households.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local/Grantee. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: 25% Local share

Maintenance of Effort: NA

Comments:

Planned release date for the initial RFP is March 10, 1999. Proposals will be due in April and 12-month contracts will start on September 1, 1999, subject to enactment of a SFY 1999-2000 appropriation for this program.

**LEGAL ASSISTANCE PROGRAM
(LEGAL SERVICES TO NON-PUBLIC ASSISTANCE
CUSTODIAL PARENTS IN THE TITLE IV-D
CHILD SUPPORT ENFORCEMENT PROGRAM)**

Objective: Provides legal services to custodial parents who do receive child support services from their social services districts.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: NA

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Section 111-b(9)

Regulation: NA

Program Contact:

John Robitzek
Deputy Commissioner
Division of Legal Affairs
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9493

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Not-for-Profit Legal Services Corporation and Not-for-Profit Agencies.

Type of Program and Special Restrictions: Services provided through contractors are intended to aid the State's social services districts in providing child support services to custodial parents who participate in the Title IV-D Child Support Enforcement program.

Action Required to Receive Aid: NA

Description of Aid:

Flow of Funds: State to Contractors.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

Comments: Statutorily authorized, no appropriation.

LEGAL SERVICES FOR THE DISABLED

Objective: Supports the provision of legal services to disabled persons who may have been inappropriately denied Federal disability benefits.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62230

Year Established: 1983

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1983, Chapter 627, NY Social Services Law, Section 35

Regulation: NA

Program Contact:

Linda Hunt
Assistant Counsel
Division of Legal Affairs
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9777

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Counties and Private Non-Profit Groups. Must be a local social services district, non-profit legal services corporation or non-profit agency serving the disabled.

Type of Program and Special Restrictions: Optional, but once chosen, subject to mandated State requirements. Monies must be used to provide legal representation before the Social Security Administration.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Disabled population in service areas.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: In-kind support of project at FY 1983-84 levels for similar services to the disabled.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,870,000	NA
SFY 97-98	NA	2,870,000	NA
SFY 98-99	NA	2,870,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,870,000	NA
SFY 97-98	NA	1,565,943	NA

LOW INCOME HOME ENERGY ASSISTANCE (HEAP)

Objective: Helps low income households pay home energy costs and funds programs for low cost residential weatherization or other energy-related home repairs.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

NYS Object Code: 62210, 62211

Year Established: 1981

Catalog of Federal Domestic Assistance No.: 93.028

Legal Authority:

Law: NY Social Services Law, Section 97; US Public Law 97-35, Title XXVI

Regulation: NY Social Services Regulation, Part 393

Program Contact:

Bruce Bowdy
 Region I Team Leader
 Division of Temporary Assistance
 NYS Office of Temporary and Disability Assistance
 40 N. Pearl Street
 Albany, NY 12243
 (518) 474-9227

Fiscal Contact:

Richard Radzyminski
 Chief Accountant
 Bureau of Financial Services
 NYS Office of Temporary and Disability Assistance
 40 N. Pearl Street
 Albany, NY 12243
 (518) 474-7527

Eligibility: State Governments and Counties. Grant recipients are aided by local social services districts. Individual families must: (a) have household income less than 150 percent of the poverty level or (b) contain one person receiving Public Assistance, SSI or Food Stamps. Households must have living arrangements which require direct payment to an energy supplier for heat or require undesignated payments for heat in the form of rent.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Priority must be given to households with the lowest income and the highest energy burden in relation to income, taking into account family size and to households with young children, elderly individuals and disabled individuals. Payments must be used for payment of home heating costs, for weatherization or conservation in time of weather related or supply shortage emergency (with limit of 15 percent of total funds). No more than 10 percent of Federal money may be used for State Planning and Administration.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided through a Block Grant three times a year.

Type of Aid: Ongoing. Annual Block Grant appropriation.

Formula: Grants to states are based on a specific funding formula contained in authorizing Federal statute. Local allocations are based on the number of income eligible households, aggregate residential energy expenditures and heating degree days.

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	170,000,000	NA	NA
SFY 97-98	170,000,000	NA	NA
SFY 98-99	200,000,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	150,463,690	NA	NA
SFY 97-98	140,106,638	NA	NA

OPERATIONAL SUPPORT FOR AIDS HOUSING (OSAH)

Objective: Provides State-funded operational support for projects funded through the Homeless Housing and Assistance Program (HHAP) which serves persons with AIDS/HIV illness and their families.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62950

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1994, Chapter 53

Regulation: NA

Program Contact:

Lisa Irving
Director of Housing Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 486-6102

Fiscal Contact:

Richard Radzyski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: AIDS Housing Projects which are funded by the Homeless Housing and Assistance Program (HHAP).

Type of Program and Special Restrictions: Optional without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Grantee

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,000,000	NA
SFY 97-98	NA	1,400,000	NA
SFY 98-99	NA	1,400,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	1,000,000	NA
SFY 97-98	NA	641,521	NA

REFUGEE RESETTLEMENT

Objective: Assists refugees, including Cuban and Haitian entrants, to resettle in the United States by funding Safety Net Assistance, medical assistance, targeted assistance and social services programs for those individuals designated by State agencies as needy.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

NYS Object Code: 62308, 62310

Year Established: 1980

Catalog of Federal Domestic Assistance No.: 93.566

Legal Authority:

Law: US Refugee Act of 1980, Section 412

Regulation: 45 CFR, Part 400

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzynski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. Monies are provided in advance of expenditures by the local government.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	36,940,000	NA	NA
SFY 97-98	42,240,000	NA	NA
SFY 98-99	43,770,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	28,201,966	NA	NA
SFY 97-98	21,716,191	NA	NA

SAFETY NET ASSISTANCE

Objective: Provides assistance to persons who are not eligible for the Family Assistance or Supplemental Security Income programs. In addition to providing for essential needs, the Safety Net is a work program which provides such services as job search, work training and workfare.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62205

Year Established: 1997

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Welfare Reform Act of 1997

Regulation: NYCRR, Part 370

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: State Governments and Counties.

Type of Program and Special Restrictions: Mandated, required by State law or regulation.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,030,148,000	NA
SFY 97-98	NA	1,807,000,000	NA
SFY 98-99	NA	1,477,100,000	NA

*Amount appropriated is for the Family Assistance, EAA, EAF, Safety Net and other Family Assistance programs.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

**Expenditures are included in the Temporary Assistance for Needy Families figures.

Comments: Effective November 1997, the Safety Net Assistance program replaced the Home Relief program.

SINGLE ROOM OCCUPANCY (SRO) DEBT SERVICE

Objective: Supports acquisition rehabilitation, renovations or expansion of supported single room occupancy housing for homeless adults.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62950

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1990, Chapter 53

Regulation: NA

Program Contact:

Lisa Irving
Director of Housing Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 486-6102

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Counties and Private Non-Profit Groups.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,000,000	NA
SFY 97-98	NA	2,000,000	NA
SFY 98-99	NA	2,000,000	NA

*Contingent Appropriation

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

SINGLE ROOM OCCUPANCY (SRO) SUPPORTIVE SERVICES

Objective: Assists with costs of services to assist eligible residents of single room occupancy units to live independently.

Administering Agency: NYS Office of Temporary and Disability Assistance

NYS Object Code: 62950

Year Established: 1987

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Social Services Law, Article 2-A, Title 2

Regulation: NA

Program Contact:

Lisa Irving
Director of Housing Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 486-6102

Fiscal Contact:

Richard Radzynski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: Local Social Services Districts, Charitable Organizations, and Not-for-Profit Corporations.

Type of Program and Special Restrictions: Optional, with some mandated requirements.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: Contractors must show that services to be provided are new, that without funding the services will be discontinued or that this funding will result in a significant increase in services.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	6,414,000	NA
SFY 97-98	NA	7,914,000	NA
SFY 98-99	NA	11,500,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,601,366	NA
SFY 97-98	NA	6,978,391	NA

TEMPORARY ASSISTANCE ADMINISTRATION

Objective: Assists local social services districts with costs of administering the Family Assistance and Safety Net Assistance programs.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

NYS Object Code: 62204, 62231

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997.

Regulation: TANF proposed rule was published in the Federal Register on November 20, 1997.

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzyminski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: State Governments and Counties. State and local welfare agencies must operate under the Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations. Many specific restrictions. Specifically, administration costs cannot exceed ten percent of the total costs of the Federal program.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through), State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Contact program/fiscal contact.

Matching Requirement: Federal 50%, State 25%, Local 25% for costs of administering Family Assistance Federal program. State 50%, Local 50% for other administrative costs.

Maintenance of Effort: The State receives a Federal block grant yearly with a required MOE.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	440,000,000	173,793,000	6,800,000
SFY 97-98	440,000,000	191,397,000	6,800,000
SFY 98-99	440,000,000	204,097,000	6,800,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	233,373,086	130,947,326	2,250,776
SFY 97-98	239,890,937	182,576,586	4,339,612

Comments: Superseded the Income Maintenance Administration program.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF)

Objective: Provides assistance to needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families.

Administering Agency: NYS Office of Temporary and Disability Assistance; US Department of Health and Human Services

NYS Object Code: 62201, 62202, 62232

Year Established: 1996

Catalog of Federal Domestic Assistance No.: 93.558

Legal Authority:

Law: Social Security Act, Title IV, Part A, as amended. Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Public Law 104-193. Balanced Budget Act of 1997, Public Law 105-33, Welfare Reform Act of 1997.

Regulation: TANF Final Rule was published in the Federal Register on April 12, 1999. NYCRR Part 369 (Family Assistance), Part 372 (EAF), 12 NYCRR 1300 (Employment)

Program Contact:

Patricia A. Stevens
Deputy Commissioner
Division of Temporary Assistance
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-9222

Fiscal Contact:

Richard Radzysinski
Chief Accountant
Bureau of Financial Services
NYS Office of Temporary and
Disability Assistance
40 N. Pearl Street
Albany, NY 12243
(518) 474-7527

Eligibility: State Governments and Counties. State and local welfare agencies must operate under Department of Health and Human Services approved State plan.

Type of Program and Special Restrictions: Mandated, required by both State and Federal law or regulations.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local, State to Local.

Type of Aid: Ongoing

Formula: Consult program and/or fiscal contact.

Matching Requirement: Yes

Maintenance of Effort: Yes

Amounts Appropriated:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 96-97	2,252,400,000	2,030,148,000	NA
SFY 97-98	2,450,000,000	1,807,000,000	NA
SFY 98-99	2,400,000,000	1,477,100,000	NA

Amounts Disbursed:

	<u>Federal Funds*</u>	<u>State General Funds**</u>	<u>State Spec. Rev.</u>
SFY 96-97	1,519,797,915	1,768,023,522	NA
SFY 97-98	2,082,318,894	1,806,798,460	NA

* Amounts appropriated and disbursed for the TANF which includes EAF and other Family Assistance programs.

** Amounts appropriated and disbursed are for the Family Assistance, EAA, EAF, Safety Net and other Family Assistance programs.

Comments: Effective December 1996, the TANF program replaced the AFDC, EAF, and JOBS programs.

AIRPORT IMPROVEMENT PROGRAM

Objective: Provides grants to owners of public use airports (mostly municipal with the exception of reliever airport owners who might be private).

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: 65502

Year Established: 1970

Catalog of Federal Domestic Assistance No.: 20.106

Legal Authority:

Law: NY Laws of 1967, Chapter 717, Section 36 and Article B, Section 306; US PL 97-248 and PL 100-233

Regulation: NA

Program Contact:

Richard A. Chimera
 Director
 Aviation Services Bureau
 NYS Department of Transportation
 State Campus, Building 4
 1220 Washington Avenue
 Albany, NY 12232
 (518) 457-2821

Fiscal Contact:

Carlton Boorn
 Director
 Accounting Bureau
 Office of Budget and Finance
 NYS Department of Transportation
 1220 Washington Avenue
 Albany, NY 12232
 (518) 457-2424

Eligibility: Counties, Cities, Towns, Villages, Public Authorities and Private Airports designated as reliever airports. Must have received a Federal grant first, before a State grant is awarded.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements. Must be used for specific projects.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal and State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: NA

Matching Requirement: Federal 90-75%. Fifty percent of remaining costs after Federal funds are deducted are State share of match, 50 percent are Local. Federal share varies between 75 percent at big airports and 90 percent at smaller commercial service and general aviation airports.

Maintenance of Effort: Facility must remain operational over a 20 year period.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	56,000,000	NA	NA
SFY 97-98	50,000,000	NA	4,500,000
SFY 98-99*	NA	NA	NA

*Pending Federal reauthorization of FAA programs.

Amounts Disbursed:

	<u>Federal Funds**</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	1,407,534
SFY 97-98	NA	NA	NA

**Federal funds are not disbursed by DOT.

CONSOLIDATED LOCAL STREET AND HIGHWAY IMPROVEMENT PROGRAM (CHIPS)

Objective: Supports the maintenance, rehabilitation and improvement of streets, highways and bridges under local jurisdiction.

Administering Agency: NYS Department of Transportation

NYS Object Code: 65101

Year Established: 1982

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Highway Law, Section 10-c

Regulation: NA

Program Contact:

Wilson Moore
Director
Local Programs Section
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 485-1142

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages. Municipality must own road mileage.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. All money must be spent on highway purposes. Monies may not be used for debt service.

Action Required to Receive Aid: For the Operation and Maintenance (O&M) component, municipalities receiving \$7,000 or more annually in O&M funding must annually file a Certificate of Accomplishment. For the Capital reimbursement component, municipalities must certify that the capital projects have a ten-year life and are of a "highway" nature.

Description of Aid:

Flow of Funds: State to Local. Monies are provided partially as an advance and partially as a reimbursement.

Type of Aid: Ongoing

Formula: Based on road mileage, vehicle registrations and vehicle miles of travel.

Matching Requirement: NA

Maintenance of Effort: For the O&M component, a municipality must show the expenditure of local funds for highway operation and maintenance in a given year equals or exceeds average of two previous years.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	56,197,000	201,500,000
SFY 97-98	NA	56,197,000	209,500,000
SFY 98-99	NA	56,197,000	217,900,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	56,197,000	175,404,901
SFY 97-98	NA	56,197,000	208,780,274

Comments: Prior to SFY 91-92, the CHIPS Program was a single direct grant program funded entirely out of the State's General Fund. Since SFY 91-92, the CHIPS Program has consisted of two separate components: (1) an Operation and Maintenance (O&M) component funded out of the State's General Fund; and (2) a Capital reimbursement component funded from the proceeds of bonds (State Special Revenue) issued by the NYS Thruway Authority.

FEDERAL HIGHWAY BRIDGE REPLACEMENT AND REHABILITATION

Objective: Replaces and rehabilitates structurally deficient or functionally obsolete highway bridges on and off the Federal aid system.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: NA

Year Established: 1970

Catalog of Federal Domestic Assistance No.: 20.205

Legal Authority:

Law: US Federal Aid Highway Act of 1998 (TEA21), 23 USC 144

Regulation: 23 CFR 650D

Program Contact:

Jay L. Labelle
Director
Program and Project Management Bureau
NYS Department of Transportation
Building 5, Room 523
1220 Washington Avenue
Albany, NY 12232
(518) 457-2935

Fiscal Contact:

NA

Eligibility: State Governments and Municipalities with a State Highway Agency.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Funds may be applied to projects on all highway bridges on any road (on or off Federal aid system).

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. State monies are provided in advance of expenditures, Federal monies are provided as a reimbursement to states.

Type of Aid: Project Grant

Formula: Relative share of total cost of deficient bridges.

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

FEDERAL HIGHWAY-RAIL CROSSING

Objective: Eliminates hazards at highway-rail crossings.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: NA

Year Established: 1973

Catalog of Federal Domestic Assistance No.: 20.303

Legal Authority:

Law: US Federal Aid Highway Act of 1998 (TEA21), 23 USC 133(d)(1)

Regulation: NA

Program Contact:

Jay L. Labelle
Director
Program and Project Management Bureau
NYS Department of Transportation
Building 5, Room 523
1220 Washington Avenue
Albany, NY 12232
(518) 457-2935

Fiscal Contact:

NA

Eligibility: State Governments and Municipalities with a State Highway Agency.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. May be used for capital projects on any public road excluding the interstate system. At least 50 percent of funds must be used to install protective devices at highway-rail crossings.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. State monies are provided in advance of expenditures, Federal monies are provided as a reimbursement to states.

Type of Aid: Project Grant

Formula: Based on 1987-91 percentage share of apportionments.

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

FEDERAL HIGHWAY RURAL SECONDARY SYSTEM/ SURFACE TRANSPORTATION PROGRAM

Objective: Constructs, reconstructs, restores, and/or rehabilitates highways on the Federal aid system in rural areas other than Interstate or National Highway System.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: NA

Year Established: 1944

Catalog of Federal Domestic Assistance No.: 20.205

Legal Authority:

Law: US Federal Aid Highway Act of 1998 (TEA21), 23 USC

Regulation: 23 CFR

Program Contact:

Jay L. Labelle
Director
Program and Project Management Bureau
NYS Department of Transportation
Building 5, Room 523
1220 Washington Avenue
Albany, NY 12232
(518) 457-2935

Fiscal Contact:

NA

Eligibility: State Governments and Municipalities with a State Highway Agency.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used for capital projects on roads on the Federal-aid system in rural areas. Not for use on Interstate or National Highway System.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local. State monies are provided in advance of expenditures, Federal monies are provided as a reimbursement to states.

Type of Aid: Project Grant

Formula: Based on 1987-91 percentage share of apportionments.

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

Comments: Recent Federal legislation ended the Rural Secondary Program and now funds these activities under the new Surface Transportation Program.

FEDERAL TRANSIT ADMINISTRATION ASSISTANCE TECHNICAL STUDIES (FTA)

Objective: Funds studies for comprehensive transportation planning and coordinated support transit studies within eligible urban areas in accordance with Federal grant agreements.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: 65404

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 20.505

Legal Authority:

Law: NY Laws of 1990, Chapter 53; US Urban Mass Transportation Act of 1964

Regulation: NA

Program Contact:

Clarence R. Fosdick
Director
MPO Liaison Section
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 457-3229

Fiscal Contact:

Elizabeth Booth
Associate Accountant
Revenue Division
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 457-1986

Eligibility: Metropolitan Planning Organization within eligible urban areas and New York State Department of Transportation must be State approved unified work program of Metropolitan Planning Organization, and must meet Federal grant conditions.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be in accordance with State approved unified work program and Federal approved grant agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: NA

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,963,000	NA	NA
SFY 97-98	4,032,593	NA	NA
SFY 98-99	3,975,835	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	3,963,000	NA	NA
SFY 97-98	4,032,593	NA	NA

INDUSTRIAL ACCESS PROGRAM (IAP)

Objective: Provides funding for highway, bridge and rail freight (spur) improvements that will facilitate economic development and result in jobs being created or retained in New York State.

Administering Agency: NYS Department of Transportation

NYS Object Code: 71120

Year Established: 1985

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1985, Chapter 54

Regulation: IAP Rules and Regulations, 1993

Program Contact:

Gregory M. Knox
 Program Manager
 Freight & Economic Development Division
 NYS Department of Transportation
 1220 Washington Avenue
 Albany, NY 12232-0876
 (518) 457-7331
 E-Mail: gknox@gw.dot.state.ny.us

Fiscal Contact:

Elizabeth Booth
 Associate Accountant
 Revenue Division
 NYS Department of Transportation
 1220 Washington Avenue
 Albany, NY 12232
 (518) 457-1986

Eligibility: Counties, Cities, Towns, Villages, Public Authorities, Local Development Agencies and Industrial Development Agencies.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Awards are limited to \$1,000,000 in the form of a 60 percent grant and 40 percent interest free loan. Facilities that are retail in nature are not eligible. Completed project must be available for public use.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local Municipal Sponsor. Monies are provided as a reimbursement.

Type of Aid: Project Grant/Loan

Formula: Consult program contact.

Matching Requirement: Local and private financial participation is expected, although no formula is applied.

Maintenance of Effort: Thirty years for bridge, 20 years for highway are required.

Amounts Appropriated:

	<u>Federal Funds</u>	Highway and Bridge <u>Trust Fund</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	5,000,000	NA
SFY 97-98	NA	5,000,000	NA
SFY 98-99	NA	5,000,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	Highway and Bridge <u>Trust Fund</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	2,380,637	NA
SFY 97-98	NA	5,542,409	NA

JOBS ACCESS AND REVERSE COMMUTE

Objective: Increases opportunities for persons on welfare and low income individuals to access transportation service(s) including reverse commute service(s) designed to facilitate the transition from welfare to work.

Administering Agency: NYS Department of Transportation; US Department of Transportation, Federal Transit Administration

NYS Object Code: NA

Year Established: 1998

Catalog of Federal Domestic Assistance No.: 20.509

Legal Authority:

Law: Transportation Equity Act for the 21st Century, Section 3037

Regulation: Notice: Job Access and Reverse Commute Competitive Grants, November 6, 1998

Program Contact:

Robert Knighton
Director
Passenger Policy and Program Evaluation
NYS Department of Transportation
Building 4, Room 146
1220 Washington Avenue
Albany, NY 12232
(518) 457-2100

Fiscal Contact:

NA

Eligibility: Local agencies and authorities, non-profit organizations and designated recipients under the FTA section 5307 program are eligible applicants. Local agencies and authorities include states, local governments, metropolitan planning organizations, public transit agencies and tribal organizations.

Type of Program and Special Restrictions: Federal Discretionary Transportation Program for transportation services to welfare recipients and low income persons.

Action Required to Receive Aid: Regional Transportation Plans must be developed and an application is required. Complete applications including recommendation as appropriate by the Metropolitan Planning Organizations (MPOs) or the State must be submitted to the FTA by the established deadline.

Description of Aid: Federal funds can be used to pay for up to 50% of operating and capital costs associated with the provision of transportation service(s) to welfare recipients and low income persons.

Amounts Appropriated and Disbursed: New Program

Comments: Metropolitan Planning Organizations select applicants in areas over 200,000 in population. In small urban areas between 50,000 and 200,000 in population and rural areas, states select the applicants.

LOCAL PLANNING PROGRAM

Objective: Funds studies for comprehensive transportation planning and coordinated support transit studies within eligible urban areas in accordance with Federal grant agreements.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: 65503

Year Established: 1974

Catalog of Federal Domestic Assistance No.: 20.205

Legal Authority:

Law: NY Laws of 1990, Chapter 53; 23 USC 104F

Regulation: NA

Program Contact:

Clarence R. Fosdick
Director
MPO Liaison Section
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 457-3229

Fiscal Contact:

Elizabeth Booth
Associate Accountant
Revenue Division
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 457-1986

Eligibility: Metropolitan Planning Organizations within eligible urban areas. Must have a State approved unified work program which meets Federal grant approval.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be in accordance with State approved unified work program and Federal grant agreement.

Action Required to Receive Aid: Non-competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through). Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Based on urban population and lane miles.

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	11,490,461	NA	NA
SFY 97-98	13,192,500	NA	NA
SFY 98-99	13,827,158	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	12,168,890	NA	NA
SFY 97-98	13,420,115	NA	NA

MARCHISELLI PROGRAM (MUNICIPAL STREETS & HIGHWAYS PROGRAM)

Objective: Assists local municipalities in meeting the non-Federal share of costs required to match Federal Aid for off (Federal) system highway and bridge capital projects.

Administering Agency: NYS Department of Transportation

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1991, Chapter 329 as amended by Chapter 330; NY Highway Law, Section 80-b. Funding authorization provided by NY Laws of 1994, Chapter 167.

Regulation: NA

Program Contact:

Wilson Moore
Director
Local Programs Section
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 485-1142

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages. In order to be eligible for Marchiselli (State) funding, projects must first be on the Federal Aid program. Specific project phases must be included (i.e., listed) in the current Legislative "Green Book" publication on Marchiselli appropriations.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. This is a "reimbursement type" program funded from tax-exempt Thruway Bond revenue. Special restrictions include a requirement that monies be used on highway and bridge projects with an expected service life of ten years or more, and that funding may not be used for public debt service.

Action Required to Receive Aid: Municipalities are required to execute a local resolution and agreement with the Department of Transportation, and then deposit 100 percent of the non-Federal Aid project phase share (approximately 20 percent of eligible project costs) with the State Comptroller's Office.

Description of Aid:

Flow of Funds: NYS Thruway Bond Revenue-to-Local Municipality via periodic (usually quarterly) reimbursement checks (as actual payments are progressed by the State Comptroller).

Type of Aid: Ongoing, reimbursement of the eligible non-Federal portion of a locality's cash deposit.

Formula: Seventy-five percent of eligible project phase costs progressed to date, limited by the State legislated "Green Book" amount or 75 percent of the locality's deposit to date, whichever is smaller.

Matching Requirement: Locality must first deposit 100 percent of the estimated non-Federal cost share.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	36,695,000
SFY 97-98	NA	NA	38,200,000
SFY 98-99	NA	NA	39,700,000

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	26,292,051
SFY 97-98	NA	NA	23,307,261

MASS TRANSPORTATION OPERATING ASSISTANCE

Objective: Provides transit operating assistance to both public and private sponsored transit operations in New York State in order to stabilize and maintain fares and service levels.

Administering Agency: NYS Department of Transportation

NYS Object Code: 65201

Year Established: 1974

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Transportation Law, Sections 18-b and 14-g, NY Finance Law, Section 88-a

Regulation: 17 NYCRR 975

Program Contact:

Michael Heath
 Transit Operating Assistance Program
 Passenger Transportation Division
 NYS Department of Transportation
 1220 Washington Avenue
 Albany, NY 12232
 (518) 457-2100

Fiscal Contact:

Carlton Boorn
 Director
 Accounting Bureau
 Office of Budget and Finance
 NYS Department of Transportation
 1220 Washington Avenue
 Albany, NY 12232
 (518) 457-2424

Eligibility: Counties, Cities, Public Authorities and Directly Sponsored Major Intercity Carriers. Eligible mass transportation services are available to the general public on a regular and continuing basis and have pre-determined and publicly posted fares, service hours and also shall have printed schedules. Minimum fare or transfer fee of 30 cents per passenger (half fare for elderly, young or transit disabled) must be collected.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. MTOA monies may only be used to meet current operating expenses. Private carriers subject to maximum profit review annually.

Action Required to Receive Aid: Non-competitive application for aid required on a quarterly basis.

Description of Aid:

Flow of Funds: State to Local. Monies are provided by statutory schedule for authorities, or on formula basis for non-authorities.

Type of Aid: Ongoing

Formula: For non-authority participants. Upstate systems: 40.5 cents/revenue passenger and 69 cents/revenue vehicle mile. Additional 5 cents/revenue passenger and 30 cents/population (on a quarterly basis) for Americans with Disabilities Act (ADA) paratransit service. Downstate systems: 40.5 cents/revenue passenger and 69 cents/revenue vehicle mile. Additional 5 cents/revenue passenger and 30 cents/population (on a quarterly basis) for ADA paratransit service.

Matching Requirement: State 50%, Local 50% of the local match required portion.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.*</u>	<u>Dedicated Taxes**</u>
SFY 96-97	NA	118,016,000	150,989,000	1,055,248,000
SFY 97-98	NA	103,016,000	165,989,000	1,123,894,000
SFY 98-99	NA	111,016,000	165,989,000	1,277,657,000

*Requires a local match.

**Includes Dedicated Mass Transportation Trust Fund

MASS TRANSPORTATION OPERATING ASSISTANCE (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.*</u>	<u>Dedicated Taxes**</u>
SFY 96-97	NA	118,016,000	150,989,000	1,019,509,306
SFY 97-98	NA	103,016,000	165,989,000	1,110,204,258

*Requires a local match.

**Includes Dedicated Mass Transportation Trust Fund

PUBLIC ROAD HAZARD ELIMINATION

Objective: Improves the safety of highway users on public roads, excluding the interstate system.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: NA

Year Established: 1973

Catalog of Federal Domestic Assistance No.: 20.205

Legal Authority:

Law: US Federal Aid Highway Act of 1998 (TEA21), 23 USC 133

Regulation: NA

Program Contact:

Jay L. Labelle
Director
Program and Project Management Bureau
NYS Department of Transportation
Building 5, Room 523
1220 Washington Avenue
Albany, NY 12232
(518) 457-2935

Fiscal Contact:

NA

Eligibility: State Governments and Municipalities with a State Highway Agency.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Must be used for capital projects on public roads other than those on interstate system.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: Federal to State. State monies are provided in advance of expenditures, Federal monies are provided as a reimbursement to states.

Type of Aid: Project Grant

Formula: Based on 1987-91 percentage share of apportionments.

Matching Requirement: Consult program contact.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

PUBLIC TRANSPORTATION FOR NONURBANIZED AREAS

Objective: Supports establishment and maintenance of public transit programs in nonurbanized areas.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: 65402¹

Year Established: 1978

Catalog of Federal Domestic Assistance No.: 20.509

Legal Authority:

Law: US Urban Mass Transportation Act of 1964, 49 USC 1601, et. seq.

Regulation: US FTA Circular C9040.1E

Program Contact:

David G. Putz
Director
Transit Systems Bureau
NYS Department of Transportation
1220 Washington Avenue, 4-115
Albany, NY 12232
(518) 457-8343

Fiscal Contact:

NA

Eligibility: Counties, Cities, Indian Reservations and Public Transportation Authorities. May include State agencies, local public bodies and agencies thereof, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas. Private operators of transit services may participate in program only through contracts with eligible recipients. Urbanized areas, as defined by the US Bureau of the Census, are not eligible.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. All funded services must be open to the general public on an equal opportunity basis. For operating assistance Federal funds are limited to 50 percent of the net operating deficit.

Action Required to Receive Aid: Letter of interest from eligible recipient. Non-competitive application for aid required if it is for operating assistance, an application for aid is required for capital assistance.

Description of Aid:

Flow of Funds: Federal to State to Local.

Type of Aid: Ongoing if capital assistance, scheduled payments for projects if operating assistance.

Formula: Funds for operating assistance are distributed on the basis of nonurbanized area population and service statistics of rural public transportation operators.

Matching Requirement: Federal assistance limited to 50 percent of net operating deficit. For operating assistance, up to 50 percent of non-Federal Section 5311 share may be matched by certain other Federal programs. Remaining non-Federal Section 5311 share must be met by a combination of State or local sources.

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	8,200,000	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	4,118,330	NA	NA

¹Refers to FTA Nonurbanized Areas.

STATE AID LOCAL BRIDGE PROGRAM

Objective: Provides State bond money to municipalities to mend structurally deficient bridges.

Administering Agency: NYS Department of Transportation

NYS Object Code: 71210¹

Year Established: 1988

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Transportation Law, Article 20

Regulation: NA

Program Contact:

Jay L. Labelle
 Director
 Program and Project Management Bureau
 NYS Department of Transportation
 Building 5, Room 523
 1220 Washington Avenue
 Albany, NY 12232
 (518) 457-2935

Fiscal Contact:

NA

Eligibility: Counties, Cities, Towns and Villages. Municipality must own a bridge which is deemed structurally deficient by DOT bridge inspection.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Must be spent on contracted costs, or for equipment and materials only if done with municipal work forces.

Action Required to Receive Aid: Competitive application for aid required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Project Grant

Formula: Allocations were done by the Legislature (region-wide) and State DOT (county level) based on number of deficient bridges and other factors.

Matching Requirement: State 80%, Local 20%

Maintenance of Effort: Municipality must maintain amount of money spent for highways and bridges.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	25,819,878
SFY 97-98	NA	NA	17,010,035

Comments: This program is phasing out. Should be closed by the end of 2000.

¹Refers to "other" capital construction.

TEA-21 TRANSPORTATION ENHANCEMENTS PROGRAM

Objective: Provides Federal funding for transportation-related local projects outside the "normal" types of projects.

Administering Agency: NYS Department of Transportation

NYS Object Code: NA

Year Established: 1991

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: Transportation Equity Act for the 21st Century (TEA-21)

Regulation: NA

Program Contact:

Jay L. Labelle
Director
Program and Project Management Bureau
NYS Department of Transportation
Building 5, Room 523
1220 Washington Avenue
Albany, NY 12232
(518) 457-2935

Fiscal Contact:

NA

Eligibility: Governmental sponsors required.

Type of Program and Special Restrictions: Optional, but subject to same State and Federal requirements applying to all Federally funded local projects.

Action Required to Receive Aid: Competitive application process, TIP inclusion.

Description of Aid:

Reimbursement program; requires 20% local match; innovative financing available. Completed projects to be open to the public.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA
SFY 98-99	NA	NA	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	NA
SFY 97-98	NA	NA	NA

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) BLOCK GRANT

Objective: Increases opportunities for TANF recipients to access transportation service(s) and activities designed to meet employment related travel needs.

Administering Agency: NYS Department of Transportation, NYS Department of Labor; US Department of Health and Human Services (HHS)

NYS Object Code: NA

Year Established: 1997-98

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: US PL 11104-193, PL 105-33

Regulation: TANF Proposed Rule (62 Fed. Reg. 62124, November 1997)

Program Contact - Transportation:

Robert Knighton
Director
Passenger Policy and Program Evaluation
NYS Department of Transportation
Building 4, Room 146
1220 Washington Avenue
Albany, NY 12232
(518) 457-2100

Program Contact - Labor:

Joseph Capobianco
Director
Program Development
Welfare to Work Division
NYS Department of Labor
Building 12, Room 223B
State Campus
Albany, NY 12240
(518) 485-6290

Eligibility: Local social services districts and public transportation systems are eligible to make application for funding through the TANF Transportation program. Private entities are eligible to receive funding through sponsorship of a local social services district or a public transportation system.

Type of Program and Special Restrictions: This is a transportation program that must benefit TANF recipients. If TANF resources are to be shared, then a "Cost Allocation Plan" must be developed and approved by the State.

Action Required to Receive Aid: Application must be submitted.

Description of Aid: Federal funds can be used to pay for up to 100% of the cost to provide service to TANF recipients.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97*	NA	NA	NA
SFY 97-98	8,000,000	NA	NA
SFY 98-99	5,000,000	NA	NA

*New program as of SFY 1997-98

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97*	NA	NA	NA
SFY 97-98*	NA	NA	NA

*New program as of SFY 1997-98

URBAN MASS TRANSPORTATION ASSISTANCE CAPITAL IMPROVEMENT

Objective: Supports capital improvement in both public and private mass transportation systems in metropolitan and other urban areas.

Administering Agency: NYS Department of Transportation; US Department of Transportation

NYS Object Code: 65401

Year Established: 1964

Catalog of Federal Domestic Assistance No.: 20.500

Legal Authority:

Law: US Federal Transit Act of 1991

Regulation: NA

Program Contact:

David G. Putz
Director
Transit Systems Bureau
NYS Department of Transportation
1220 Washington Avenue, 4-115
Albany, NY 12232
(518) 457-8343

Fiscal Contact:

Carlton Boorn
Director
Accounting Bureau
Office of Budget and Finance
NYS Department of Transportation
1220 Washington Avenue
Albany, NY 12232
(518) 457-2424

Eligibility: State Governments and Municipal Sponsors including Counties, Cities, Towns and Transportation Authorities. Projects assembled by State for local and regional transit sponsors.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements. Section 5309 monies may be used for capital purposes while Section 5307 monies can be used for capital, planning and operating purposes.

Action Required to Receive Aid: Competitive application for aid is required for Section 5309 monies. Non-competitive application for aid is required for Section 5307 monies.

Description of Aid:

Flow of Funds: Federal to Local Sponsor; State reimburses Local Sponsor for ten percent of total capital costs.

Type of Aid: Project Grant

Formula: Based on population for Section 5307.

Matching Requirement: Federal 80%, State 10%, Local 10%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State Dedicated Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	NA	12,200,000*
SFY 97-98	NA	NA	10,489,000*
SFY 98-99	NA	10,489,000	NA

*Reappropriations were moved to State Special Revenue beginning SFY 1995-96.

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State Dedicated Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	12,572,923	NA
SFY 97-98	NA	13,405,446	NA

*Includes funding to match STP and CMAQ Federal funding.

VETERANS SERVICES

Objective: Supports operations of local veterans' agencies.

Administering Agency: NYS Division of Veterans' Affairs

NYS Object Code: 69903

Year Established: 1946

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Executive Law, Section 359

Regulation: NA

Program Contact:

Harvey J. McCagg
Director
Public Relations & Training
Division of Veterans' Affairs
5 Empire State Plaza, Suite 2830
Albany, NY 12223-1551
(518) 474-6784

Fiscal Contact:

NA

Eligibility: Counties and Cities. Must be a veterans' services agency.

Type of Program and Special Restrictions: Mandated, required by State law or regulations. Must be used for maintenance and operation of local veterans' services agency.

Action Required to Receive Aid: Non-competitive application for aid is required.

Description of Aid:

Flow of Funds: State to Local. Monies are provided as a reimbursement.

Type of Aid: Ongoing

Formula: Complex formula, refer to NY Executive Law, Section 359.

Matching Requirement: State 50%, Local 50%

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	400,000	NA
SFY 97-98	NA	575,000	NA
SFY 98-99	NA	575,000	NA

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 96-97	NA	326,586	NA
SFY 97-98	NA	469,375	NA

Workers' Compensation Board

ADVOCATE FOR BUSINESS

(Technical Assistance Program)

Objective: Provides information and assistance to businesses regarding workers' compensation insurance, plays a critical role in representing the views of the business community in modifying existing policies and procedures while seeking ways to curb escalating costs to employers; conducts outreach to businesses for the purpose of educating them on how the workers' compensation system works in NY; and serves as a representative in economic development.

Administering Agency: NYS Workers' Compensation Board

NYS Object Code: 14010

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Laws of 1993, Chapter 729

Regulation: NA

Program Contact:

Ellen Salsberg
Advocate for Business
NYS Workers' Compensation Board
20 Park Street, Room 201
Albany, NY 12207
1 (800) 628-3331

Fiscal Contact:

NA

Eligibility: The Board's Advocate for Business provides service to all New York State businesses, including local governments.

Type of Program and Special Restrictions: Optional, without any State or Federal mandated requirements.

Action Required to Receive Aid: Contact the Advocate for Business.

Description of Aid:

General information and education regarding the New York State workers' compensation system. Also, assistance in resolving specific concerns related to the workers' compensation system.

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FINDING FUNDING FOR LOCAL PROJECTS¹

This chapter is meant to be a legislator's guide to available resources that can make the constituent's search for grants easier. By discussing the organizations, printed resources, and internet sources that can be used to respond to constituent questions, it serves as a complement to the catalog description of intergovernmental programs. The total dollars moving into local programs are substantial. The statistics from private funding alone are impressive. Foundations in New York State hold over \$44.5 billion in assets, and make annual contributions of \$2.7 billion. Many of the largest foundations in New York State, by assets and total giving, are among the largest in the country, and award over one-fifth of all foundation grant dollars in the United States. Grant resources covered in this chapter are organized by type of funding; private giving, government grants, and other sources of information emerging from the advances in technology.

PRIVATE GIVING

The Foundation Center was begun in 1956, by foundations responding to an era of suspicion and public scrutiny, with the establishment of a nonprofit service entity that was charged to make the most comprehensive, accurate information on grantmakers available to any interested individual who might want or need it. Central to the Foundation Center's mission of serving the needs of the general public with current, reliable, factual information about the foundation field is the network of field office libraries and cooperating collections located throughout the nation. Of the five Center libraries, one is located in New York, at 79 Fifth Avenue in New York City. It is open to the public, and offers educational programs on the funding research process, proposal writing, grantmakers, and related topics. It offers visitors free access to Center publications, a variety of supplemental materials, periodicals, how-to guides, foundation annual reports, 990-PF IRS returns, and CD-ROM and internet resources. Those who cannot visit a Center library can usually find a Center cooperating collection nearby. Of the 210 cooperating collections across the nation, fifteen are located throughout New York State. (Table 1 shows the cooperating collections in New York State). Each collection is headed by a supervisor who works with local grantseekers to use the resources of collection more effectively. Because these collections vary widely in their hours, materials and services, constituents are advised to call the collection supervisor in advance of a visit. To check new locations, or current holdings, you may call toll free 1-800-424-9836, or visit the Center Web site at <http://fdncenter.org/collections/index.html>.

Two Foundation Center guides that can serve as an introduction to published resources are:

1. The Foundation Center's User-Friendly Guide: A Grantseeker's Guide to Resources. 4th ed. Foundation Center, 1996. It is a basic primer on the grantseeking process. There is a quick introduction through the use of a "Frequently Asked Questions" list.
2. Foundation Fundamentals: A Guide for Grantseekers. 5th ed. Foundation Center, 1994. It is an overview of the funding process

¹ This chapter was prepared by Linda Braun, supervisor of the cooperating collection at the New York State Library.

that incorporates sample pages from most of the Foundation Center major resources.

NEW YORK STATE COOPERATING COLLECTIONS	
<p>New York State Library Humanities Reference Cultural Education Center, 6th Floor Empire State Plaza Albany, NY 12230 (518) 474-5355</p>	<p>Suffolk Cooperative Library System 627 N. Sunrise Service Road Bellport, NY 11713 (516) 286-1600</p>
<p>New York Public Library Bronx Reference Center 2556 Bainbridge Avenue Bronx, NY 10458-4698 (718) 579-4257</p>	<p>The Nonprofit Connection, Inc. One Hanson Place, Room 2504 Brooklyn, NY 11243 (718) 230-3200</p>
<p>Brooklyn Public Library Social Sciences/Philosophy Division Grand Army Plaza Brooklyn, NY 11238 (718) 230-2122</p>	<p>Buffalo and Erie County Public Library Business, Science & Technology Dept. 1 Lafayette Square Buffalo, NY 14203 (716) 858-7097</p>
<p>Huntington Public Library 338 Main Street Huntington, NY 11743 (516) 427-5165</p>	<p>Queens Borough Public Library Social Sciences Division 89-11 Merrick Boulevard Jamaica, NY 11432 (718) 990-0700</p>
<p>Levittown Public Library 1 Bluegrass Lane Levittown, NY 11756 (516) 731-5728</p>	<p>New York Public Library Countee Cullen Branch Library 104 W. 136th Street New York, NY 10030 (212) 491-2070</p>
<p>Adriance Memorial Library Special Services Department 93 Market Street Poughkeepsie, NY 12601 (914) 485-3445</p>	<p>Rochester Public Library Social Sciences 115 South Avenue Rochester, NY 14604 (716) 428-8128</p>

<p>Onondaga County Public Library 447 S. Salina Street Syracuse, NY 13202-2494 (315) 435-1900</p>	<p>Utica Public Library 303 Genesee Street Utica, NY 13501 (315) 735-2279</p>
<p>White Plains Public Library 100 Martine Avenue White Plains, NY 10601 (914) 422-1480</p>	

Foundation Center resources are a good starting point for identifying likely funding sources. Grantmaker directories are well-indexed, accessing profiles by subject areas, types of support, recipient types, geographic areas typically funded, and people identified with grantmakers. They answer constituent inquiries about names and affiliations of local grantmakers, projects that were recently funded, and size of budgets earmarked for projects. Center directories can help local governments find private funders close to home that are more likely to have a greater interest in local problems:

Foundation Directory, Foundation Center, 1999.

The classic guide in three volumes. The main volume covers the most influential funders. Over 8,600 foundations with \$2 million in assets or giving \$200,000 or more annually. Part 2 covers over 4,900 mid-sized foundations with assets over \$1 million or grant programs over \$50,000 to \$200,000. Updated entries appear later in the year in the Supplement.

Foundation Grants Index Foundation Center, 1999.

Recently awarded grants can provide an indication of future funding priorities. Since 1970, this source has presented grants in broad subject areas listed geographically by state and alphabetically by name. The type of support/geographic index directs you to foundations that have made the kind of grant needed in your district. Four times a year, the Index is updated by the Foundation Index Quarterly keeping your office abreast of key changes in foundation staff, giving policies and more.

Guide to U.S. Foundations, Their Trustees, Officers, and Donors.
Foundation Center, 1999.

Master list of the decision-makers who direct America's foundations. Lists over 40,000 private, corporate, operating and community foundations, many smaller ones not elsewhere described. The smaller foundations are especially important to localities. Arranged by state and total giving. The companion volume with its comprehensive name index will help you discover the hidden connections to foundations of local families and community leaders.

Of particular interest:

New York State Foundations, 5th ed. Foundation Center, 1997.

Comprehensive guide to active grantmaking foundations in New York State. It is arranged by county, and then alphabetically. The geographic index immediately locates the grantmakers for any city. Grantmaker portraits include addresses and contact names of key officials and includes giving limitations and financial data. Many entries include descriptions of recently awarded grants.

CORPORATE PHILANTHROPY

In the past decade, as government funding at all levels has declined, more interest has been shown in the area of corporate support. Most corporations provide funds for local projects in areas where they have headquarters or plants. Many are aware that targeted funding can enhance their image, influence opinion makers, build business-community relationships, or capture stockholder interest. Although corporations are not required to make public their in-house giving programs, there are excellent publications with reliable data on corporate philanthropy, including:

Corporate Foundation Profiles. 10th ed. Foundation Center. 1998.

Multi-page profiles offer comprehensive grants analyses showing the amount of grant money a foundation directs to specific population groups and programs. Includes sponsoring company profile, corporate giving program and corporate foundation within entries.

Corporate Giving Directory. 20th ed. Taft Group, 1999.

Information on types of support, areas of interest funded, financial information, contact person and sample grantees.

Corporate Giving Yellow Pages: Guide to Corporate Giving Contacts. 14th ed. Taft, 1998.

Contact names and addresses, telephone and fax numbers for nearly 3,500 of the largest corporate giving programs in the country. Indexed by headquarters, operating location and industry.

Directory of International Giving in America and Abroad. 10th ed. Taft, 1999.

Information on giving by foreign-owned firms and United States subsidiaries and affiliates of multinationals. Not available from any other source. Ten indexes locate the information contained in company profiles; grant recipient by state may be of particular interest. Can be used to link the new global independent sector with community-level activities.

National Directory of Corporate Giving. Foundation Center, 1997.

Describes over 1,905 corporate foundations and over 990 direct giving programs. Recently awarded grants that may indicate future giving priorities. Includes parent company name and address, local plant and subsidiary locations.

National Directory of Corporate Public Affairs. Washington DC: Columbia Books, 1999.

Lists about 1,900 companies with corporate foundations and giving programs, assets and total giving, and corporate contacts. Political contributions, PAC data and independent lobbying data. Geographic and industry indexes.

Most of these sources will be found in local cooperating collections or in business collections at local colleges.

GOVERNMENT FUNDING

Despite the decline in available dollars, federal and state financial assistance to local governments remains an important component of local program funding. Federal aid alone contributed about twenty five percent to local government activities in the last decade.

Federal Aid Resources

The following sources will help the grantseeker become familiar with agency giving trends and assist the researcher in finding the correct person to clarify information.

Catalog of Federal Domestic Assistance. Washington, DC: US GPO. Looseleaf service.

Single best resource for leads on federal assistance administered by federal agencies. Information is cross-referenced by functional, subject, applicant, deadline and legislative authorization indexes. Programs selected for inclusion include assistance or benefits to both state and county, city, or other political subdivision. The Basic edition of the catalog, usually published in June, reflects completed congressional action on program legislation. The Update, usually published in December, reflects completed congressional action on the President's budget proposals, and substantive legislation as of the date of publication, and includes information on federal programs not available at the time of the last edition. The CFDA address on the internet is <http://www.gsa.gov/fdac/queryfdac.htm>.

In addition to hard copy looseleaf copies of the Catalog, two electronic versions are available. The first, Federal Assistance Award Data System (CFDA/FAADS) on CD-ROM includes the complete catalog and a FAADS list of who received financial awards by program number, geographical distribution, and organization. Browser software searches either database, and an online tutorial is

included. Technical support is available from CFDA staff. Tel:202-708-5126 for further details. The second electronic version is the "Federal Assistance Programs Retrieval System (FAPRS)." It is an interactive question-and-answer system that allows a searcher program searches using up to five keywords with boolean operators, keyword proximity, and displays the number of programs and keyword occurrences. Prints can be in short form or entire program text. Inquiries to Tel:202-708-5126 or toll free to tel:1-800-669-8331.

Congressional offices have access to two additional databases called PREAward Grants and POSTaward Grants. They are available through the House Information Resources/Member Information Network System or the Senate Library/Senate Reference Center. These files include current grant availability data from the Catalog of Federal Domestic Assistance (CFDA) and the Federal Register (FR) as well as the reports from federal agencies about grants awarded in the last four quarters of the fiscal year. These databases are only available through Congressional offices. A request for a search of these databases must be made through an individual Member of Congress.

Federal Register. Washington, DC: US GPO.

This source can be used to update the information found in the CFDA. It is published Monday through Friday except official holidays. It makes available public regulations and legal notices issued by federal agencies. These include agency documents of public interest, such as notices of grants by various agencies.

You can connect to the FR on the World Wide Web through GPO Access. The address is <http://www.access.gpo.gov/nara>. For more information contact the GPO Access User Support Team, tel:202-512-1530 or toll free:1-888-293-6498.

Other Federal Sources Include:

Congressional Yellow Book: who's who in congress, including committees and key staff. Washington, DC: Leadership Directories, 1999. Published quarterly.

Lists Congressional leadership, changes in staff, Senators and staff, Representatives and staff, Senate/House and Joint committees with photos of leadership and seniority rankings, committee staff by majority and then minority, Congressional offices such as Clerk, and membership organizations such as caucuses, Congressional support agencies and personnel, State delegations with Senators listed in order of seniority and Representatives by district, maps of district boundaries, Congressional district ZIP codes. Biographical appendix, and indexed by staff, subject, and organization (committees, subcommittees, panels, leadership, caucuses and major offices. Each member entry includes photograph, member name or nickname by member preference, contact information—party, office telephone, fax, TDD, e-mail and internet address—to contact, profession, key staff,

committee assignments and leadership positions, state and district offices.

Federal Grants and Contracts Weekly: the inside guide to finding and winning federal funds. Alexandria, VA: Aspen Publishers.

Published 50 times a year. Announces grants and project opportunities in research, training and services.

Federal Yellow Book: who's who in federal departments and agencies. Washington, DC: Leadership Directories, 1999. Published quarterly.

Accesses more than 38,000 top people in the Executive Branch of the Federal government. It is divided into Office of the President/Vice President, Departments, Independent Agencies and Indexes. Photo and biographical information on the department/agency head prefaces each listing. Offices are listed according to hierarchy, individuals listed in each by title or rank. Telephone numbers and building addresses provided. Indexed by subject, organizational title and individual name index.

Guide to Federal Funding for Governments and Nonprofits. Washington, DC: Thompson Publishing Group, Government Information Services.

This is a two volume looseleaf set updated quarterly, with a separate volume of twice-monthly issued "Federal Deadline Calendars." Provides detailed description of more than 750 federal programs. A "Funding Opportunity Index" rates the competitiveness of each program. The rating measures the chances of getting a grant if an eligible applicant has an eligible project. It starts with the award-to-applicant ratio for the most recent two or three years, supplemented by extensive discussions with program officials, grantees, and grants experts. The system rates programs from the most competitive (one star) to least competitive (five stars). In the case of state formula programs where the state receives the funds and subgrants them on a discretionary basis, subgrants are rated on a one to five-star basis to the extent that information is available on state subgranting practices.

United States Government Manual. Washington, DC: US Government Printing Office (GPO).

Official handbook for the Federal government. Provides comprehensive information on the legislative, judicial and executive branches of the United States government. Also includes information on quasi-governmental agencies, international organizations and boards, and committees and commissions. Entries include a brief history of the entity, with principal offices and information on activities, grants, and publications.

State Resources

The Catalog of State and Federal Programs Aiding New York's Local Governments is a unique and much-appreciated source of information on the flow

of aid dollars in New York. There are, however, some sources that can be used to augment its information:

Grants Action News: information on available state and federal grants. Albany, NY: New York State Assembly Speaker's Office, Communication and Information Services.

Identifies new and existing State and federal grant opportunities. Includes program background, eligibility, funding, deadlines, and contact information. Notices of grant writing seminars and workshops. For more information call: 1-800-356-8486. Also listed at <http://www.assembly.state.ny.us> under "Announcements:"

Local/State Funding Report. Washington DC: Government Information Services.

Published weekly except last week in August and last week in December. This newsletter is useful for its notices of Federal funding and for the occasional notice of funding available in a particular state. A compilation of recent funding notices and regulations issued by Federal agencies alerts subscribers.

New York Directory of City and Village Officials. Albany, NY: New York State Conference of Mayors and Municipal Officials (NYCOM), 1998.

Listing of municipal officials, addresses, telephone numbers, counties and 1990 population figures for each city and village. A cross-reference of municipalities in each county is also included. A listing of firms interested in doing business with local governments in New York State is headed, "Municipal Products and Services Directory." To contact NYCOM, Tel: 518-463-1185.

New York State Directory. 1998/1999. Walker's Research, LLC.

Guide to accessing public officials and private sector groups and individuals who influence public policy in the State of New York. Legislative biographies and key committee assignments included. Lists agencies and officials that formulate or implement public policy. Municipal and local government are one of the policy areas headings. Lobbyists and political action committees listed. Includes a name and an organization index.

New York State Register. Albany, NY: New York State Department of State.

Weekly publication listing newly proposed agency rulings as well as changes to existing rules and regulations adopted by State agencies. Of particular interest is the section entitled, "Notice of Availability of State and Federal Funds." Contact Office of Information Services, 41 State Street or call 518-474-6785 for further information.

In addition to subscribing to some of the above services, you may wish to read the Executive Budget and its appendices, and familiarize yourself with the

budget process and the published budget bills. You might also call the State agency program officer to see if you could be added to the mailing list for agency newsletters, news releases, program announcements, reports and annual reports or brochures about your program interests.

RECENT ELECTRONIC RESOURCES

The Foundation Center has made available three electronic sources of information that would be of interest to researchers looking for aid to local programs.

FC Search Foundation Center's Database on CD-ROM.

Covers over 47,000 US foundations and corporate givers, includes descriptions of close to 200,000 associated grants, and lists approximately 200,000 trustees, officers, and donors. Disc updates twice yearly. Customized searches from 21 subject search fields, from all four types of private foundations. Searchable by locality, state name, city name, and geographic focus.

The Foundation Center's Web Site - <http://www.fdncenter.org>

There are four main areas. Grantmaker information contains annotated links to nearly 600 grantmaker Web sites and a link to folders for 41 foundations with no other web presence. Top US funders are ranked by assets and total giving. Online Library responds to e-mailed reference questions and includes online training sessions. Free look-up tool provides facts and contact information on about 46,000 US foundations. "Literature of the Nonprofit Sector" searches the philanthropic literature on any subject. Topical reading lists include one on, "State and Local Funding Directories." The Marketplace gives descriptions of Foundation Center publications and training opportunities. About Us gives information about the Foundation Center services and contains annual reports and press releases. <http://www.fdncenter.org>

The Foundation Directory and Foundation Grants Index are both available through DIALOG database searching. Many cooperating collections offer searches for a fee. For subscription information contact DIALOG at 415-254-7000 or 1-800-334-2564. The State Library will do searches at the request of legislative staff. Inquiries should be directed to the Reference Desk: 518-474-5355.

Other World Wide Web Sites:

IDEALIST. New York, NY: Action Without Borders, 212-843-3973.

Directory of nonprofit and volunteering resources on the Web with information provided by 15,000 organizations in 130 countries. You can post and update information about your volunteer opportunities, upcoming events and any publications - <http://www.idealists.org>

AFRI Website. American Fund Raising Institute.

Sponsored by fund raising consultants, this site contains a newsletter and an excellent idea section on fundraisers - <http://www.afri.org>

Council on Foundations. Sponsored by the Council.

Links to other resources. Also contains a section on “foundations and government” - <http://www.cof.org>

The Grantsmanship Center. The Grantsmanship Center Institute.

National training organization for nonprofits mounts its training calendar, its newsletter, and various other items of interest to grantseekers - <http://www.tgci.com>

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